

# Imaging calorimeters for precision physics at the ILC

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LINEAR COLLIDER COLLABORATION  
Designing the world's next great particle accelerator



Seminar at ICEPP, University of Tokyo, March 11, 2016

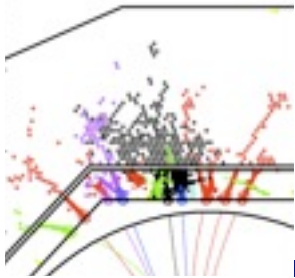




# Program

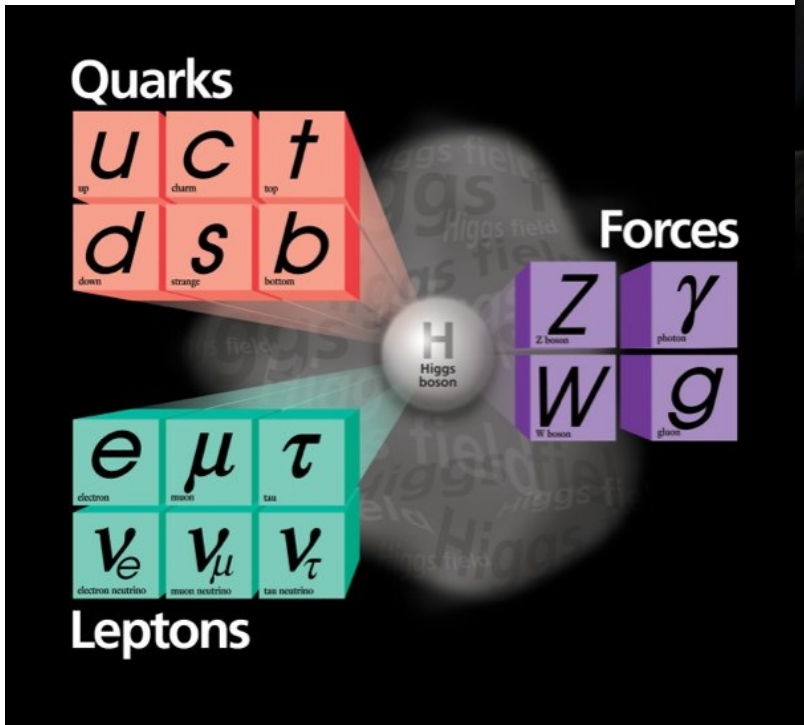
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- Linear Collider physics with jets
- Particle flow calorimetry
- Test beam experiments
- Energy resolution and imaging

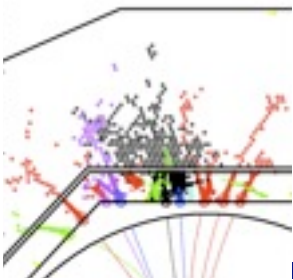


# Higgs discovery

2013 Nobel prize in physics

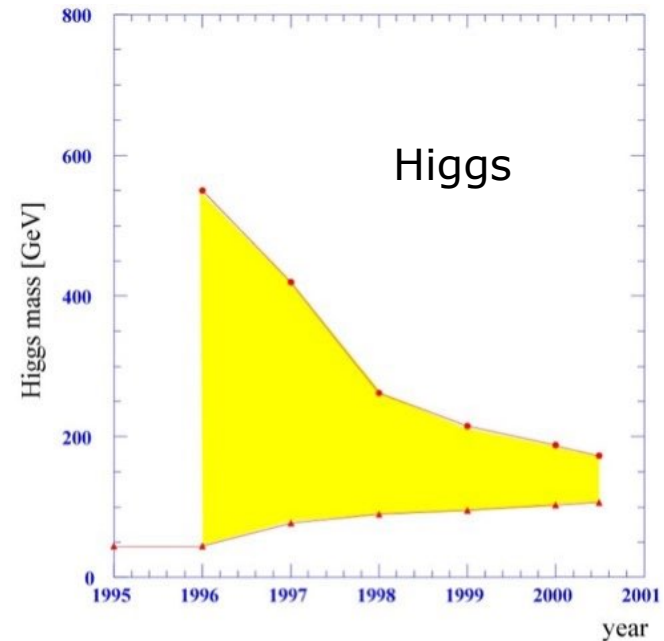
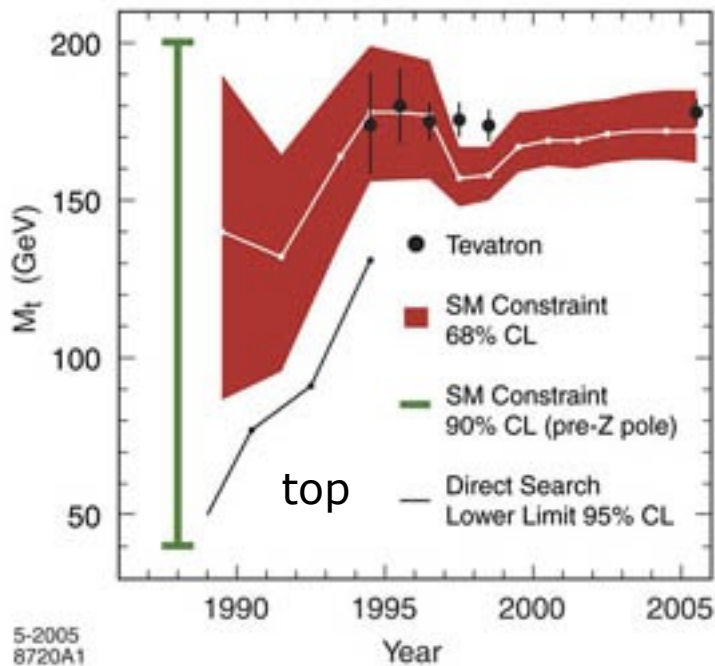


- A turning point:
- after 50 years the last building block falls into place
- and opens the door to something completely new

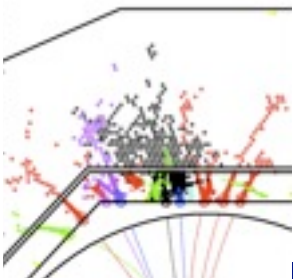


# Anticipated discoveries

- The history of particle physics is full of predicted discoveries:
  - Positron, neutrino, pion, quarks, gluons, W, Z bosons, charm, bottom, top - and now Higgs
- Precision directs the way forward



From precision tests of electroweak quantum corrections



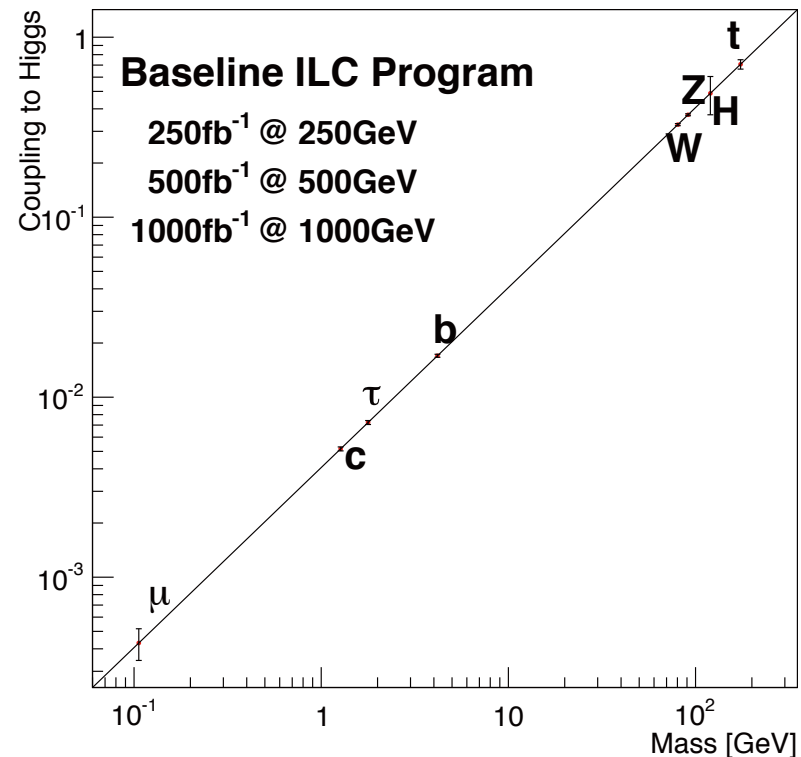
# Higgs physics drives the field

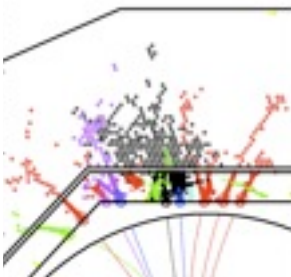
“Driver” = a compelling line of inquiry that shows great promise for major progress over the next 10-20 years. Each has the potential to be transformative. Expect surprises.

- Use the Higgs as a new tool for discovery.

*S.Ritz, Report on P5*

- The main question today:
- establish the Higgs profile
  - mass, spin, parity
  - above all: couplings
- Is the Higgs(125) *the* Higgs and does it fulfil its role in the Standard Model?
- Or does it hold the key to New Physics?



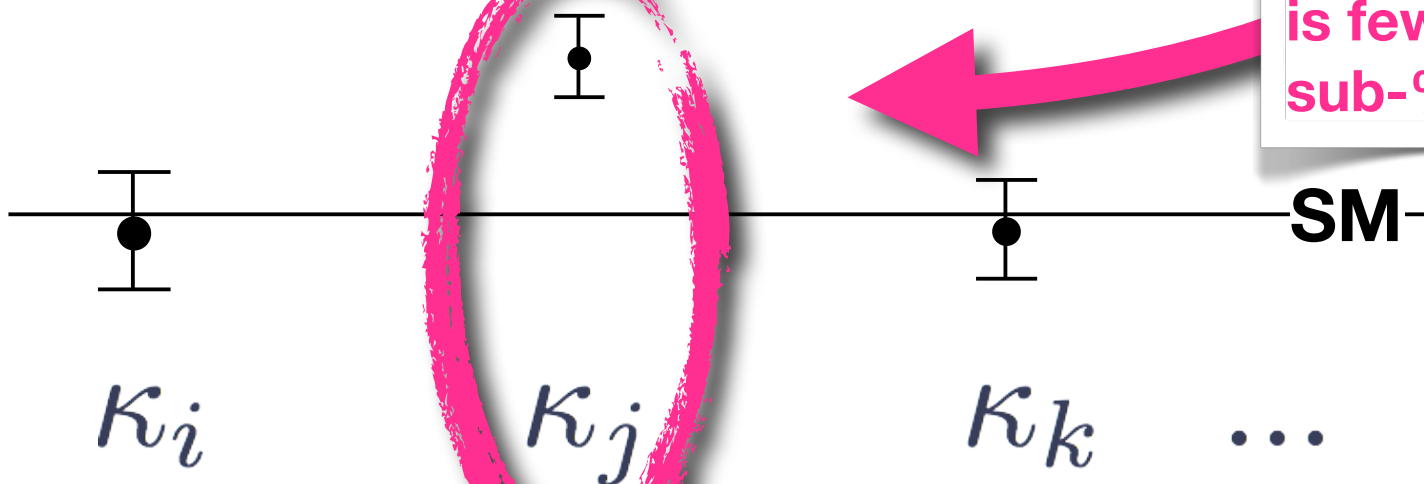


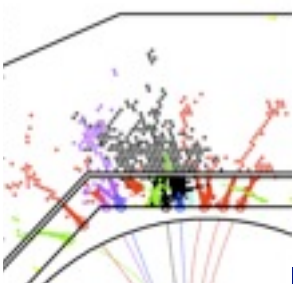
# Precision for discovery

	$\kappa_V$	$\kappa_b$	$\kappa_\gamma$
Singlet Mixing	$\sim 6\%$	$\sim 6\%$	$\sim 6\%$
2HDM	$\sim 1\%$	$\sim 10\%$	$\sim 1\%$
Decoupling MSSM	$\sim -0.0013\%$	$\sim 1.6\%$	$< 1.5\%$
Composite	$\sim -3\%$	$\sim -(3 - 9)\%$	$\sim -9\%$
Top Partner	$\sim -2\%$	$\sim -2\%$	$\sim -3\%$

Models which are not ruled out by LHC results

**Benchmark for discovery is few % to sub-%**

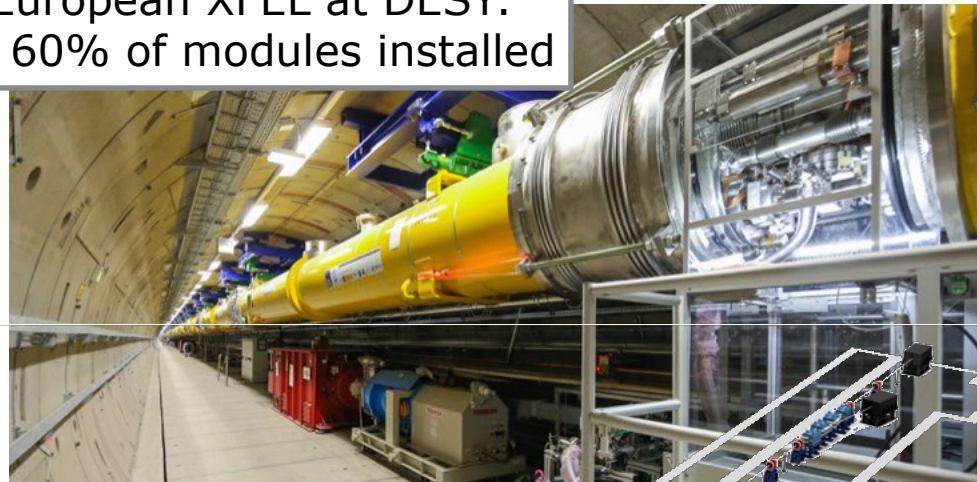




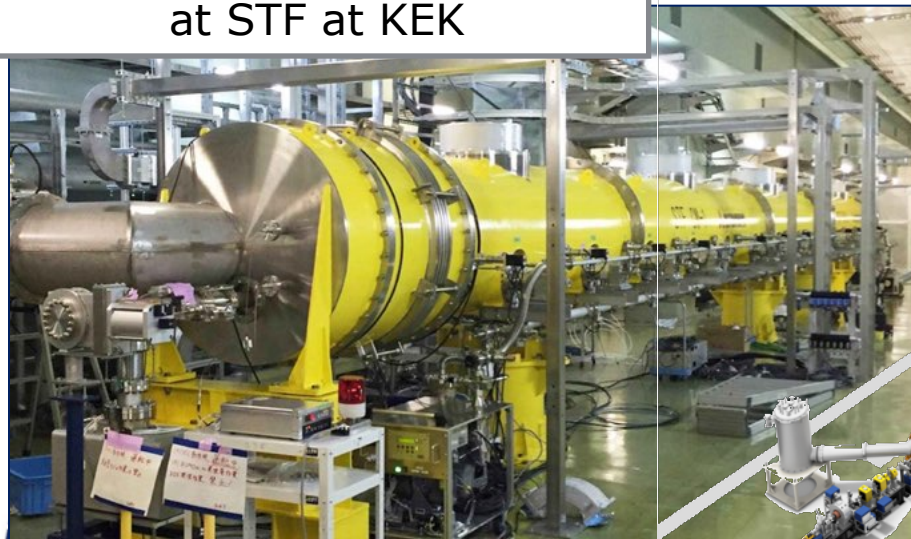
# International Linear Collider

- e+e- collisions
  - $E_{CM} = 250-1000$  GeV
- Superconducting technology
  - Technical design 2012
  - studied at government level in Japan

European XFEL at DESY:  
> 60% of modules installed



ILC cavities and cry-modules  
at STF at KEK



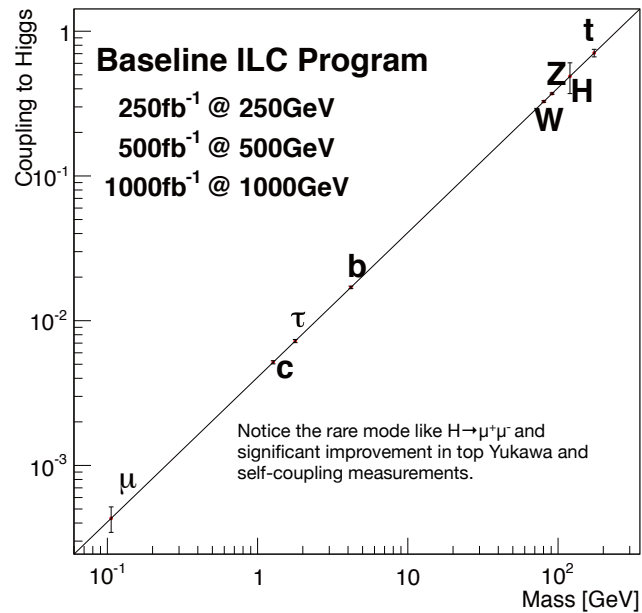
STF Beam line at KEK  
to be installed in JFY2016

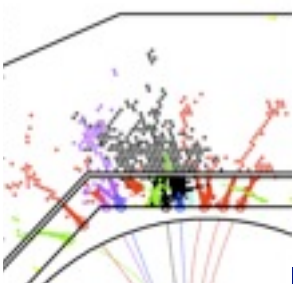
# Waiting for Green Light in Japan





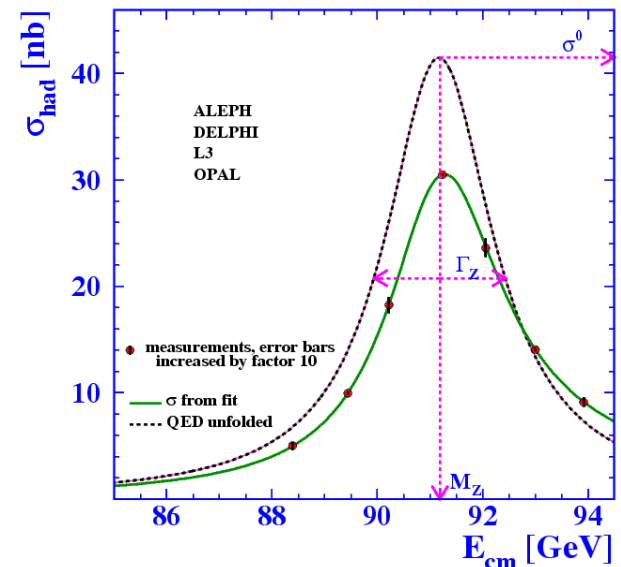
# Measurements of Higgs couplings

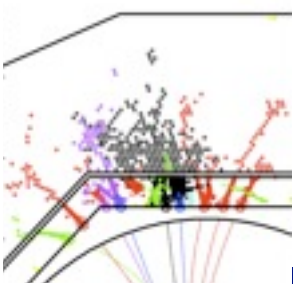




# How to measure a coupling

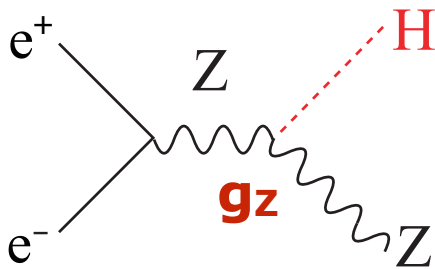
- We perform counting experiments:
- $N \text{ events} / \text{integr. luminosity} = \text{cross section} \times \text{branching ratio}$
- Branching ratio := partial width / total width
- $\sigma \cdot \mathbf{BR} = \sigma_i \cdot \Gamma_f / \Gamma_T \sim \mathbf{g_i^2 g_f^2} / \Gamma_T$
- Need  $\sigma$  and total width to convert branching ratios into couplings
  - e.g. Z line shape at LEP
- $\Gamma_T (\text{Higgs})_{\text{SM}} = 4 \text{ MeV}$  - unobservable
- At LHC, only poorly constraint
  - or SM value assumed
- At ILC, play the cards of  $e^+e^-$ ...



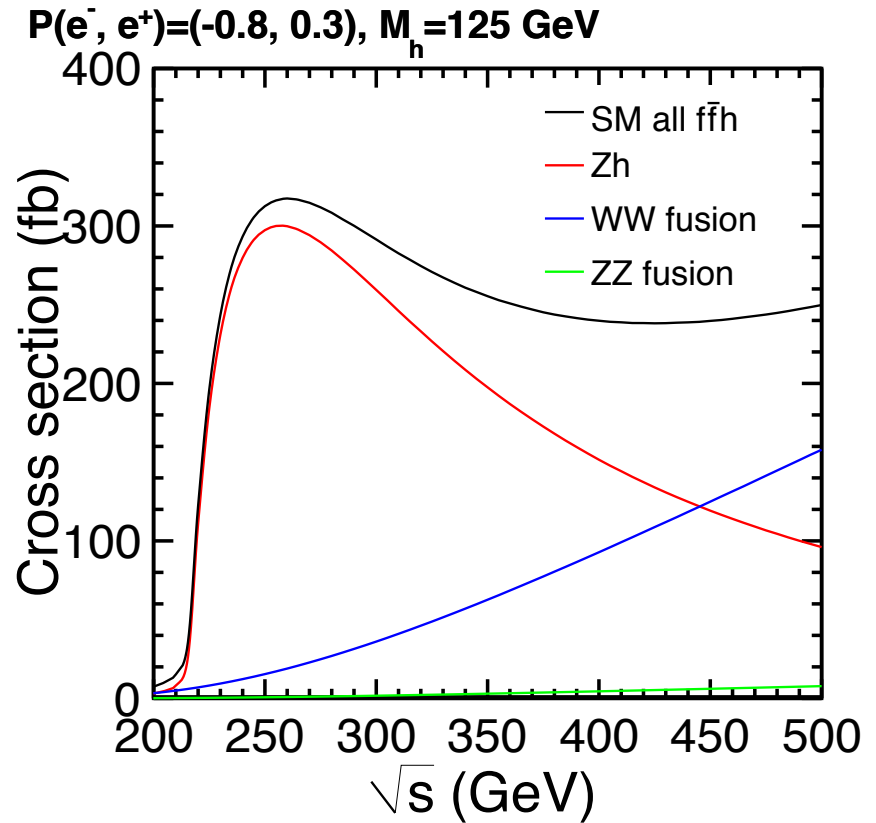
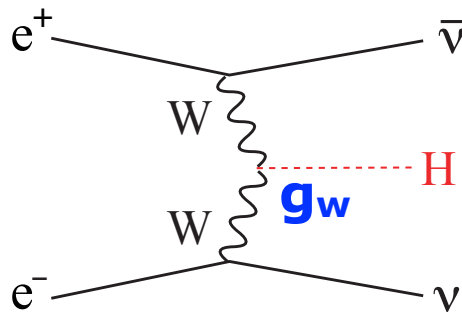


# Higgs production

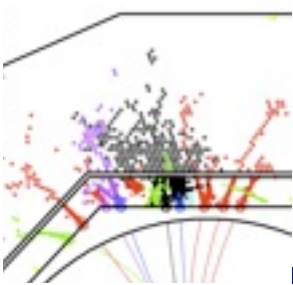
- Higgs strahlung



- W fusion

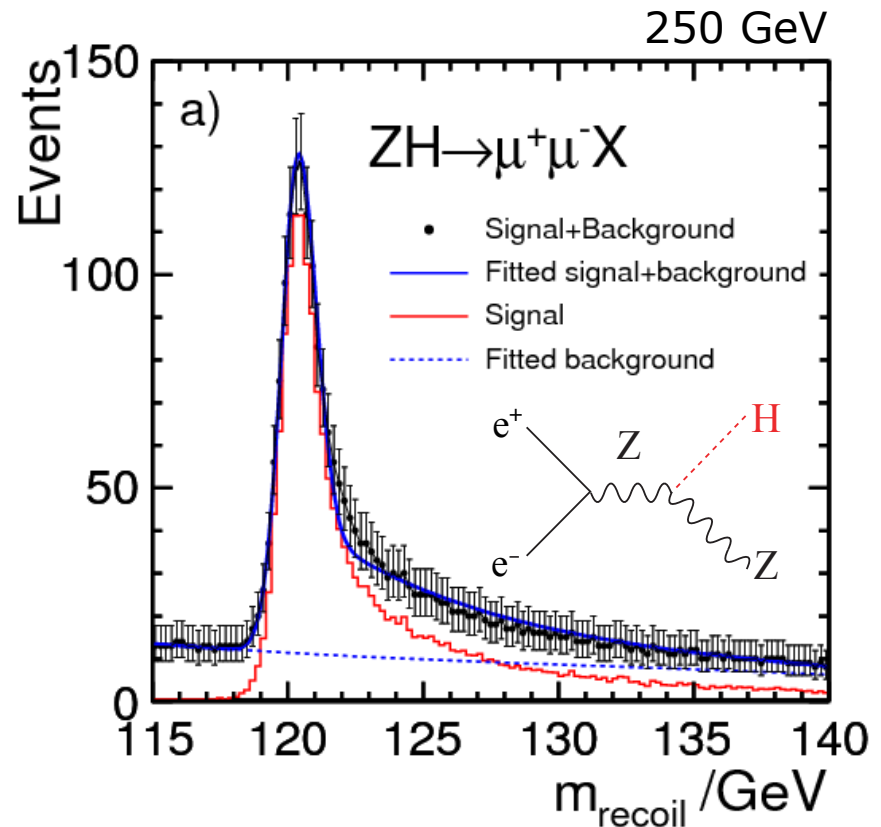


- Use polarisation to enhance cross section
- Vary beam energy to select W or Z coupling



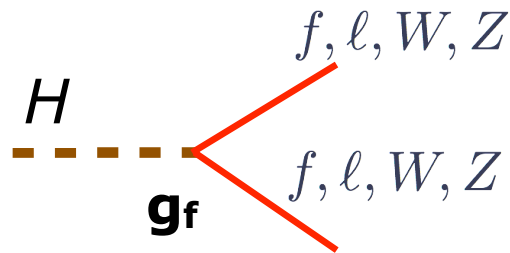
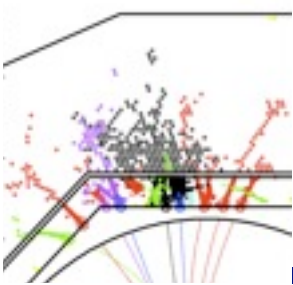
# Higgs signal in Z recoil

- In  $e^+e^-$ , use kinematic constraints
- recoil mass against Z
  - $M^2 = E^2 - p^2$
  - beam energy:  $E = \sqrt{s} - E_Z$ ,  $p = p_Z$
  - Z mass:  $E_Z^2 = M_Z^2 + p_Z^2$
- No use of Higgs final state, can even be invisible
- **Model-independent** ZH cross section
- Absolute normalisation for BRs
  - sensitive to invisible decays
- **Direct extraction of  $g_Z$** 
  - *the central measurement*

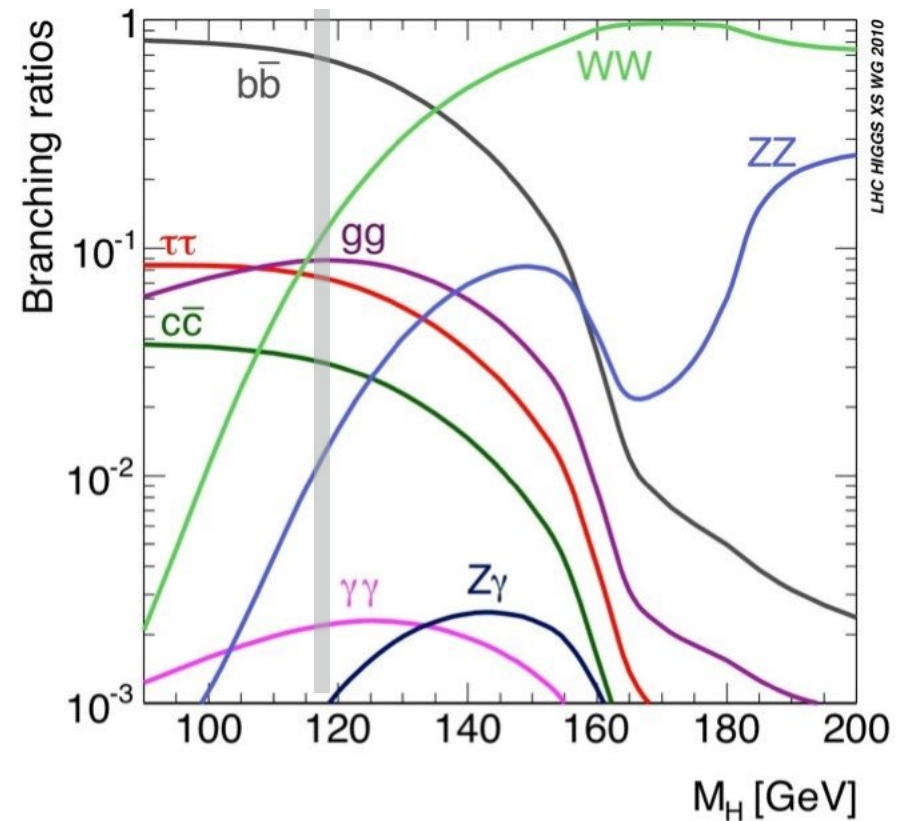


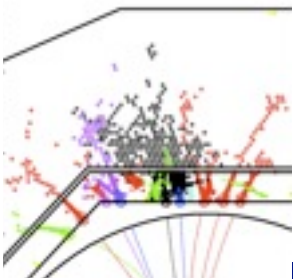
works best with muons,  
also well with electrons  
with jets: not so easy -  
but possible

# Higgs decays



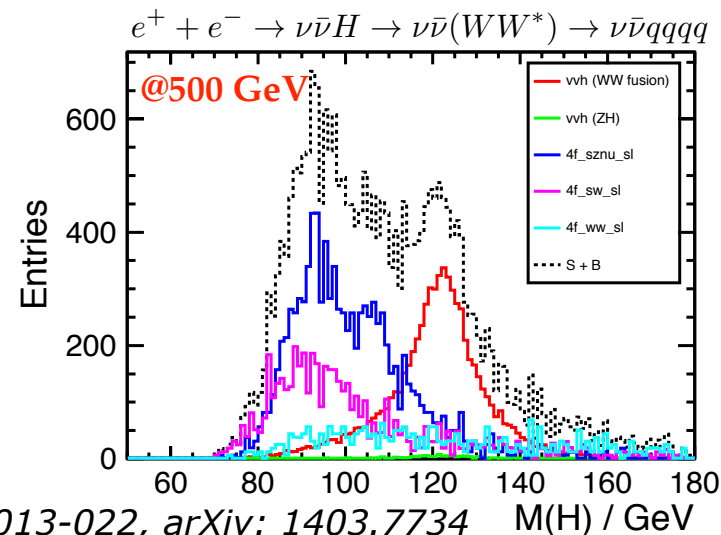
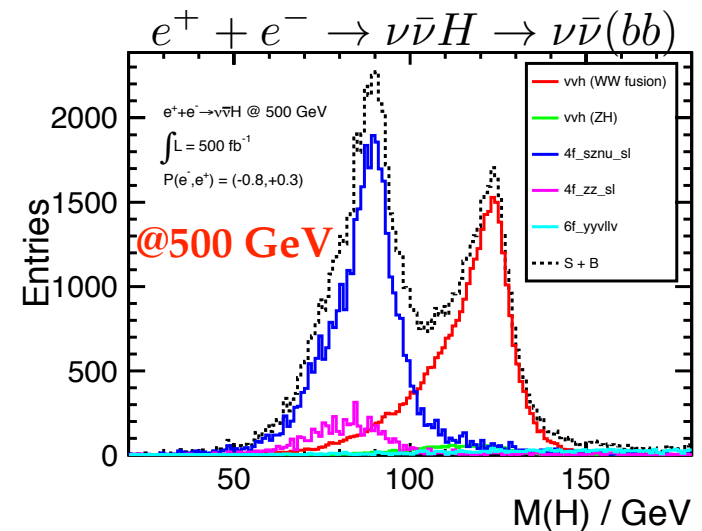
- $M_H = 125 \text{ GeV}$
- ideal for ILC
  - but not for  $H \rightarrow ZZ^*$
- $\text{BR}(H \rightarrow ZZ^*) = \Gamma_Z / \Gamma_T \sim g_Z^2 / \Gamma_T$
- $\Rightarrow \Gamma_T \sim g_Z^2 / \text{BR}(H \rightarrow ZZ^*)$
- in principle possible - but large error (20%)

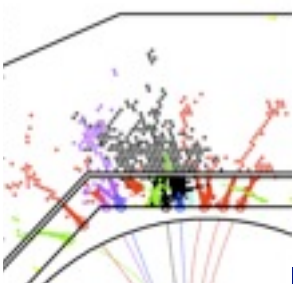




# Higgs total width

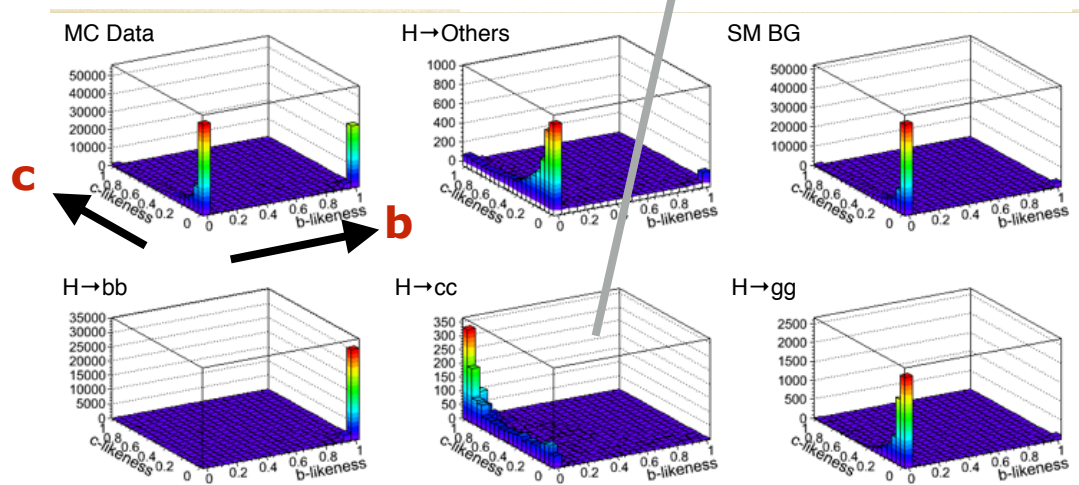
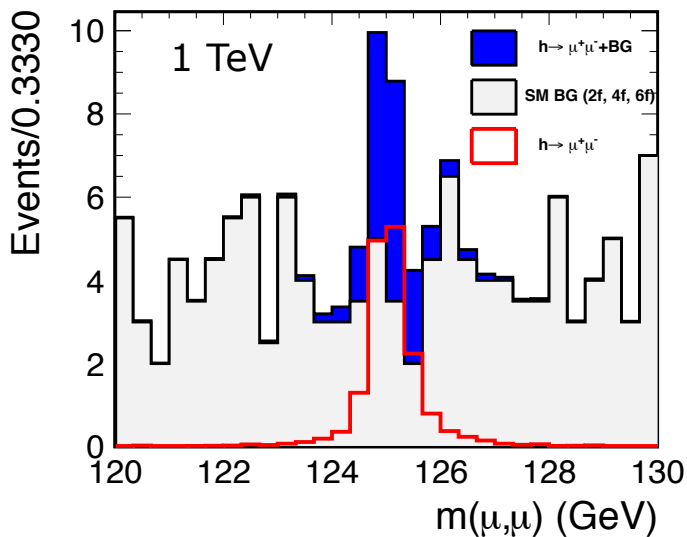
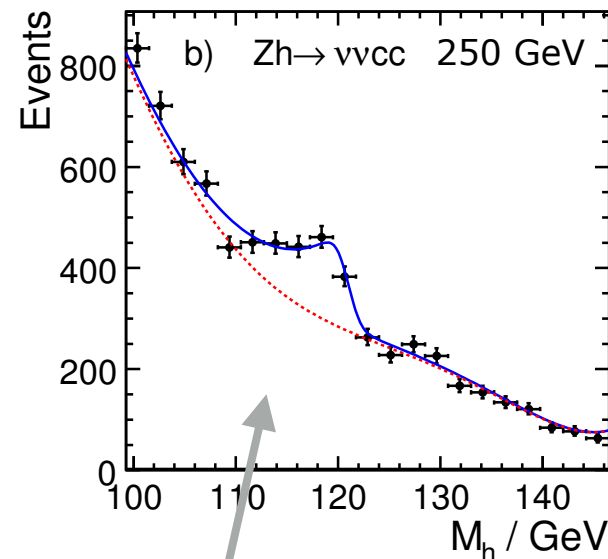
- Use **W fusion cross section** and  $H \rightarrow WW^*$  branching ratio
- $\Gamma_T \sim g_W^2 / \text{BR}(H \rightarrow WW^*)$
- W fusion  $\sigma$  is not model independent
  - ff = bb or  $WW^*$  final state
  - measure same f.s. in ZH and scale
- $g_W^2/g_Z^2 \sim \sigma_{\nu\nu H} \text{B}(H \rightarrow ff) / \sigma_{\text{ZH}} \text{B}(H \rightarrow ff)$
- $g_Z^2$  from Z recoil
- BR ( $H \rightarrow WW^*$ ) in  $\nu\nu H$  or ZH prod
- Done! 👍
  - self-contained set for absolute couplings
  - constraints on invisible decays



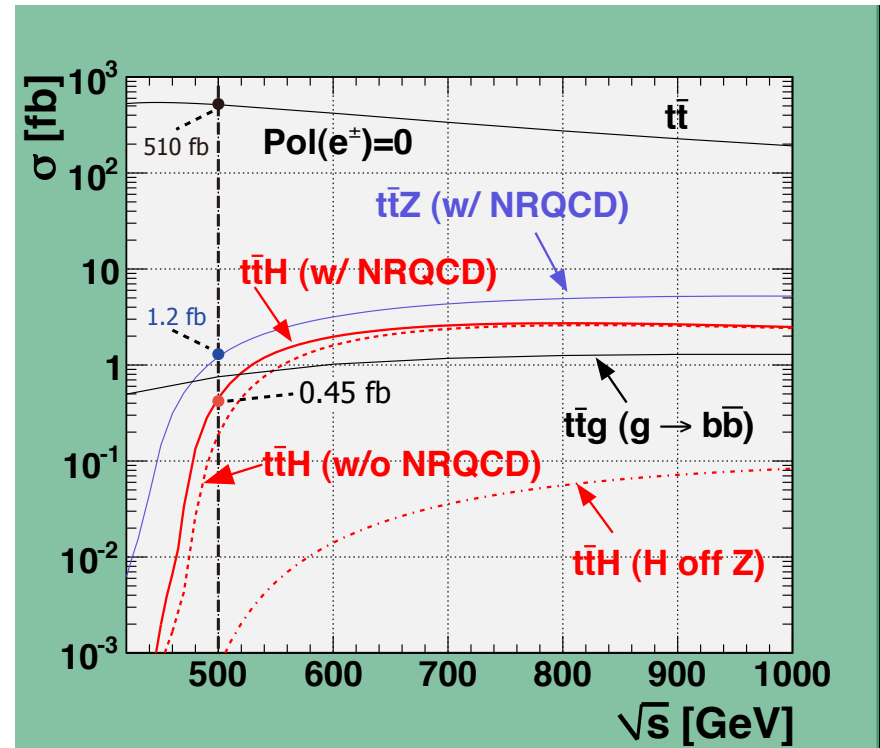
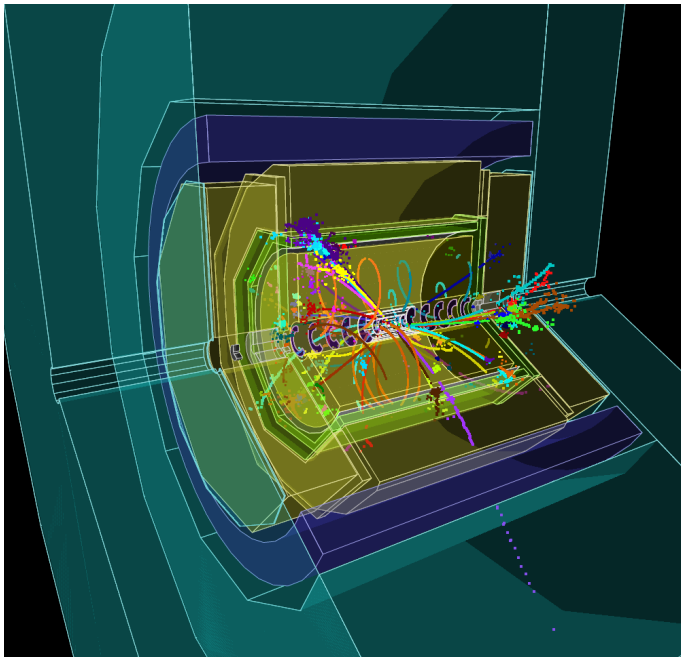
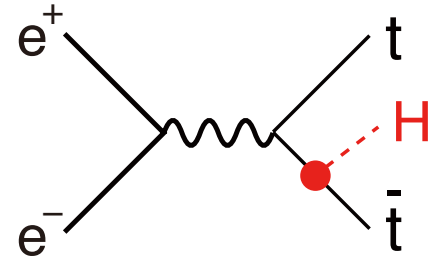


# 2nd generation fermion couplings

- Charm tagging at LHC: hopeless
  - constrain  $g_c$  by  $m_c / m_t$
- At ILC: unique access to 2nd family
  - obtain  $bb$  and  $gg$ , too
- $H \rightarrow \mu\mu$ : also possible, but few events

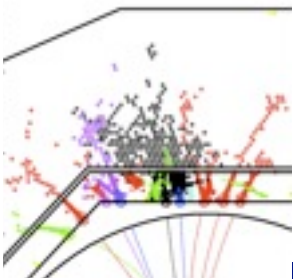


# Top Yukawa coupling

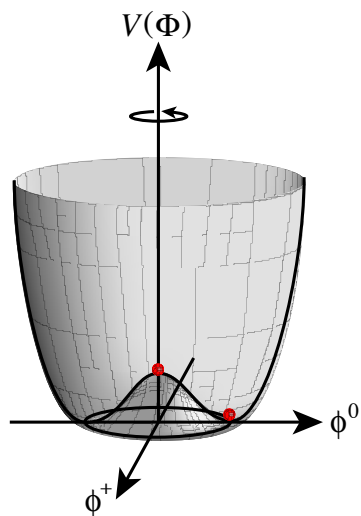


- Counting experiment, multi-jet final states
- 4% measurement of  $g_{ttH}$  possible
- sizeable QCD corrections
- a few more GeV beam energy most valuable

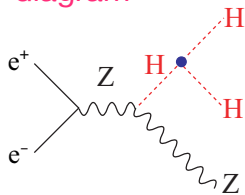




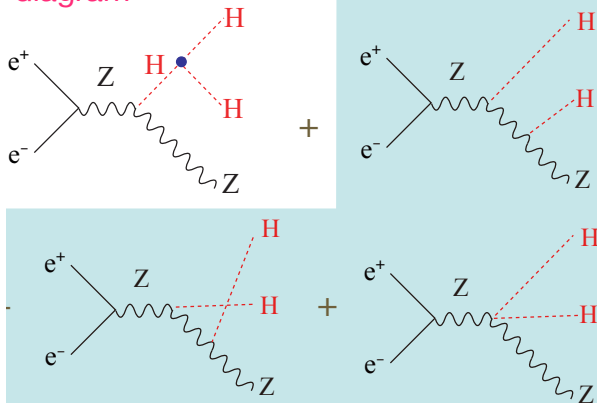
# Top Yukawa and H self coupling



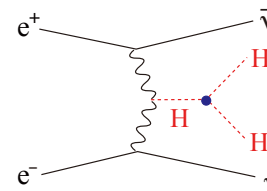
Signal diagram



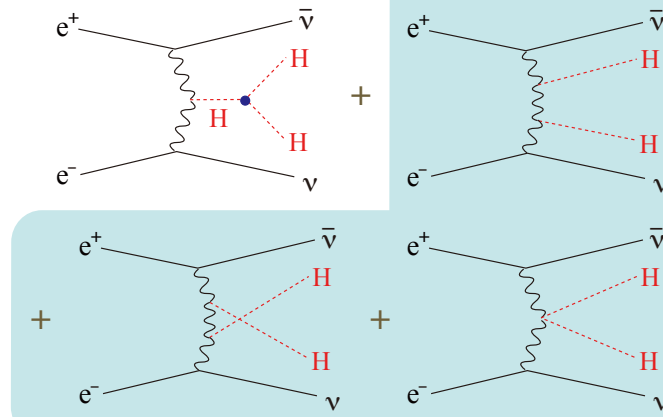
Irreducible BG diagrams



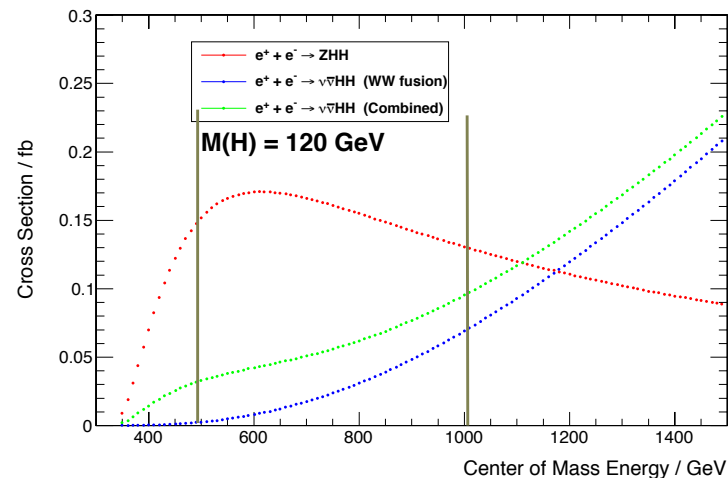
Signal diagram



Irreducible BG diagrams



- difficult even at ILC
- $\delta\lambda/\lambda > 0.5 \delta\sigma/\sigma$
- W fusion offers better sensitivity
- possible at 500 GeV, best at 1 TeV



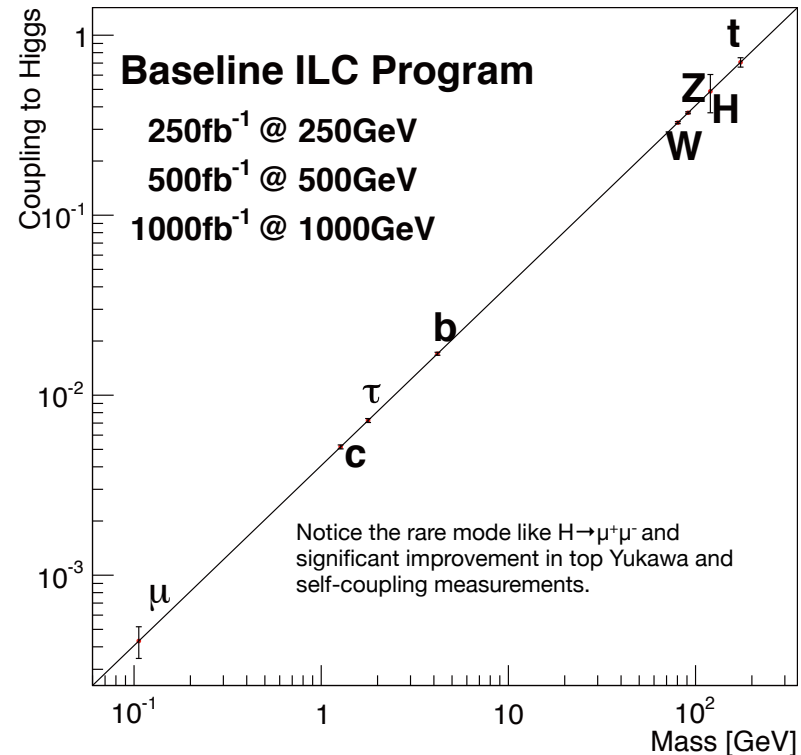
# Global fits

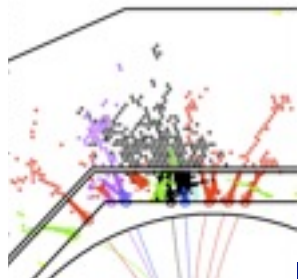
- Staged running scenario 250, 500, 1000 GeV
- 33  $\sigma$ -BR measurements - 10 free parameters

$g_{HZZ}, g_{HWW}, g_{Hbb}, g_{Hcc}, g_{Hgg}, g_{H\tau\tau}, g_{H\gamma\gamma}, g_{H\mu\mu}, g_{Htt}, \Gamma_0$

coupling	250 GeV	250 GeV + 500 GeV	250 GeV + 500 GeV + 1 TeV
HZZ	1.3%	1%	1%
HWW	4.8%	1.1%	1.1%
Hbb	5.3%	1.6%	1.3%
Hcc	6.8%	2.8%	1.8%
Hgg	6.4%	2.3%	1.6%
H $\tau\tau$	5.7%	2.3%	1.6%
H $\gamma\gamma$	18%	8.4%	4%
H $\mu\mu$	91%	91%	16%
$\Gamma$	12%	4.9%	4.5%
Htt	-	14%	3.1%
HHH	-	83%(*)	21%(*)

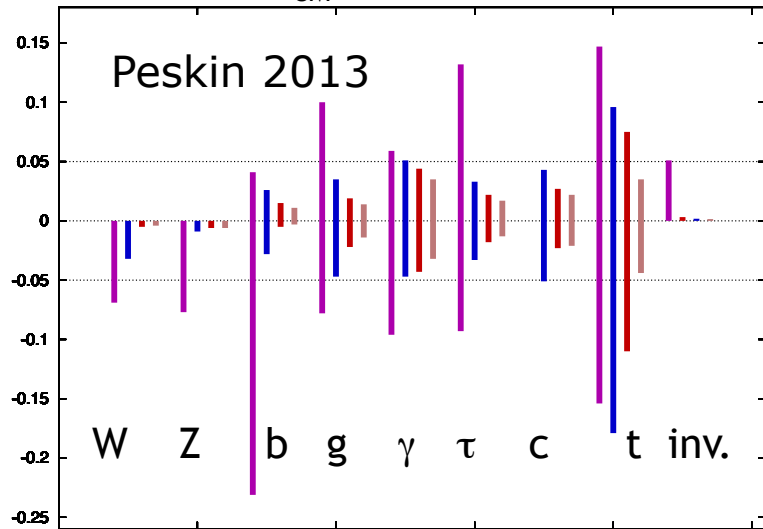
K.Fujii, LC School, Aug. 13, 2014



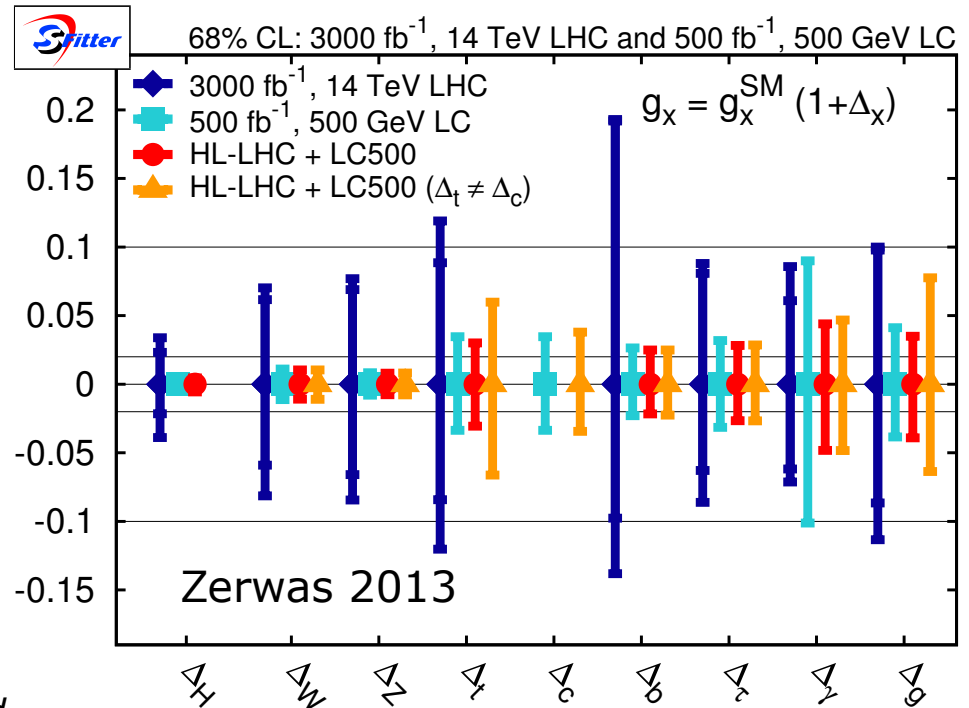


# ILC and LHC

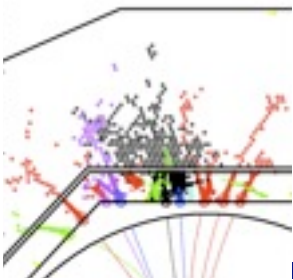
$g(\text{hAA})/g(\text{hAA})|_{\text{SM}} - 1$  LHC/ILC1/ILC/ILCTeV



LHC 300 fb<sup>-1</sup> @ 14 TeV  
 ILC1 250 fb<sup>-1</sup> @ 250 GeV  
 ILC 500 fb<sup>-1</sup> @ 500 GeV  
 ILC1T 1000 fb<sup>-1</sup> @ 1 TeV *successively included*



- Only with e+e- collisions one can reach the percent level precision to probe new physics
- also true w.r.t. high lumi LHC

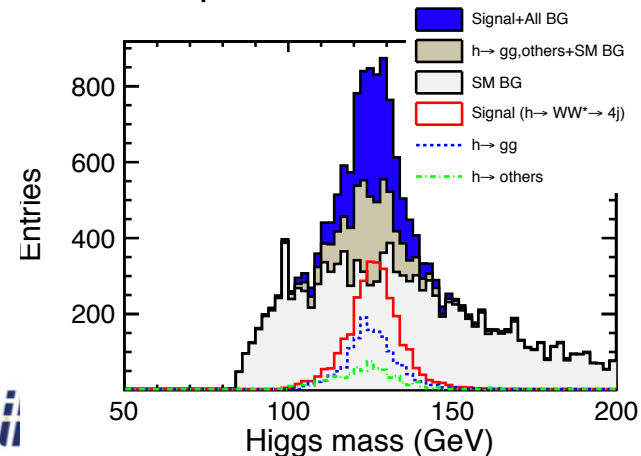


# Higgs at the ILC:

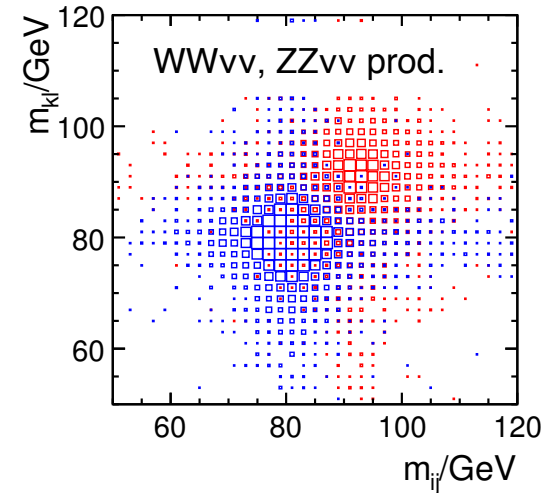
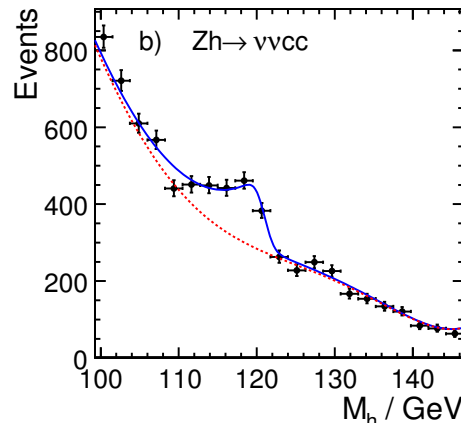
- The Higgs discovery opens the door to a completely new kind of matter and a completely new phenomenology
- An  $e^+e^-$  machine provides the clean conditions and a self-contained set of Higgs observables
- Only a linear collider can reach the precision at percent level to detect deviations which can direct us to new physics
- There is so much more
  - direct discoveries, top physics, ..

# LC physics with jets: $M_{inv}$

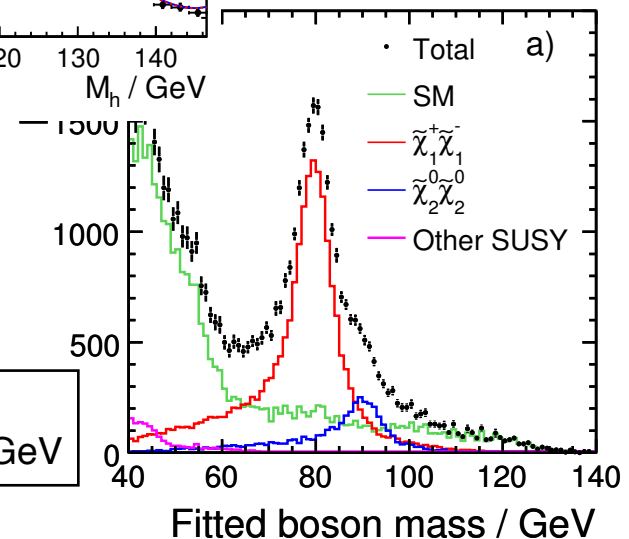
- W - Z separation
  - study strong e.w. symmetry breaking at 1 TeV
- Other di-jet mass examples
  - $H \rightarrow cc, Z \rightarrow \nu\nu$
  - Higgs recoil with  $Z \rightarrow qq$
  - invisible Higgs
  - WW fusion  $\rightarrow H \rightarrow WW$ 
    - total width and  $g_{Hww}$
- SUSY example:
  - Chargino neutralino separation



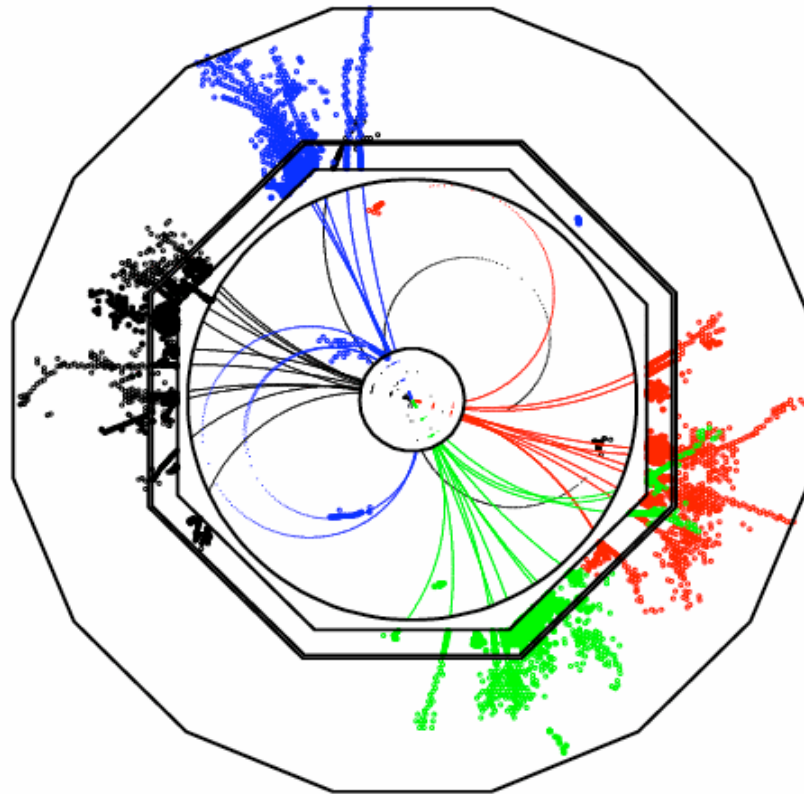
typical jet energies  
at  $\sqrt{s} = 500$  GeV  
50-150 GeV



$\sqrt{s} = 500$  GeV  
 $E_{jet}$  peak s @ 35 GeV

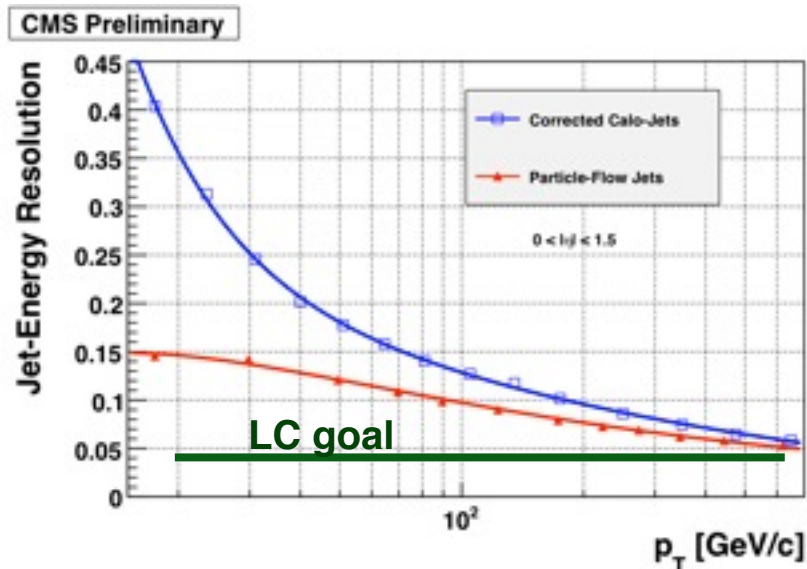
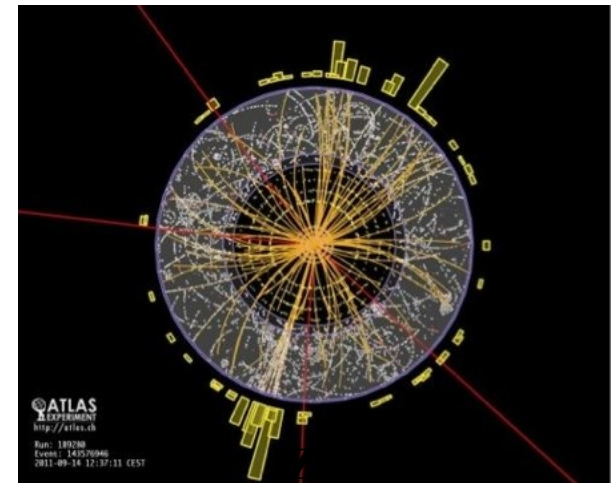


# Particle flow concept

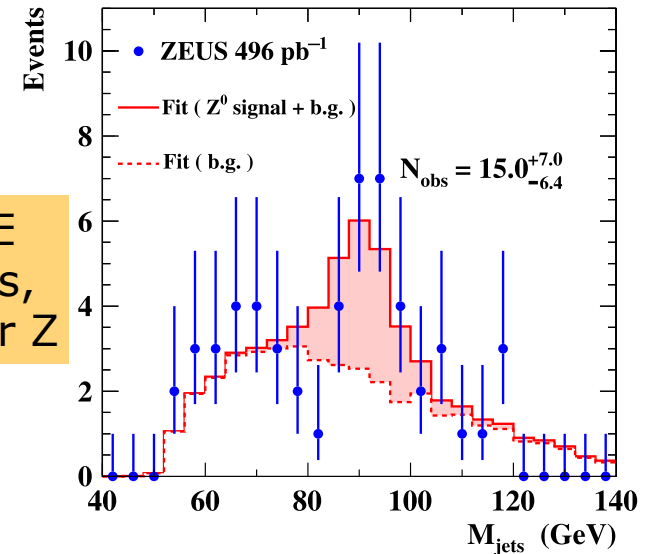


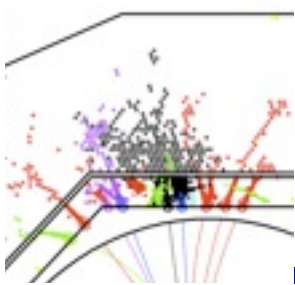
# The jet energy challenge

- Jet energy performance of existing detectors is not sufficient for separation of W and Z bosons
  - E.g. CMS:  $\sim 100\%/\sqrt{E}$ , ATLAS  $\sim 70\%/\sqrt{E}$
- Calorimeter resolution for hadrons is intrinsically limited, e.g. nuclear binding energy losses
- Resolution for jets worse than for single hadrons
- It is not sufficient to have the world's best calorimeter



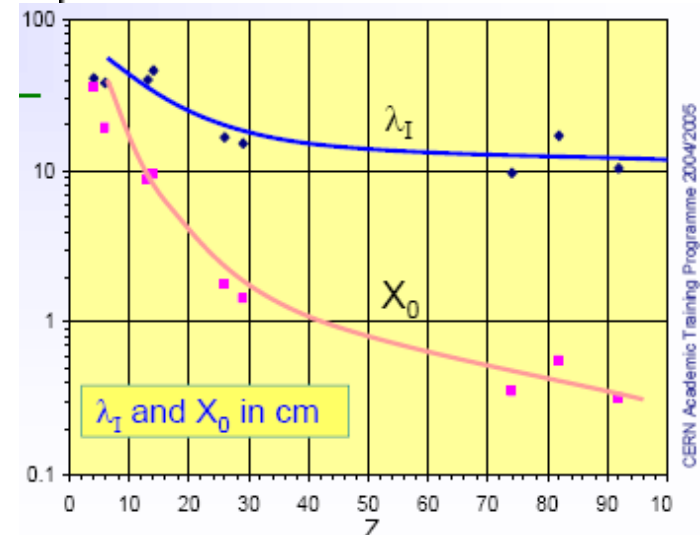
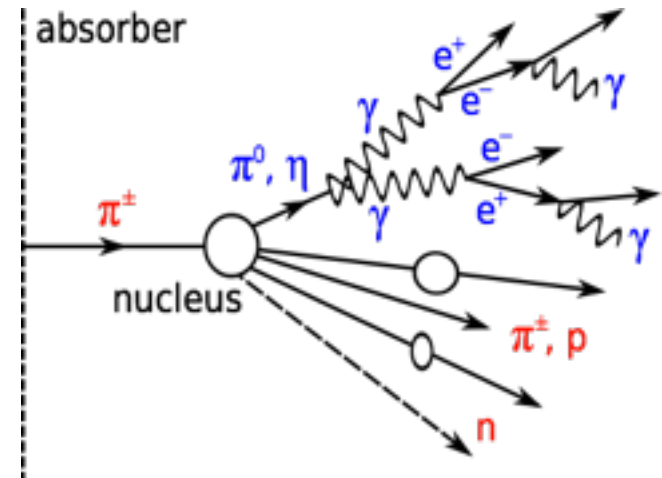
35% $\sqrt{E}$   
for pions,  
6 GeV for Z





# Hadron showers

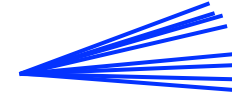
- Hadrons undergo strong interactions with detector (absorber) material
  - Charged hadrons: complementary to track measurement
  - Neutral hadrons: the only way to measure their energy
- In nuclear collisions secondary particles are produced
  - Partially undergo further nuclear interactions  
 → formation of a **hadronic cascade**
  - Electromagnetically decaying particles initiate **e.m. showers**
  - Part of the energy is absorbed as nuclear binding energy or target recoil and remains **invisible**
- Similar to em showers, but much more complex
- Small numbers , **large fluctuations**
- Different scale: hadronic interaction length
  - both **scales** present





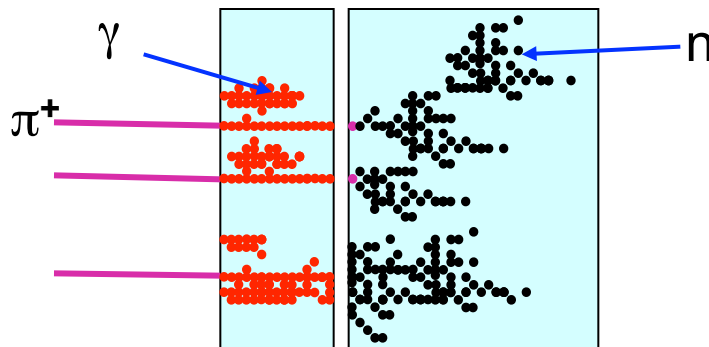
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from  $\pi^0 \rightarrow \gamma\gamma$ )
- ◆ 10 % in neutral hadrons (mainly  $n$  and  $K_L$ )

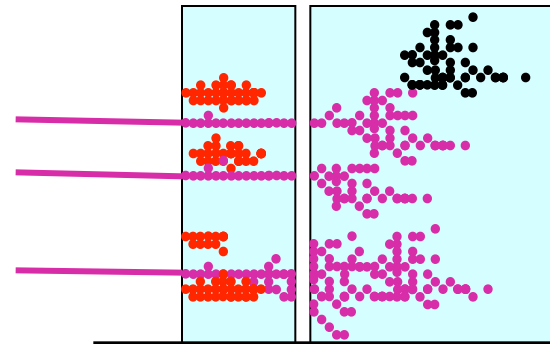


★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL:  $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



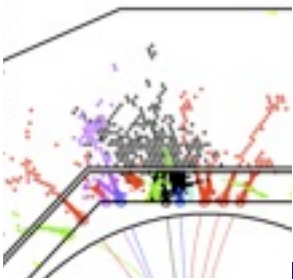
$$E_{\text{JET}} = E_{\text{ECAL}} + E_{\text{HCAL}}$$



$$E_{\text{JET}} = E_{\text{TRACK}} + E_{\gamma} + E_n$$

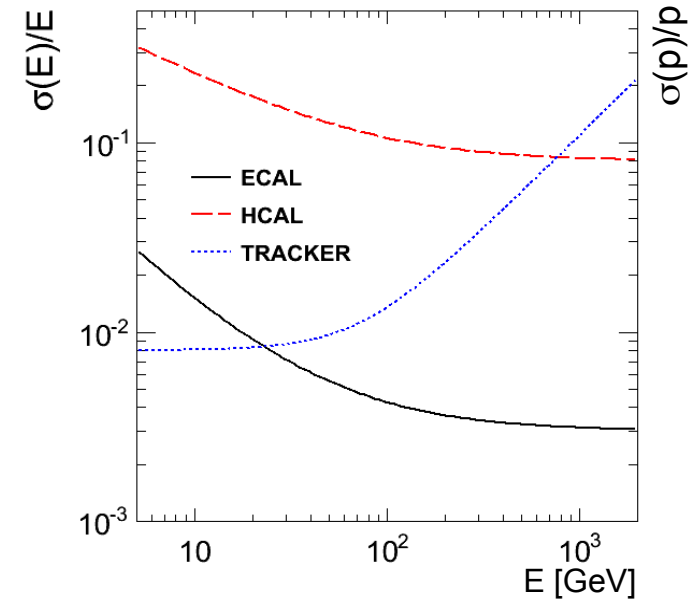
★ Particle Flow Calorimetry paradigm:

- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL:  $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL  $\Rightarrow$  much improved resolution



# Ideal jet energy resolution

- Numerical example:  $E_{\text{jet}} = 100 \text{ GeV}$ 
  - photons 30 GeV
  - hadrons 70 GeV
    - charged particles 60 GeV
    - neutral hadrons 10 GeV
- Classical case
- $E_{\text{jet}} = E_{\text{ECAL}} + E_{\text{HCAL}}$
- $\sigma_{\text{jet}} = 15\% \sqrt{30} \oplus 55\% \sqrt{70} = 0.8 \oplus 4.6 = 4.7 = 47\% \sqrt{100}$
- Particle flow case:
- $E_{\text{jet}} = E_{\text{tracks}} + E_{\text{photons}} + E_{\text{neutr.had}}$
- $\sigma_{\text{jet}} = 0 \oplus 15\% \sqrt{30} \oplus 55\% \sqrt{10} = 0.8 \oplus 1.7 = 1.9 = 19\% / \sqrt{100}$

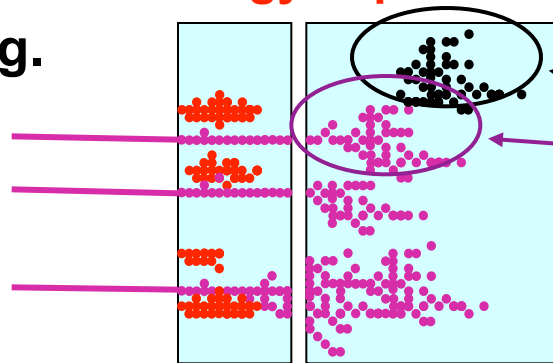


# Particle Flow Reconstruction

## Reconstruction of a Particle Flow Calorimeter:

- ★ **Avoid double counting of energy** from same particle
- ★ **Separate energy deposits** from different particles

e.g.

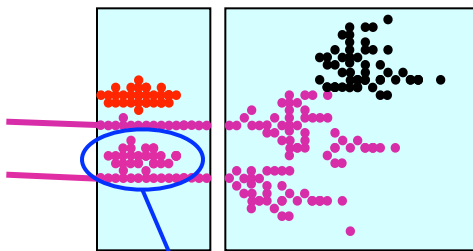


If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

**Level of mistakes, “confusion”, determines jet energy resolution**  
**not the intrinsic calorimetric performance of ECAL/HCAL**

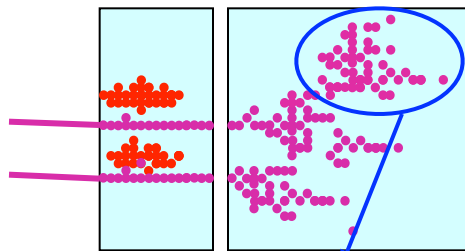
## Three types of confusion:

### i) Photons



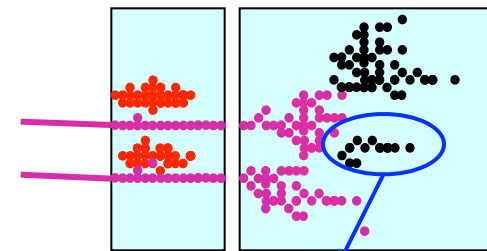
Failure to resolve photon

### ii) Neutral Hadrons

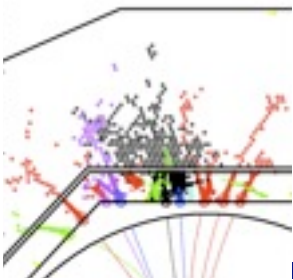


Failure to resolve neutral hadron

### iii) Fragments

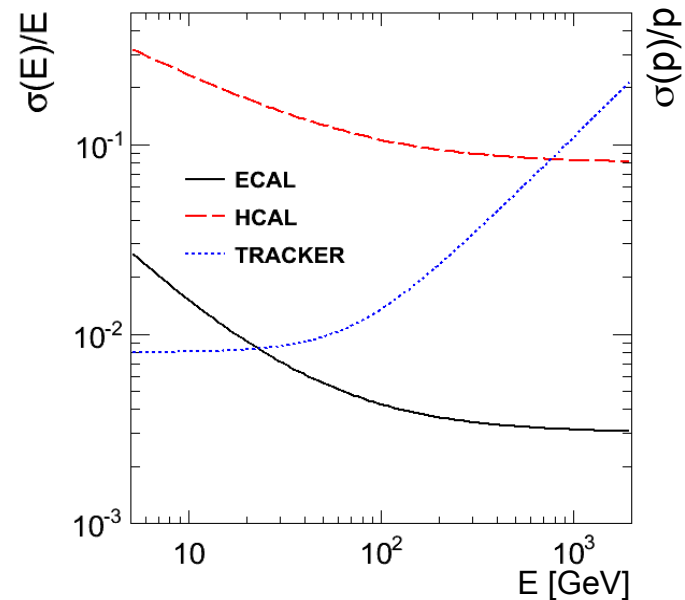


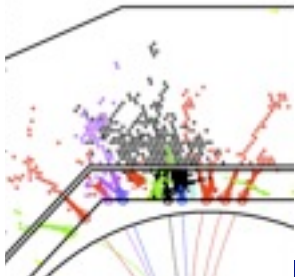
Reconstruct fragment as separate neutral hadron



# Real jet energy resolution

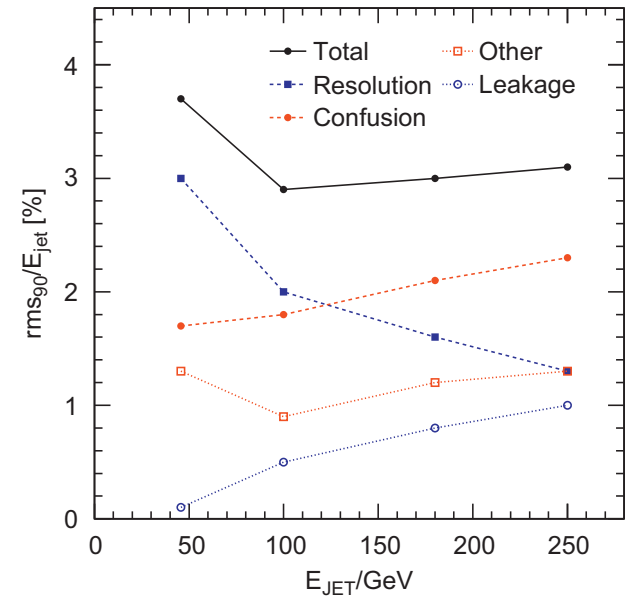
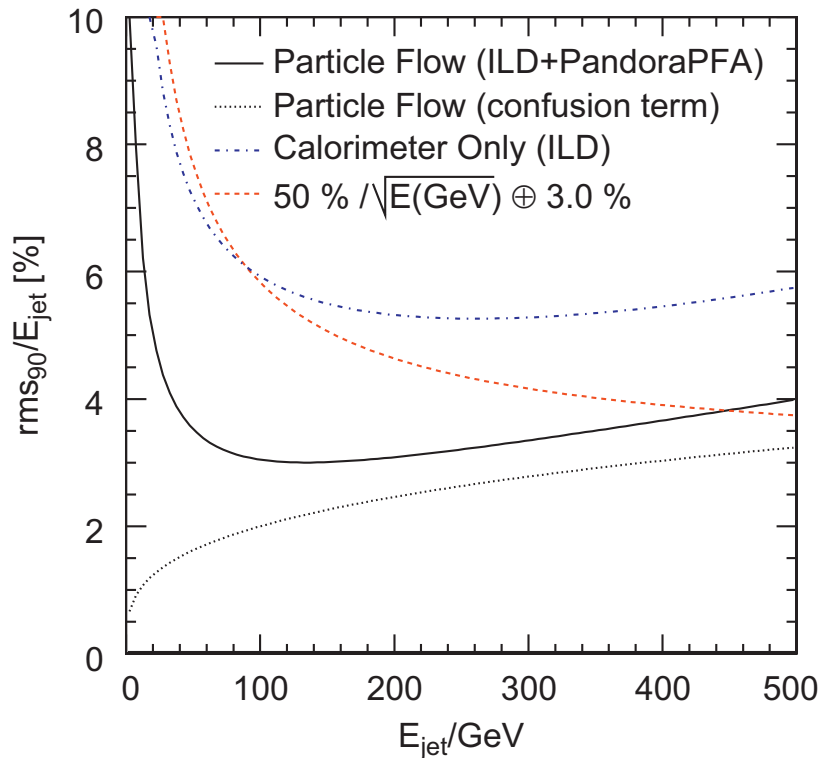
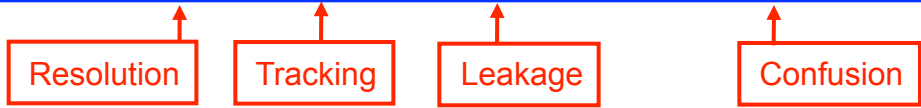
- Numerical example  $\sigma_{E_{\text{jet}}} = 100 \text{ GeV}$
- Classical case
- $E_{\text{jet}} = E_{\text{ECAL}} + E_{\text{HCAL}}$
- $\sigma_{\text{jet}} = 15\% \sqrt{30} \oplus 55\% \sqrt{70} = 0.8 \oplus 4.6 = 4.7 = 47\% \sqrt{100}$
- Particle flow case:
- $E_{\text{jet}} = E_{\text{tracks}} + E_{\text{photons}} + E_{\text{neutr.had}}$
- $\sigma_{\text{jet}} = 0 \oplus 15\% \sqrt{30} \oplus 55\% \sqrt{10} = 0.8 \oplus 1.7 = 1.9 = 19\% / \sqrt{100}$
- Confusion example:
- Shower fragment of 10 GeV hadron (within  $1 \sigma$ ):  $1.7 \text{ GeV} = 17\% / \sqrt{E_{\text{jet}}}$
- Other effects (particle masses,...)
- In practice 3% at 100 GeV achievable



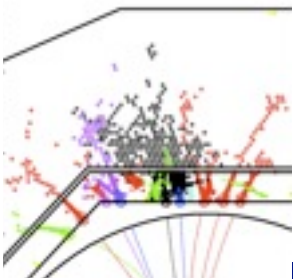


# Understand particle flow performance

$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left( \frac{E}{100} \right)^{+0.3} \%$$



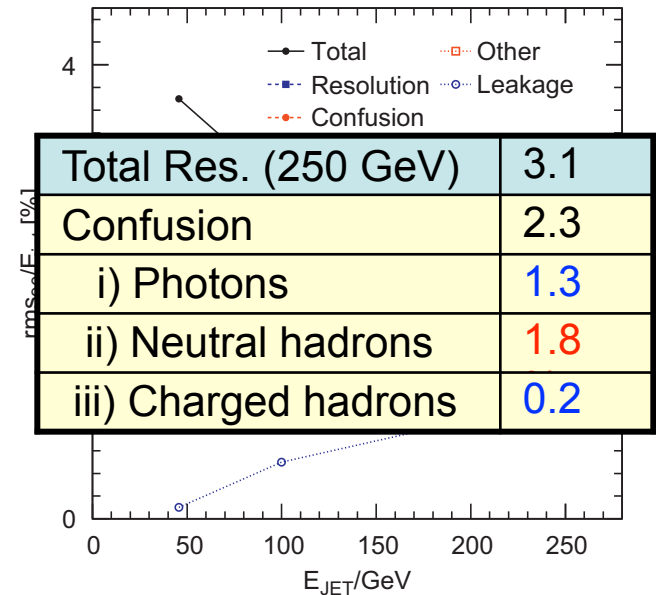
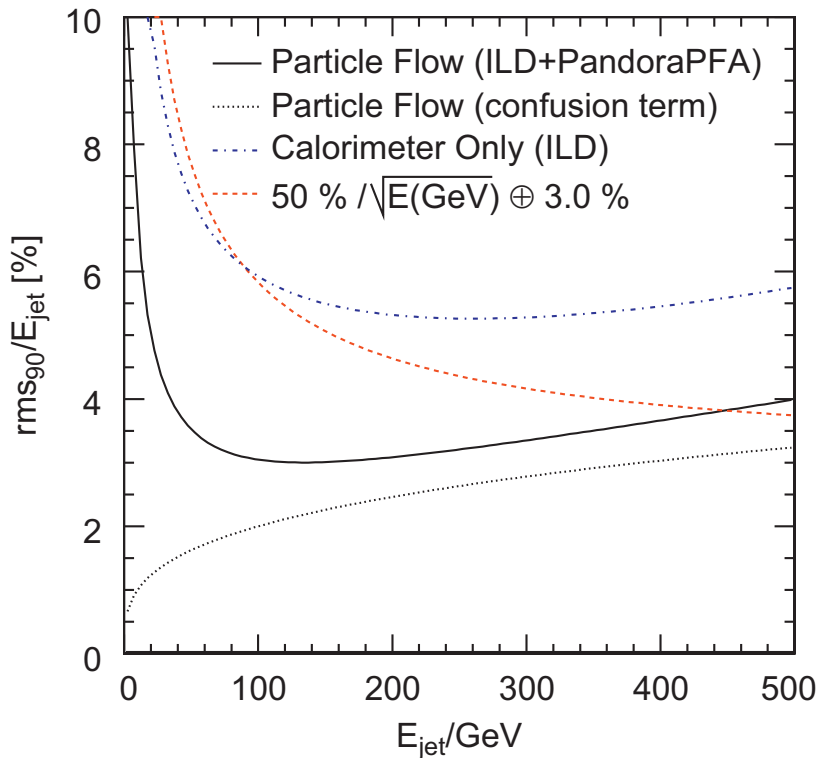
- Particle flow is always a gain
  - even at high jet energies
- Calorimeter resolution does matter
  - dominates up to ~ 100 GeV
  - contributes to resolve confusion
- Leakage plays a role, too
  - but less than in classic case



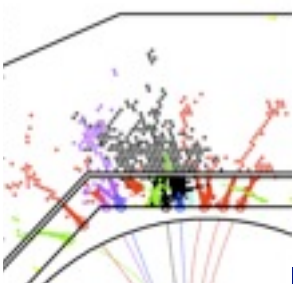
# Understand particle flow performance

$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left( \frac{E}{100} \right)^{+0.3} \%$$

Resolution      Tracking      Leakage      Confusion

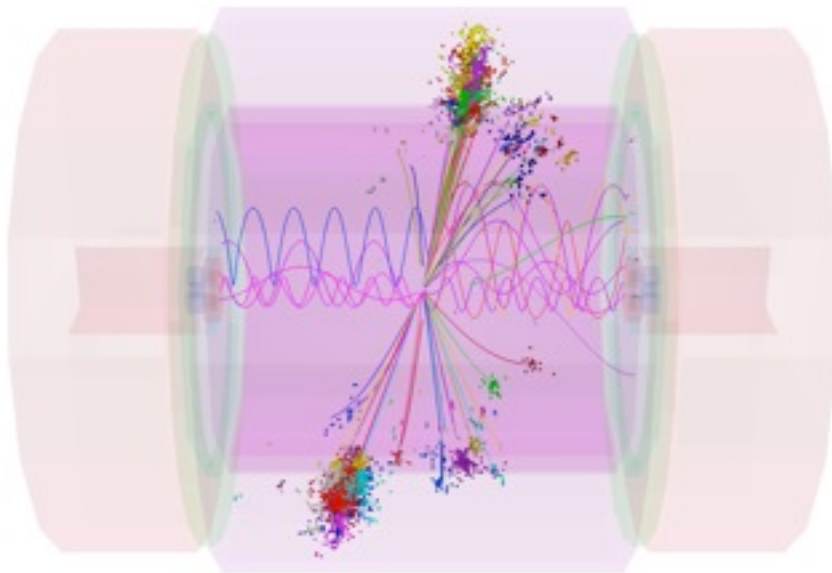


- Particle flow is always a gain
  - even at high jet energies
- Calorimeter resolution does matter
  - dominates up to  $\sim 100$  GeV
  - contributes to resolve confusion
- Leakage plays a role, too
  - but less than in classic case

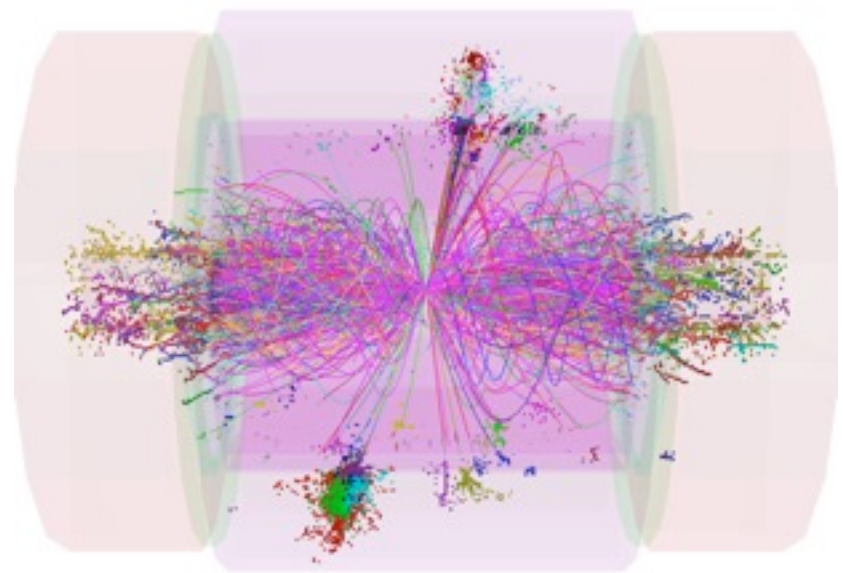


# Particle flow and pile-up

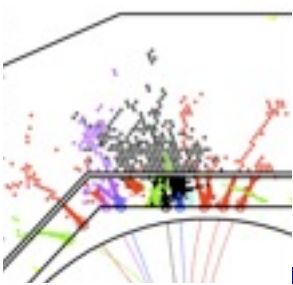
- Studied intensively for CLIC: harsh backgrounds and short BX 0.5 ns
- Overlay  $\gamma\gamma$  events from 60 BX, take sub-detector specific integration times, multi-hit capability and time-stamping accuracy into account
- Apply combination of topological, pt and timing cuts on cluster level (sub-ns accuracy)



Z @ 1 TeV

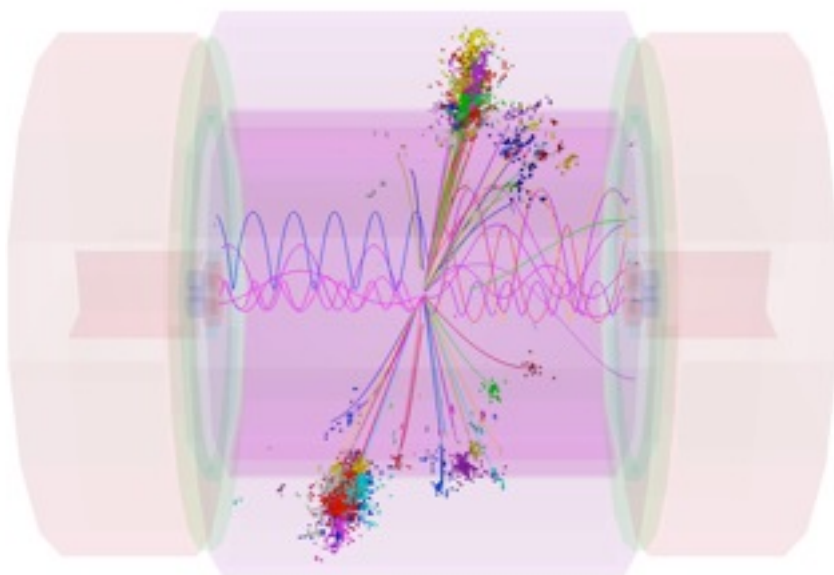


+ 1.4 TeV BG (reconstructed particles)

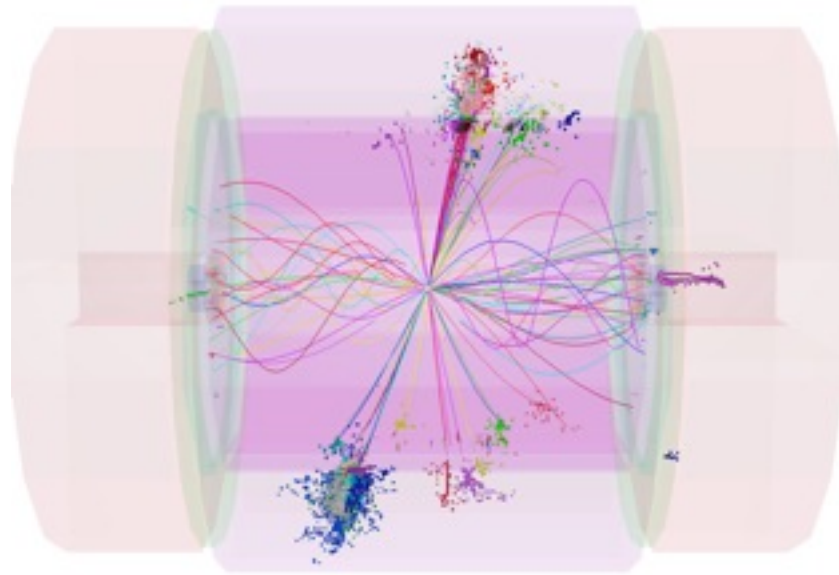


# Particle flow and pile-up

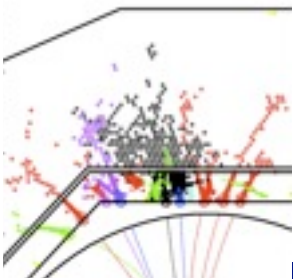
- Studied intensively for CLIC: harsh backgrounds and short BX 0.5 ns
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Z @ 1 TeV

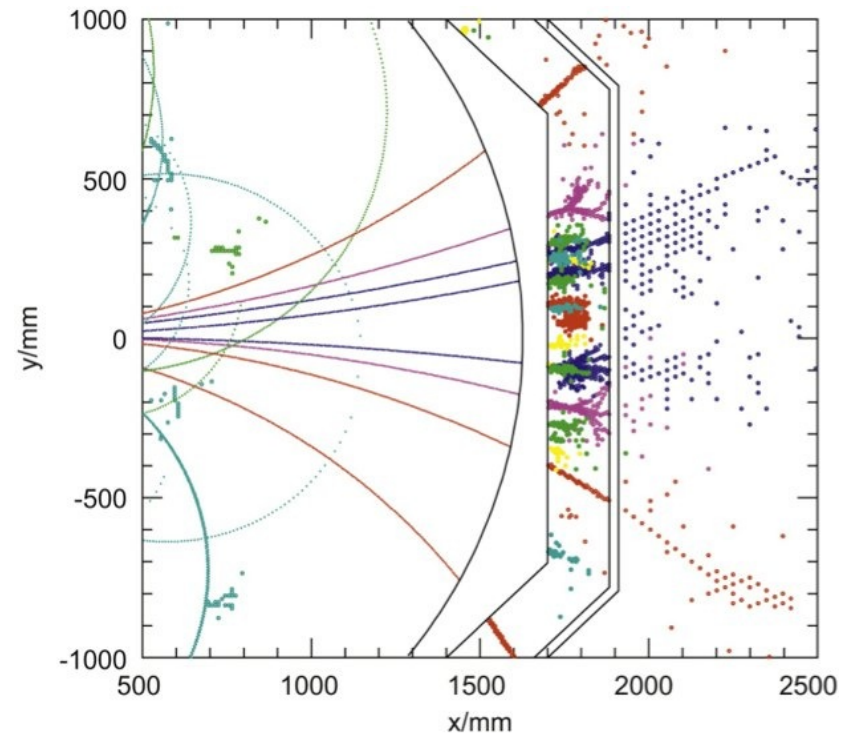
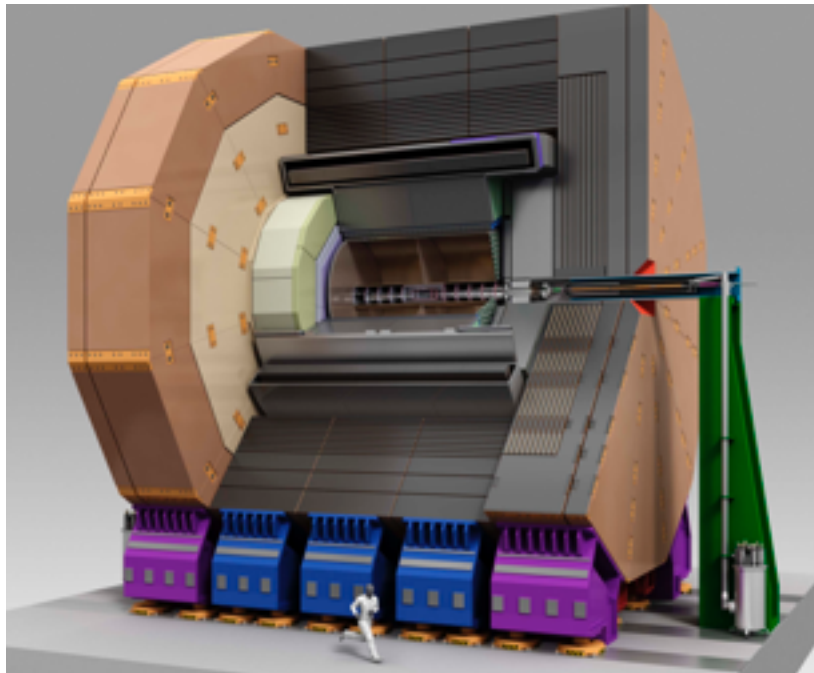


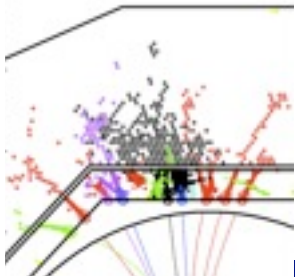




# Particle flow detectors

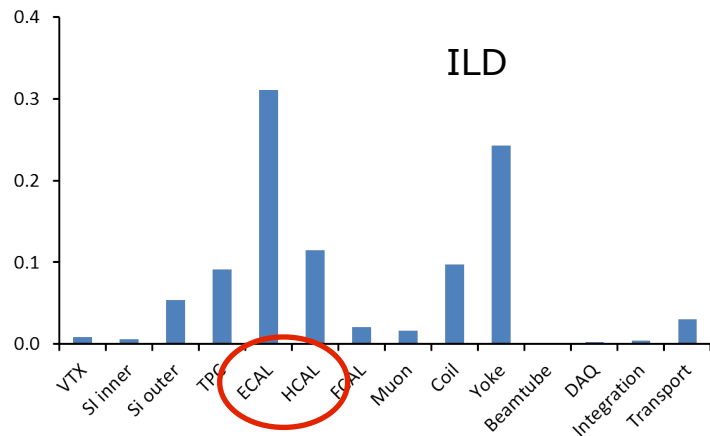
- Large radius, high magnetic field, calorimeters inside coil
- Dense and compact design
- Very high granularity
  - order of Moliere radius
  - ECAL: 0.5 - 1 cm,  $10^8$  cells
  - HCAL: 1 - 3 cm,  $10^7$  -  $10^8$  cells



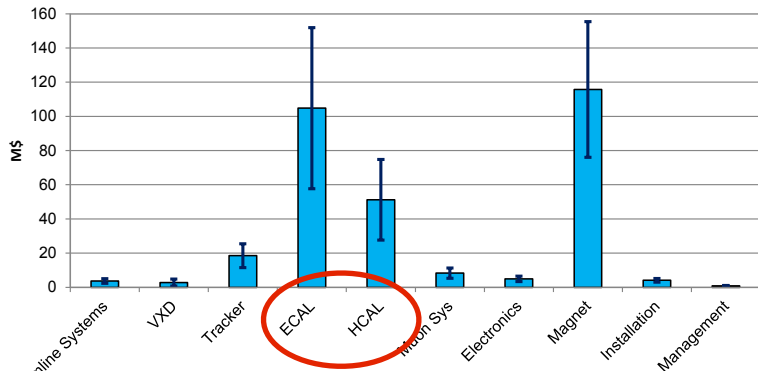


# Calorimeter cost

fraction of 392

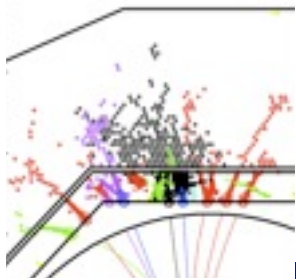


SiD M&S



sum = 315

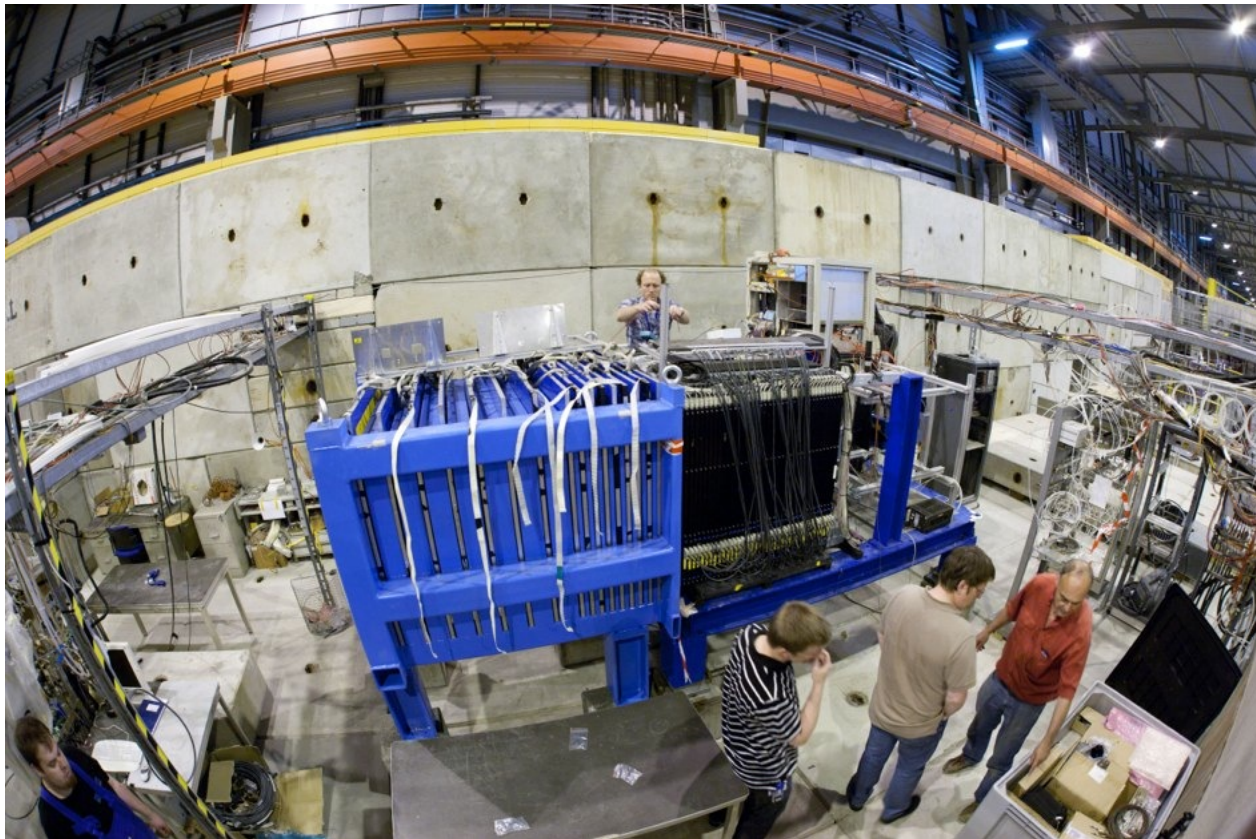
- Costing is at a very early stage
- Yet, many lessons learnt from 2nd generation prototypes
- Example ILD scint HCAL: 45M
  - 10M fix, rest ~ volume
  - 10M absorber, rest ~ area ( $n_{\text{Layer}}$ )
  - 16M PCB, scint, rest ~ channels
  - 10 M SiPMs and ASICs
- HCAL cost is rather driven by instrumented area then by cell size
- ECAL cost driver: silicon area
  - ILD 2500 m<sup>2</sup>, SiD 1200 m<sup>2</sup>
  - cf. CMS tracker 200 m<sup>2</sup>
  - cf. CMS ECAL+HCAL endcap 600 m<sup>2</sup>

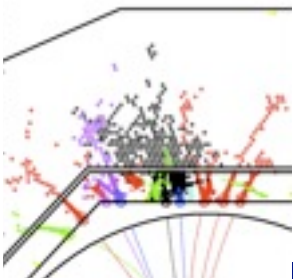


# Main ideas:

- Linear collider physics demands 3-4% jet energy resolution, which cannot be achieved with classical calorimetry
- Particle flow detectors achieve this precision over a wide energy range for ILC and CLIC
  - even in harsh back/background condition and with pile-up
- Particle flow calorimeters feature good energy resolution **and** high granularity, 10 to 100 million channels
- Detector cost driven by instrumented area rather than cell size

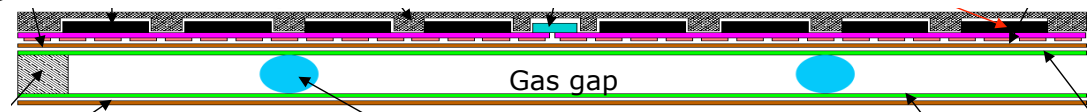
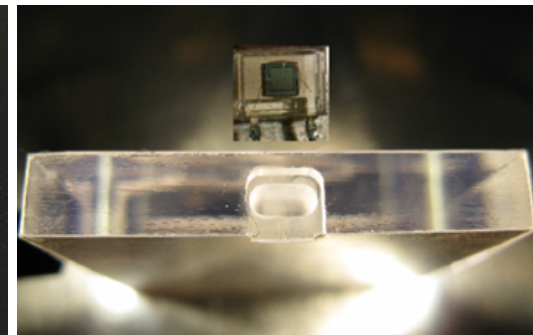
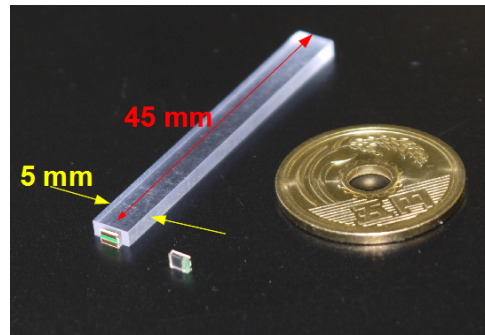
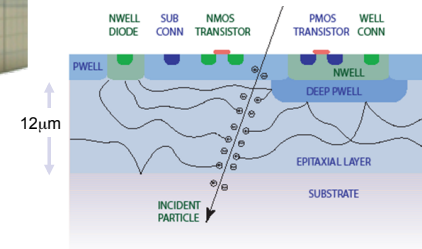
# Technologies and test beam performance

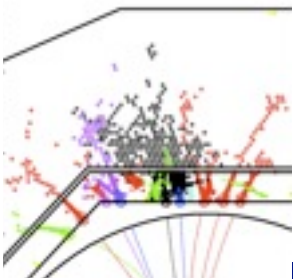




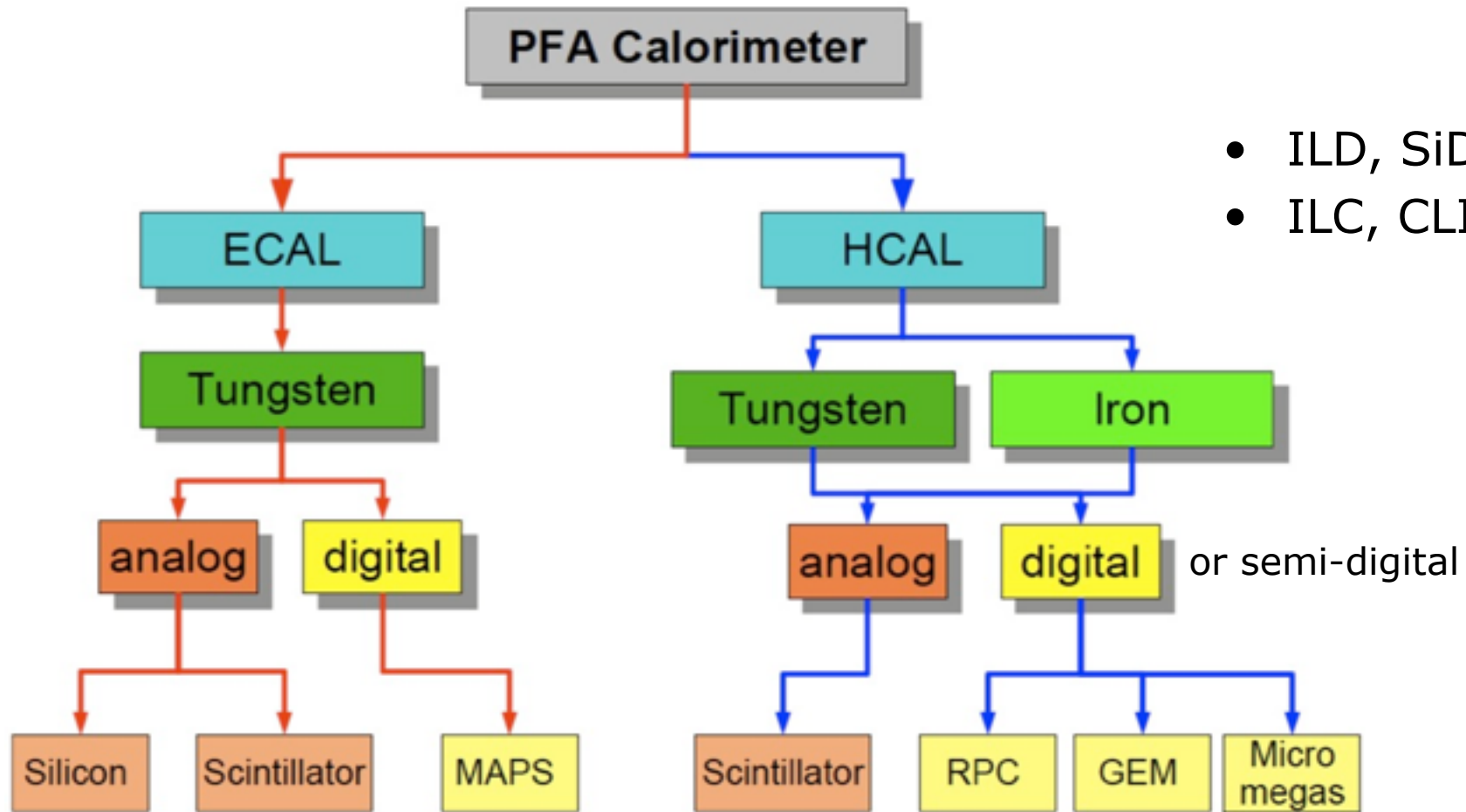
# Particle flow technologies

- Silicon (ECAL)
  - most compact solution, stable calibration
  - 0.5 - 1 cm<sup>2</sup> cell size
  - MAPS pixels also studied
- Scintillator SiPM (ECAL, HCAL)
  - robust and reliable, SiPMs..
  - ECAL strips: 0.5 - 1 cm eff.
  - HCAL tiles: 3x3 cm<sup>2</sup>
- Gaseous technologies
  - fine segmentation: 1 cm<sup>2</sup>
  - Glass RPCs: well known, safe
  - MPGDs: proportional, rate-capable
    - GEMs, Micromegas





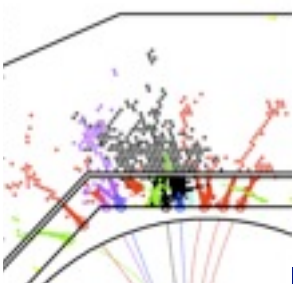
# Calorimeter technologies



- ILD, SiD
- ILC, CLIC

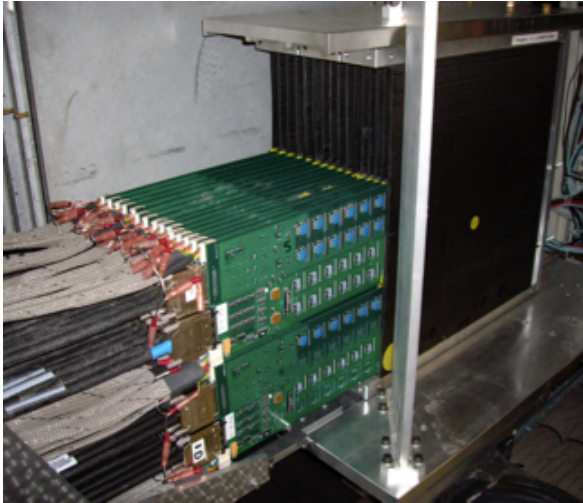
or semi-digital

full prototypes

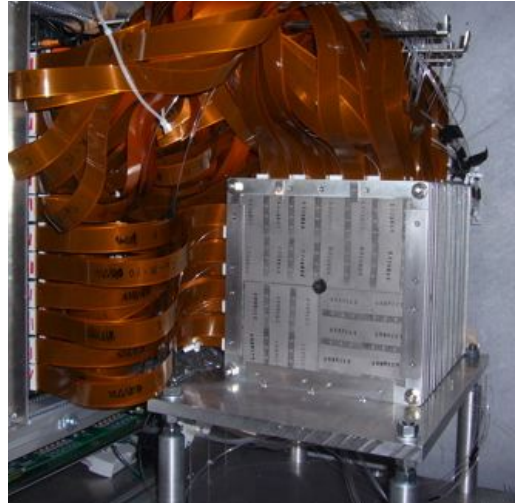


# Test beam prototypes

SiW ECAL



ScintW ECAL



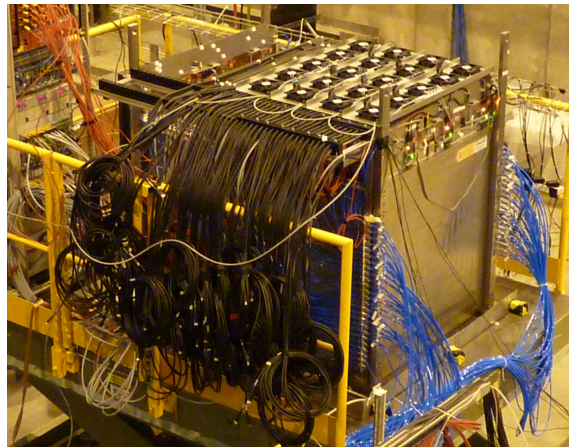
Scint AHCAL, Fe & W



RPC DHCAL, Fe & W

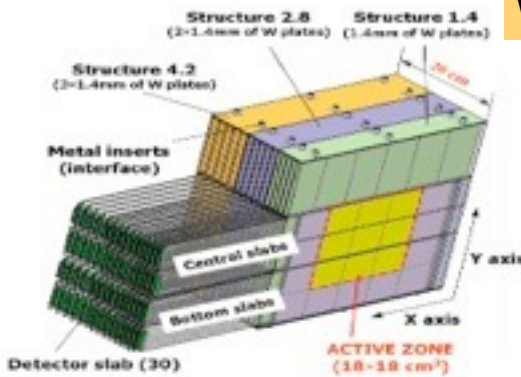
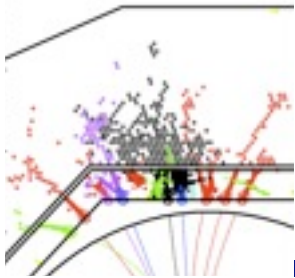


RPC SDHCAL, Fe

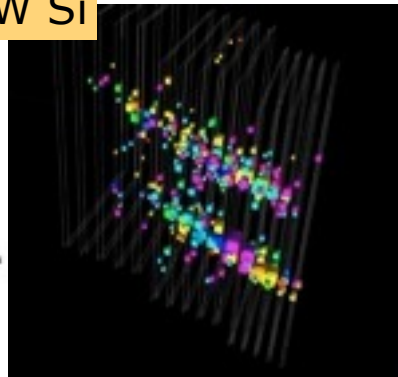


- plus tests with small numbers of layers:
- ECAL, AHCAL with integrated electronics
  - Micromegas and GEMs

# CALICE ECALs performance



W Si

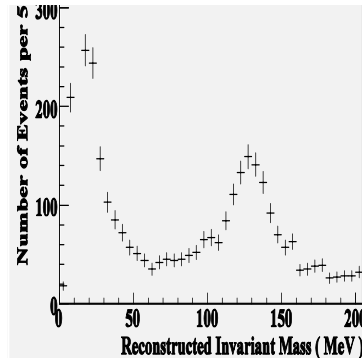
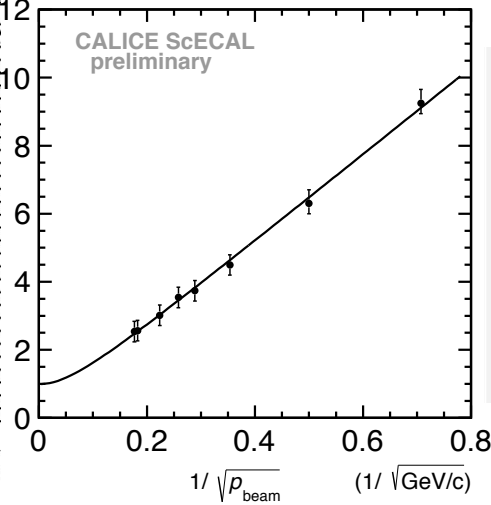
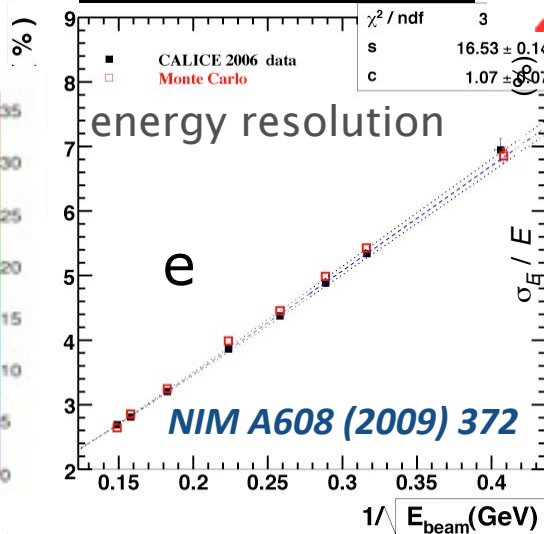
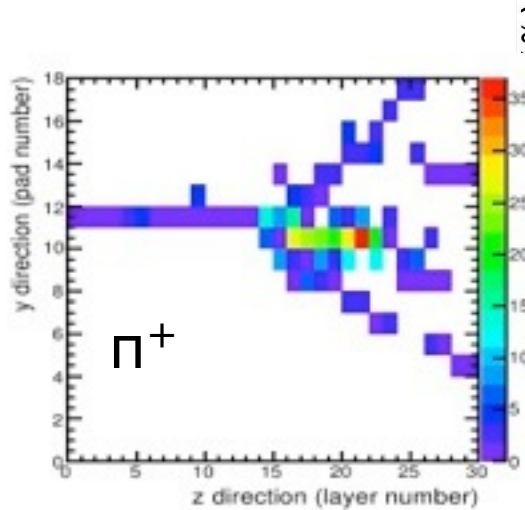
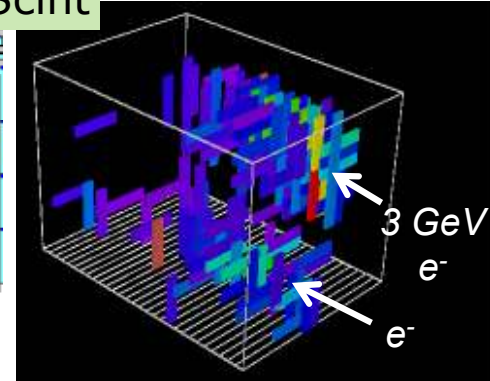


W Scint

72 strips  
x 30 layers

18 cm

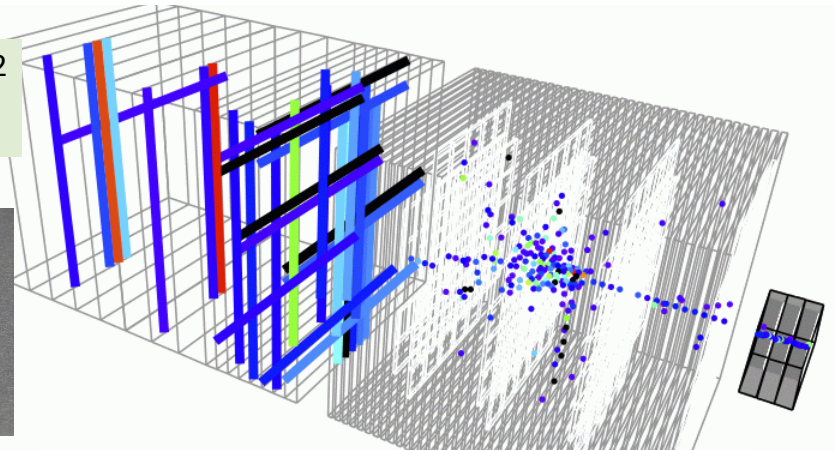
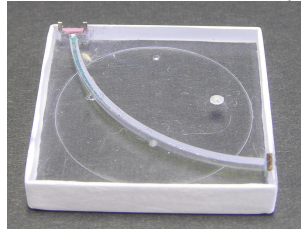
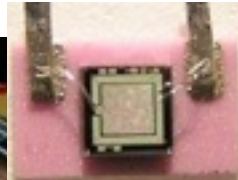
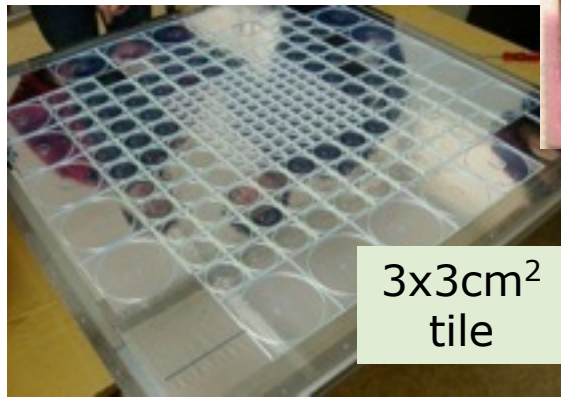
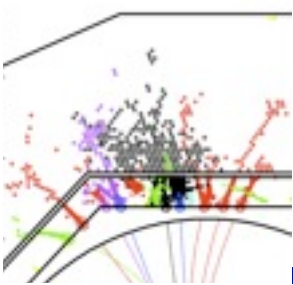
18 cm



- data and sim agree



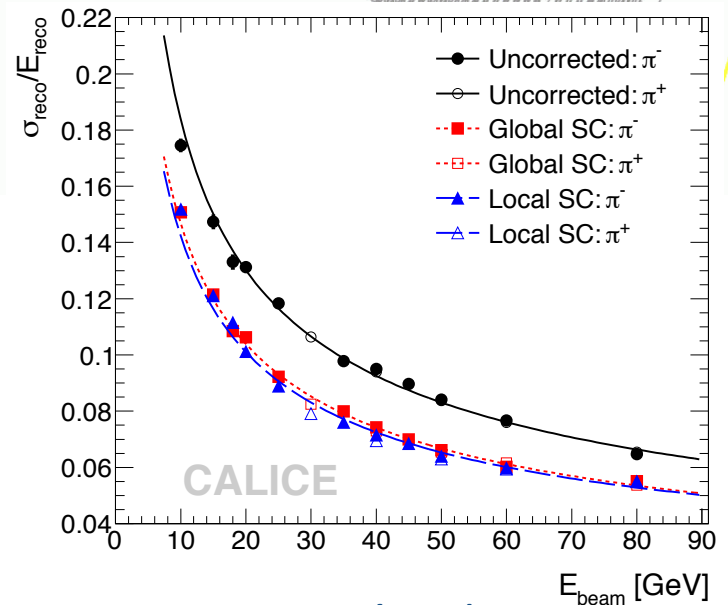
# Scintillator HCAL performance



- 38 layer steel and tungsten
- 7608 channels: first large scale SiPM application
- very robust: 6 years of data taking at DESY, CERN, Fermilab
- a very good calorimeter, too

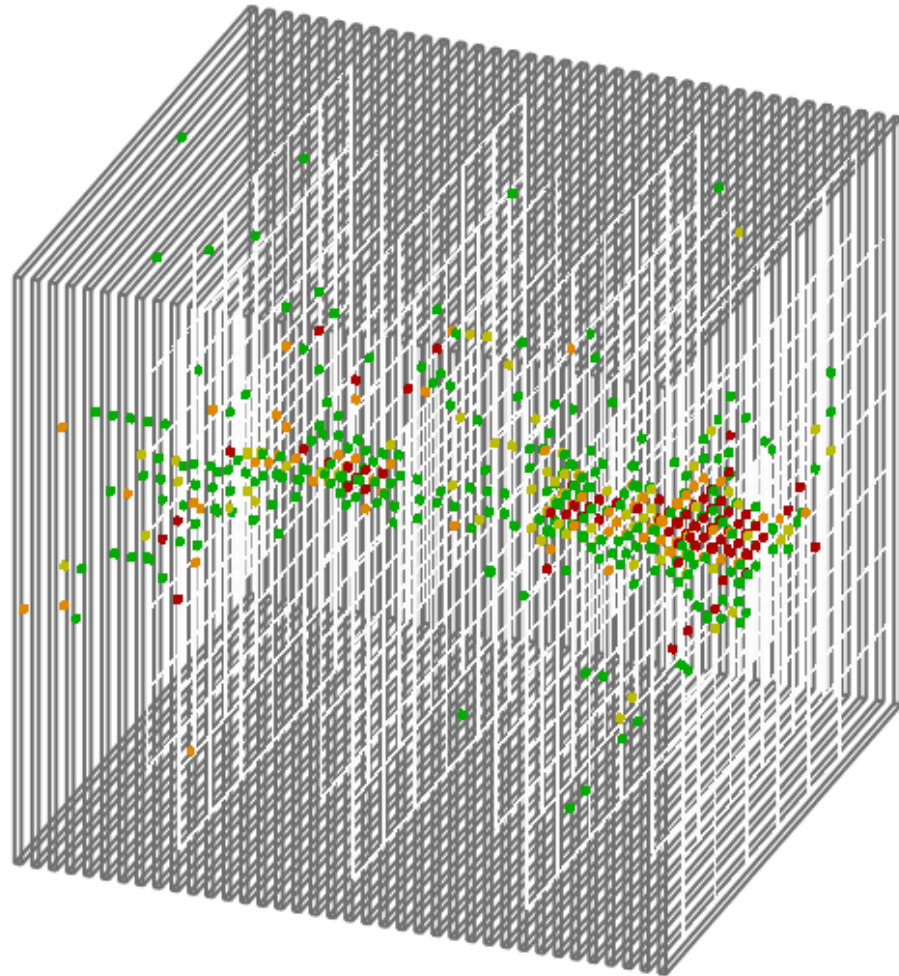
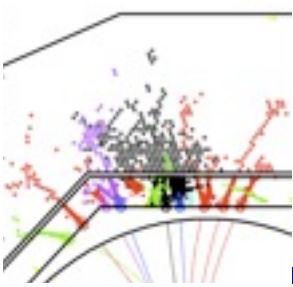
$$\sigma/E = 45.1\%/\sqrt{E} \oplus 1.7\% \oplus 0.18/E$$

software compensation



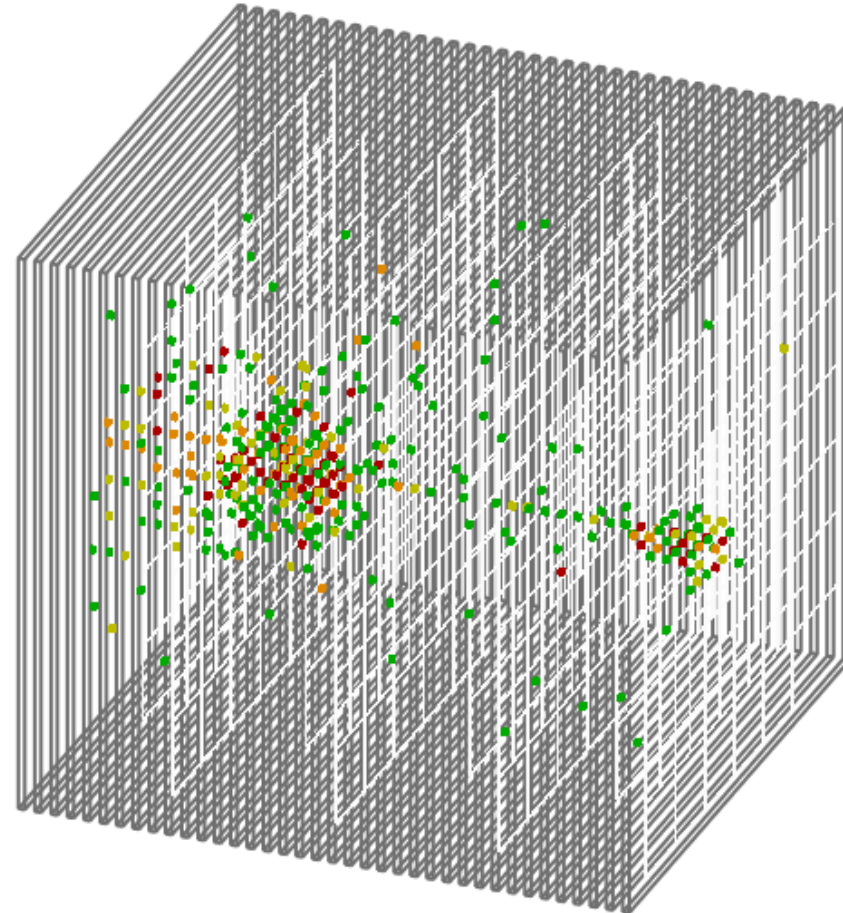
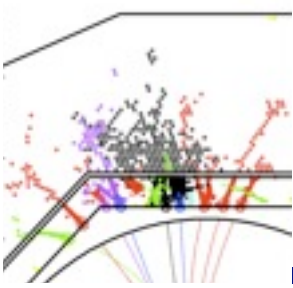
JINST 7, P00917 (2012)

# Event displays



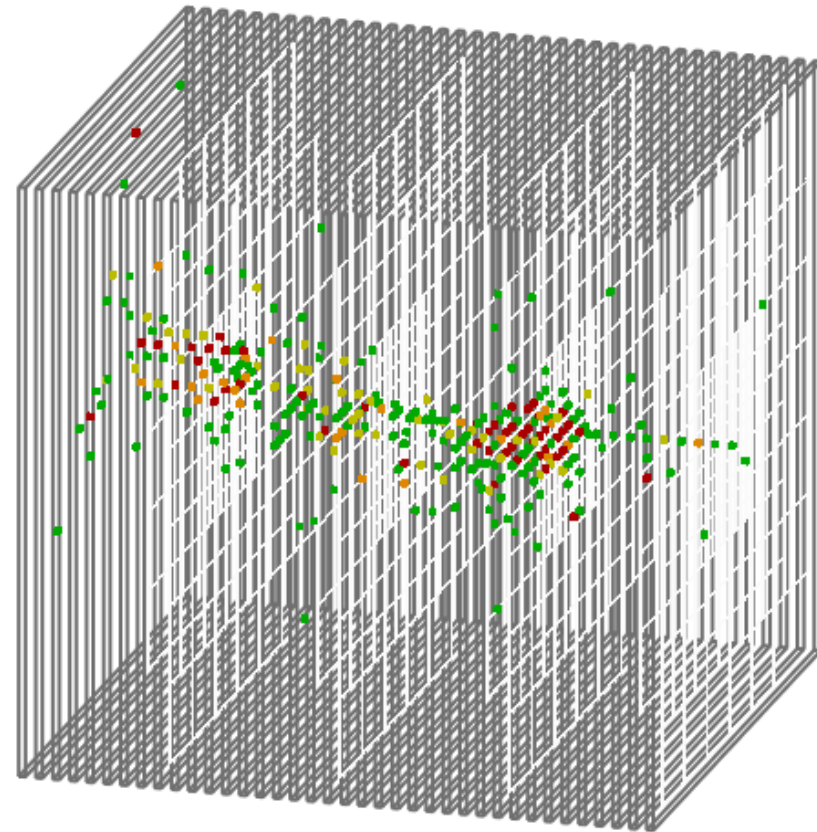
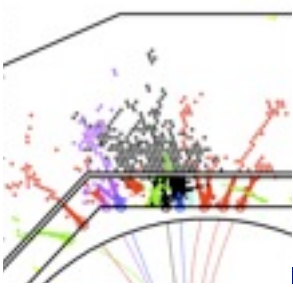
- pions 80 GeV
- W absorber

# Event displays

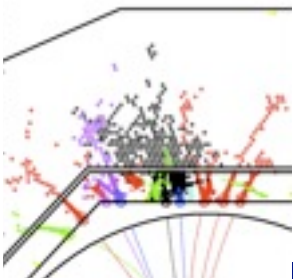


- pions 80 GeV
- W absorber

# Event displays

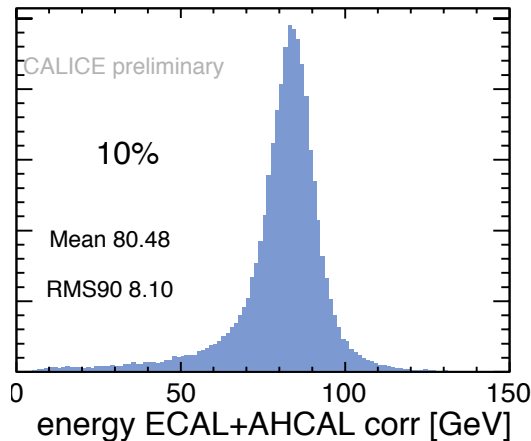
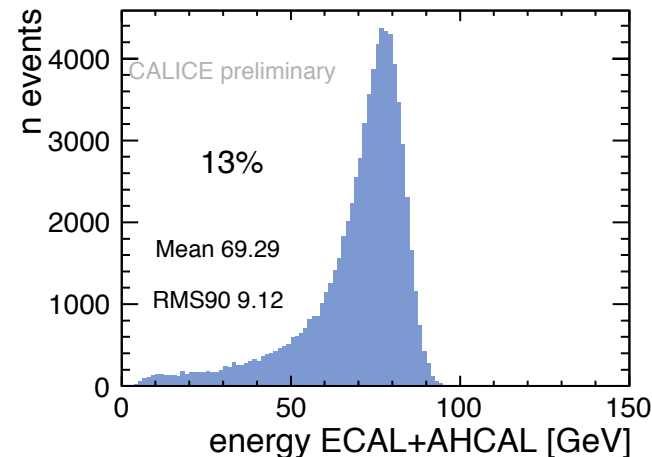
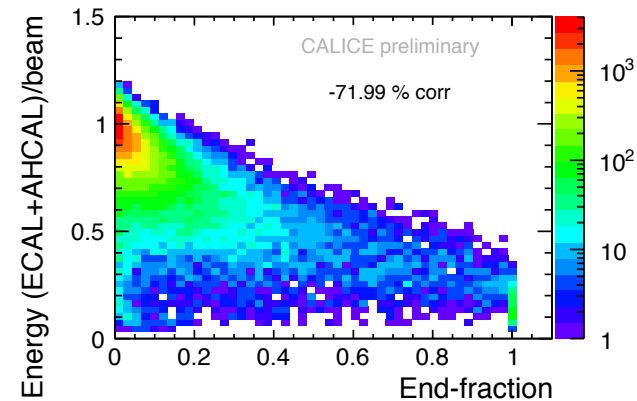
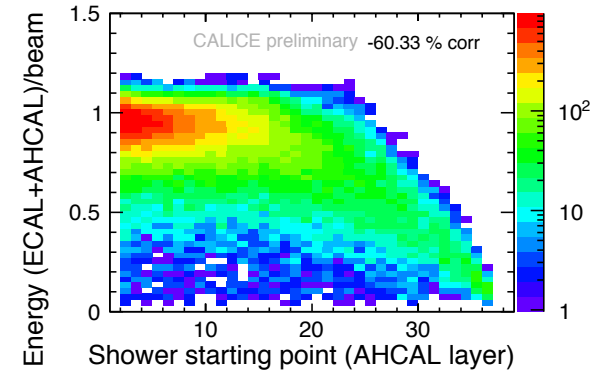


- pions 80 GeV
- W absorber

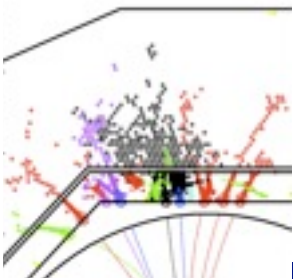


# Leakage estimation

- Exploit the fine granularity
- ECAL  $1\lambda$ , HCAL  $4.5\lambda$
- Observables
  - shower start
  - energy fraction in rear layers
  - measured energy

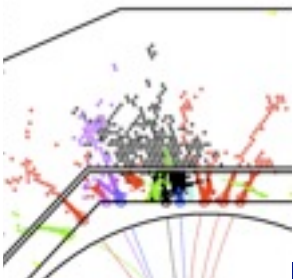


cf : with tail catcher, no coil: 5.4%



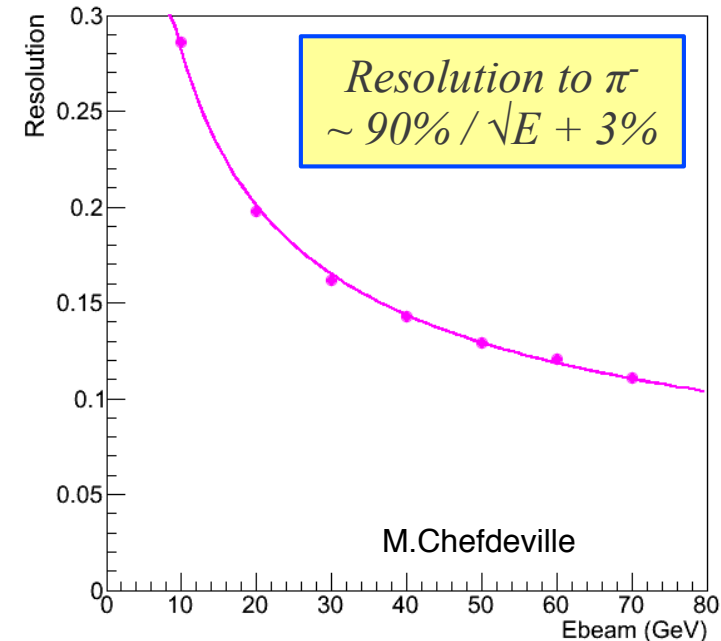
# Gaseous calorimeters

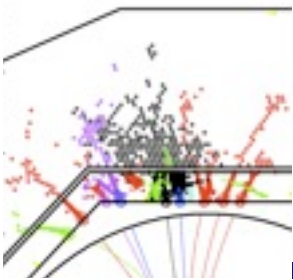
- Gaseous HCAL with **analogue** readout would have poor resolution
  - small sampling, large Landau fluctuations
- **Digital** calorimeter idea: count particles, ignore fluctuations
  - $1\text{cm}^2$  cells: saturate above 30 GeV
- **Semi-digital** idea: mitigate saturation using several thresholds and weights
  - assumes signal prop. to E deposition



# Gaseous calorimeters

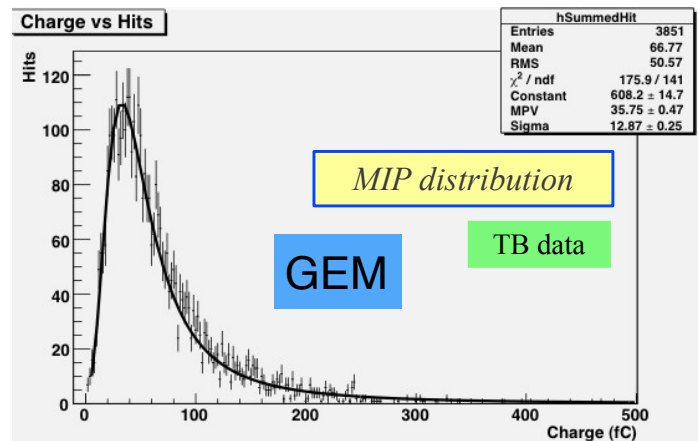
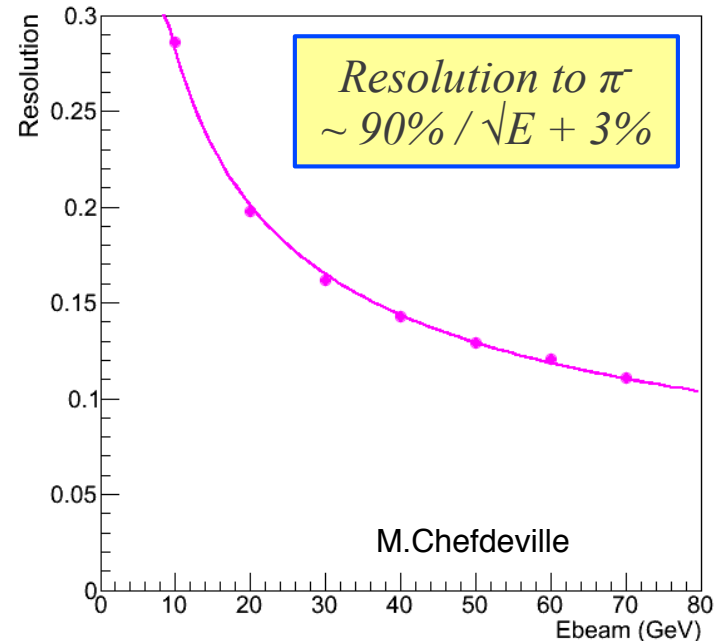
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  - small sampling, large Landau fluctuations
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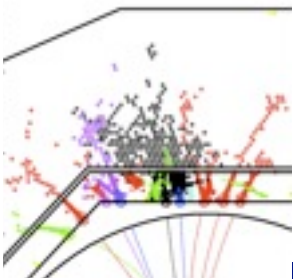


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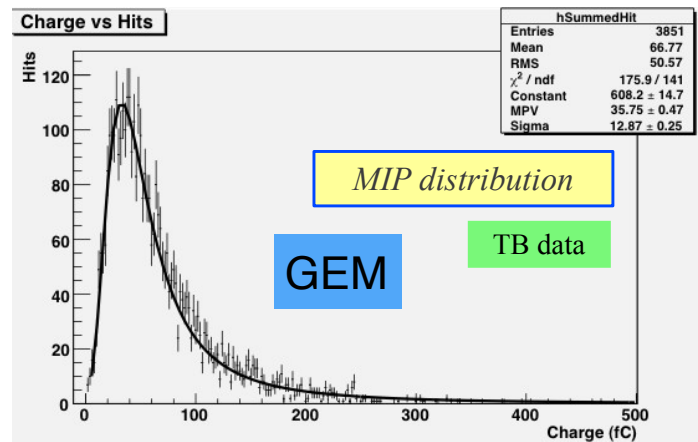
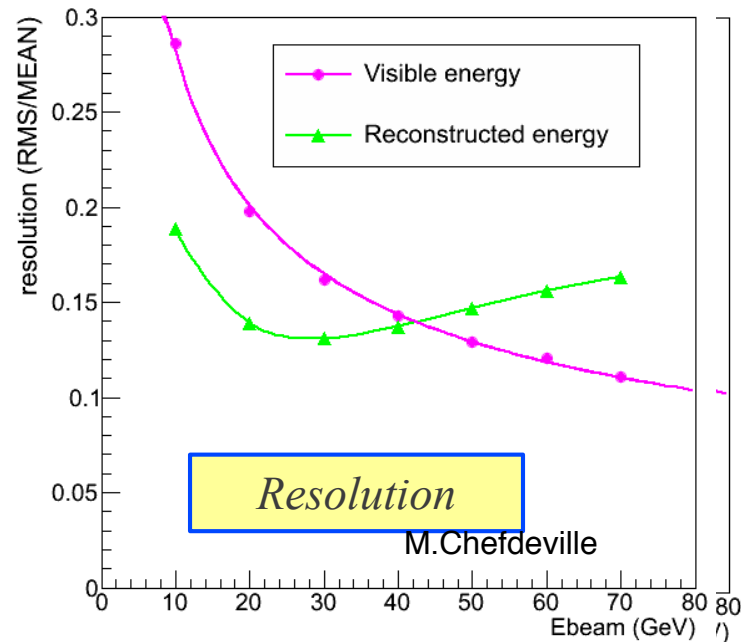


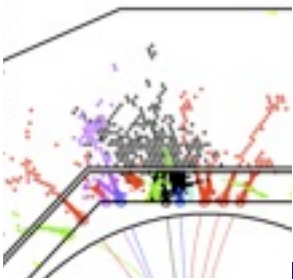




# Gaseous calorimeters

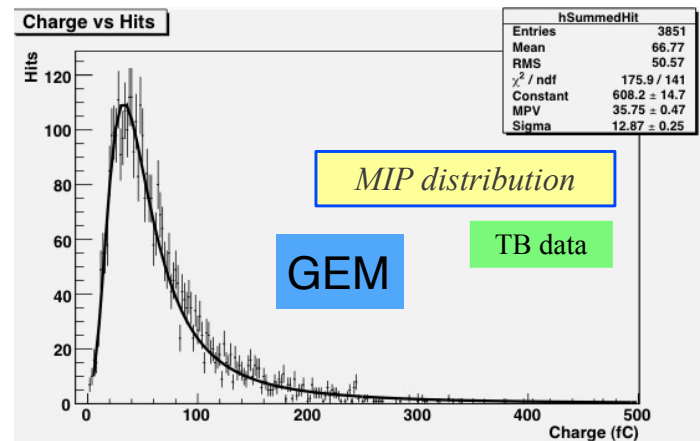
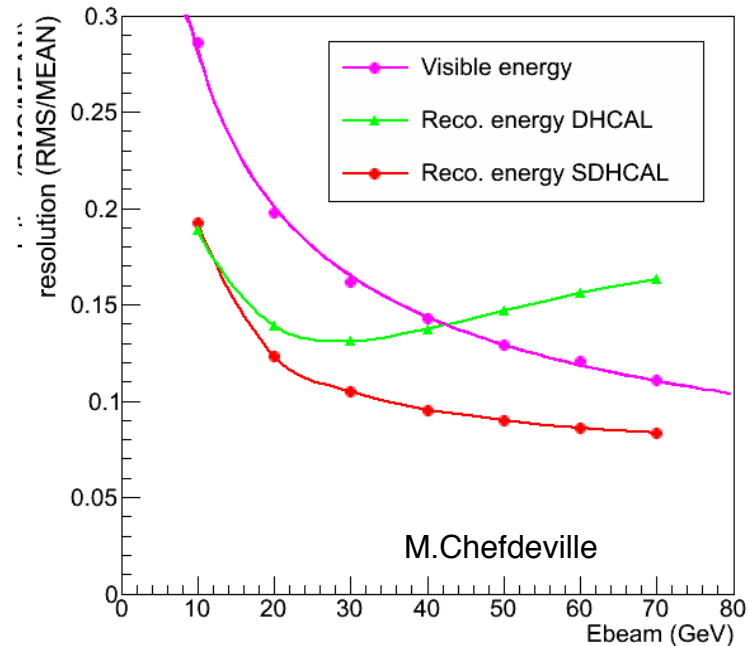
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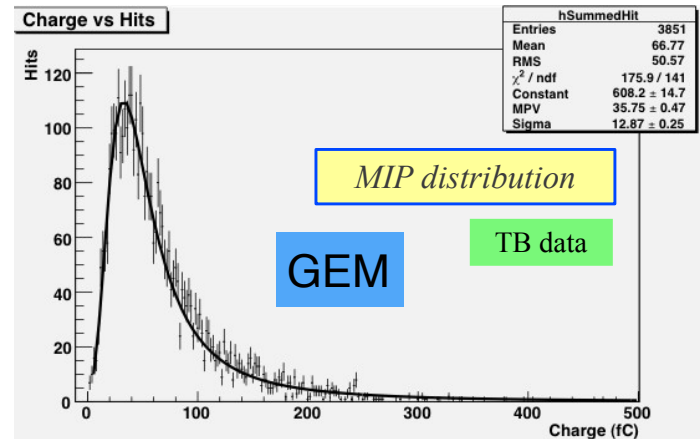
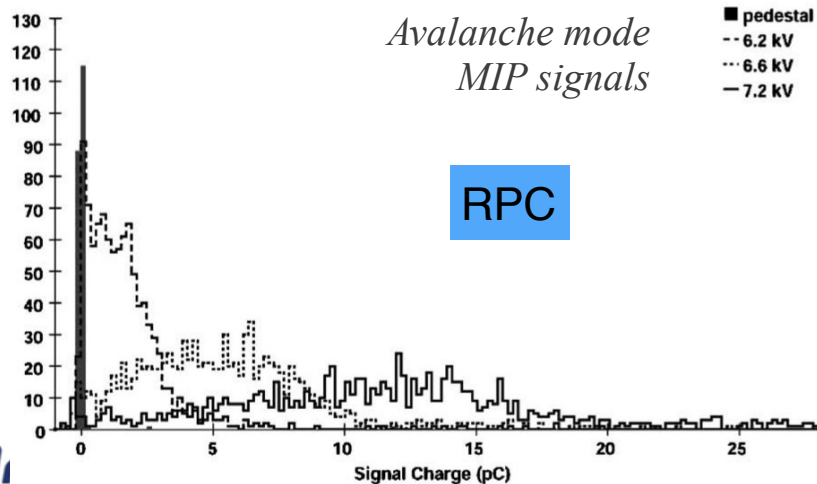
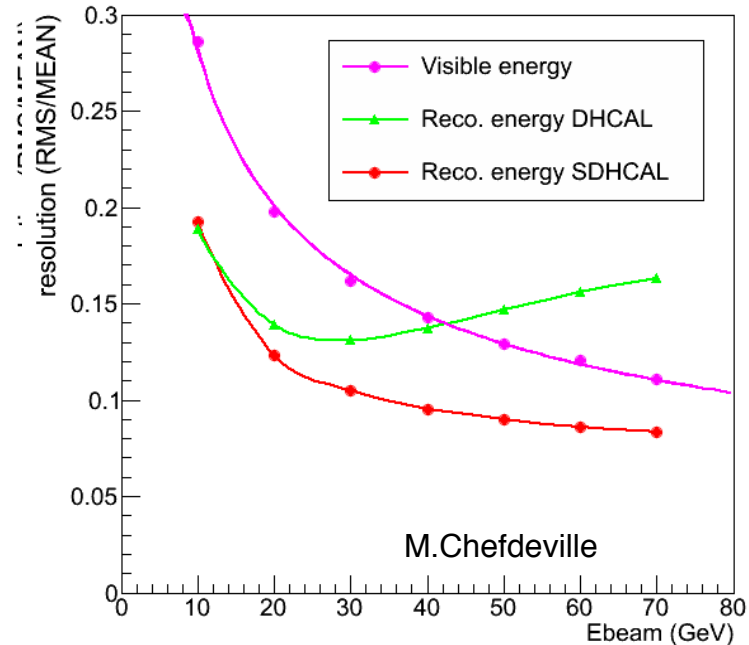
# Gaseous calorimeters

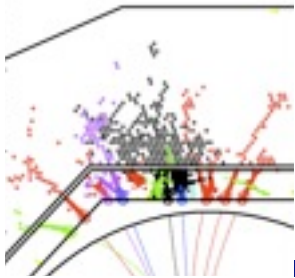
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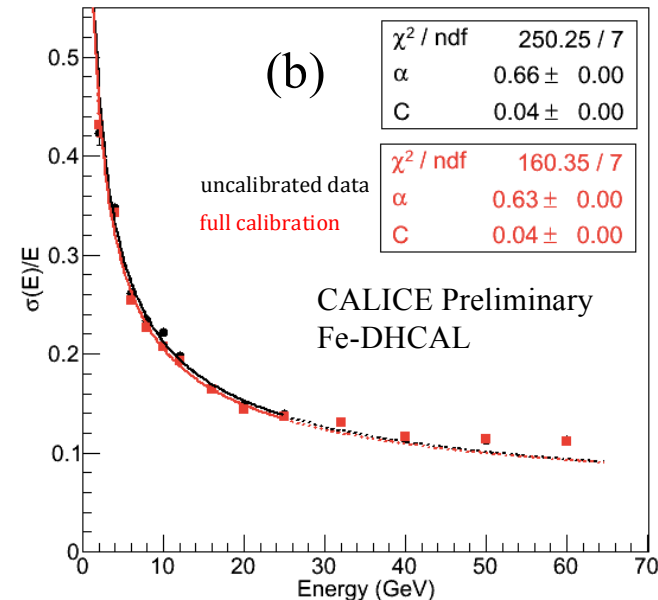
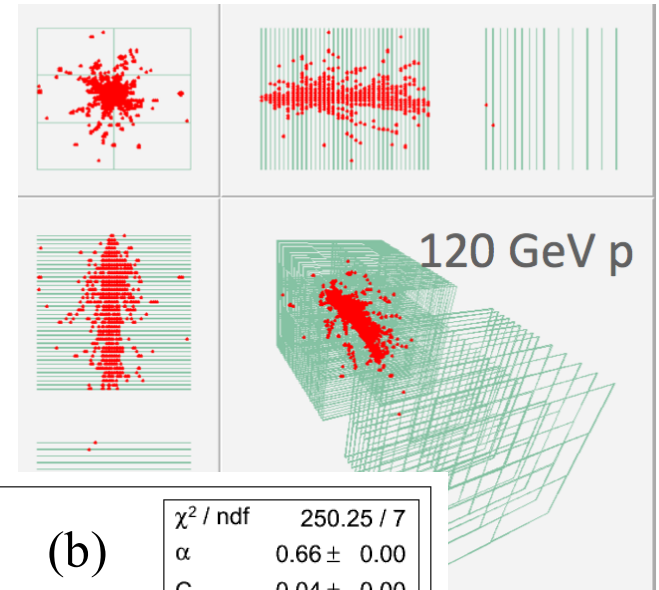
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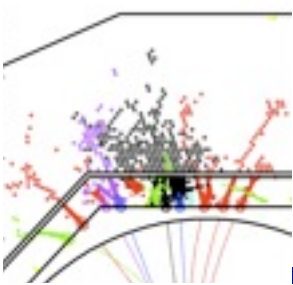




# Digital RPC HCAL

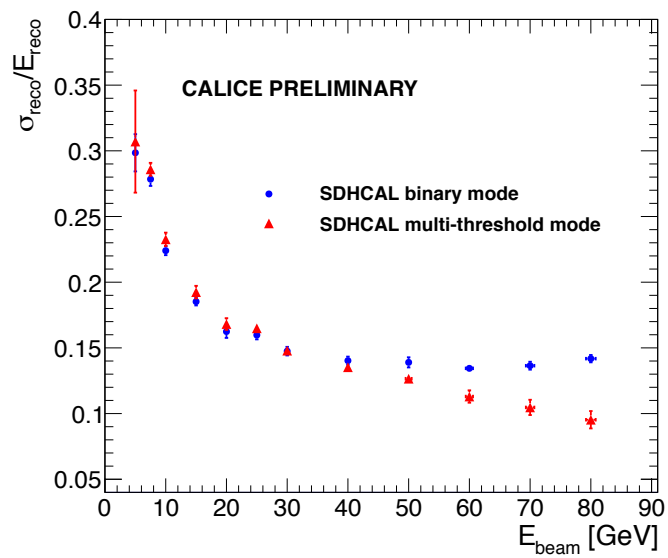
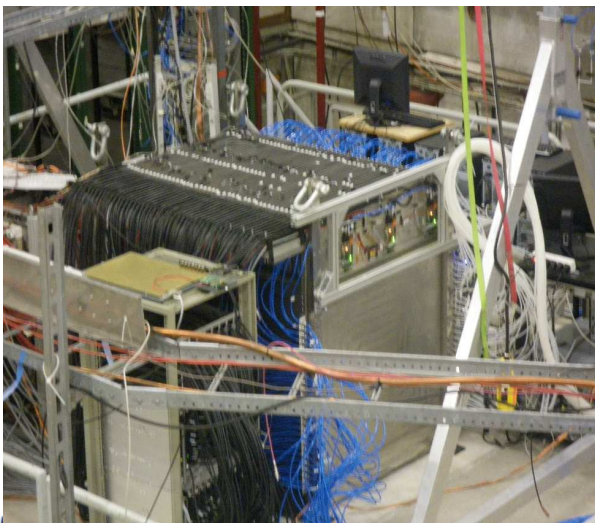
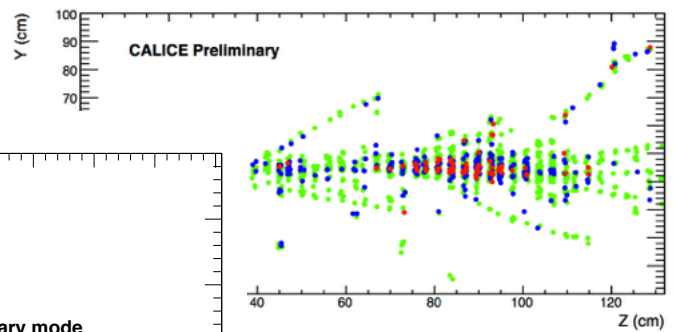
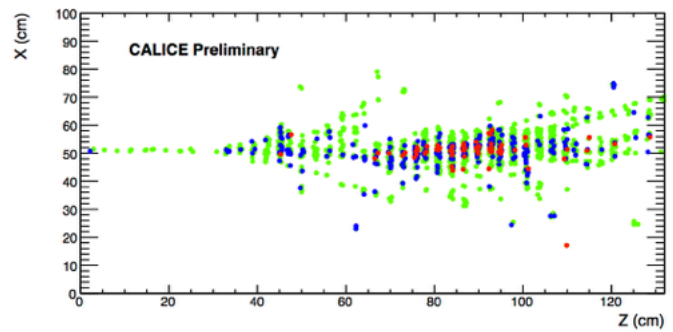
- Resistive plate chambers
- 1x1cm<sup>2</sup> pads, 1 bit read-out
- 500'000 channels
- digitisation electronics embedded
- tested with steel and tungsten
- digital calorimetry does work

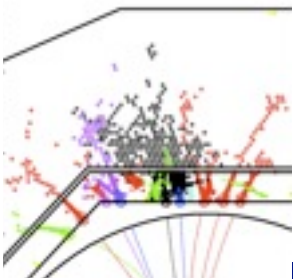




# Semi-digital RPC HCAL

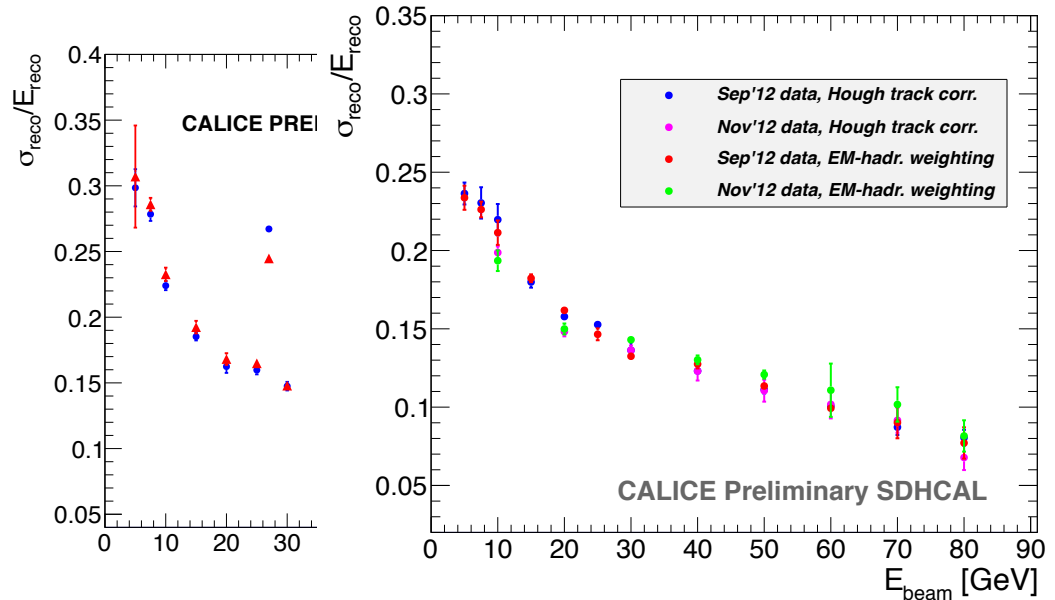
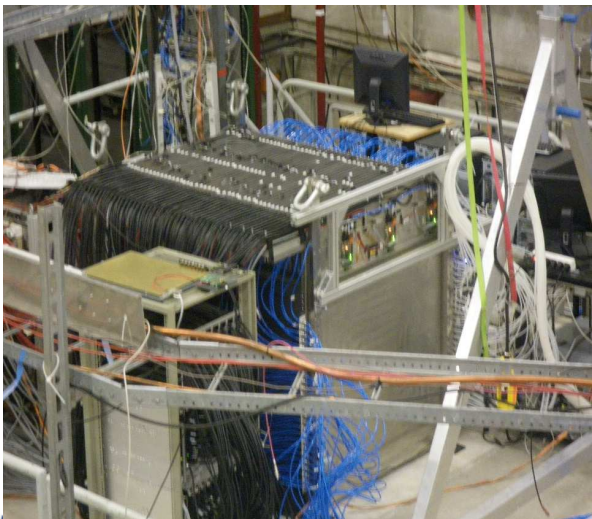
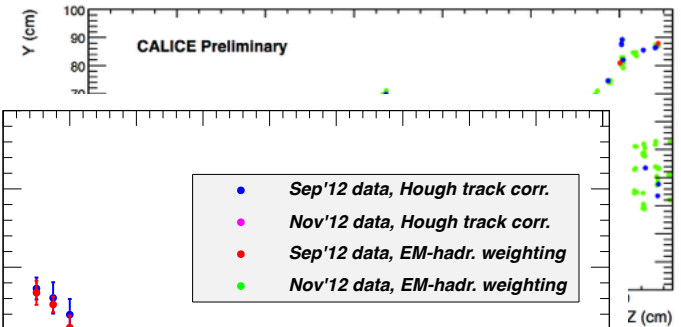
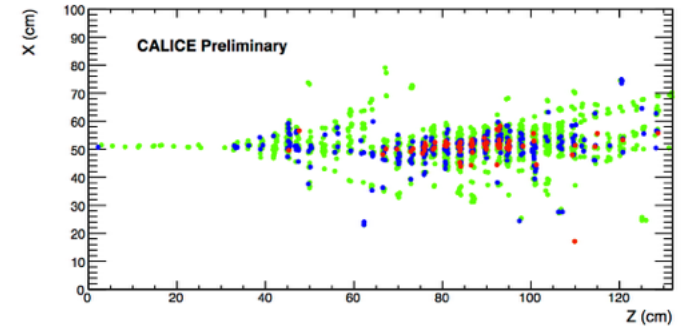
- 48 RPC layers, 1cm<sup>2</sup> pads
- embedded electronics
  - power-cycled
- 2 bit, 3 threshold read-out
  - mitigate resolution degradation at high energy



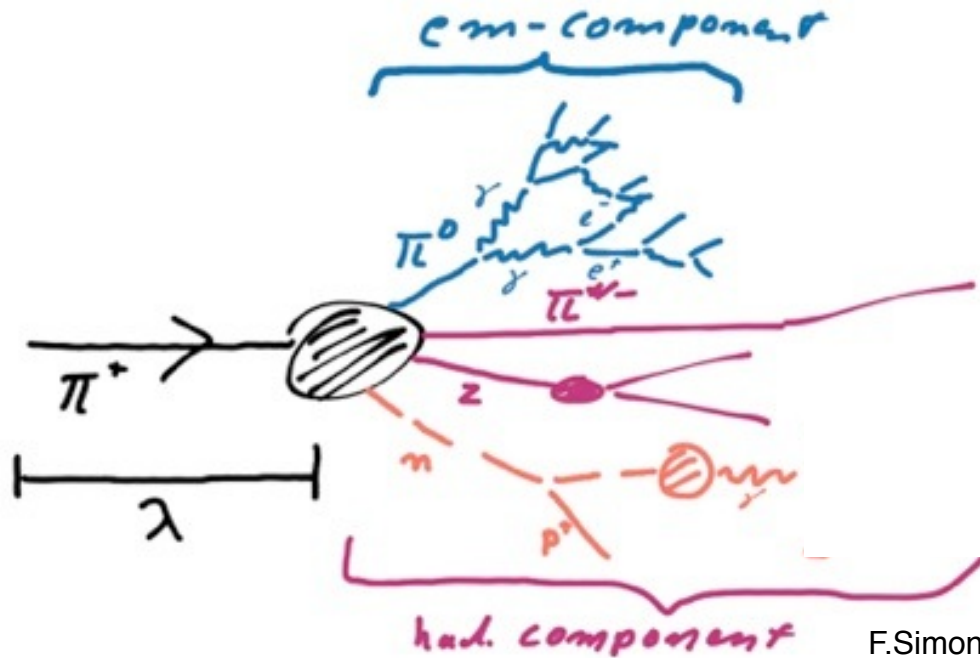


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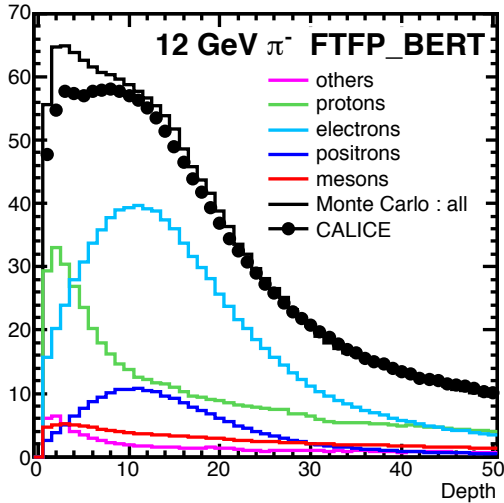


# Validation of Geant 4 shower models



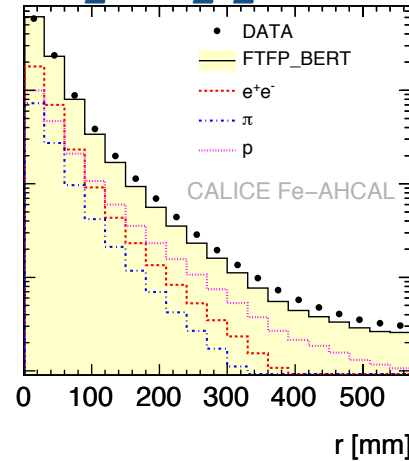
# Validation of Geant 4 models

2010\_JINST\_5\_P05007

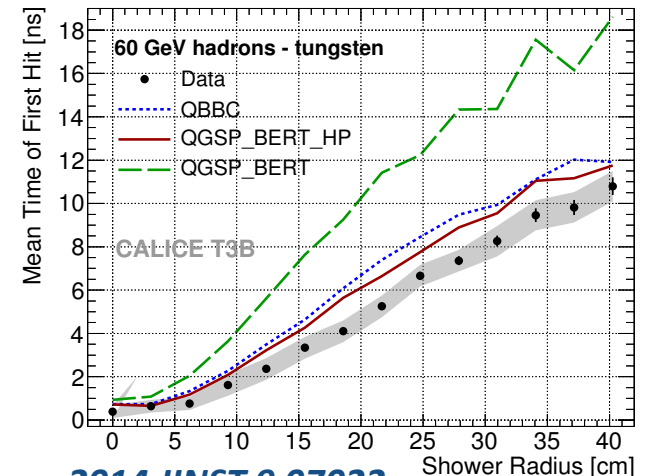
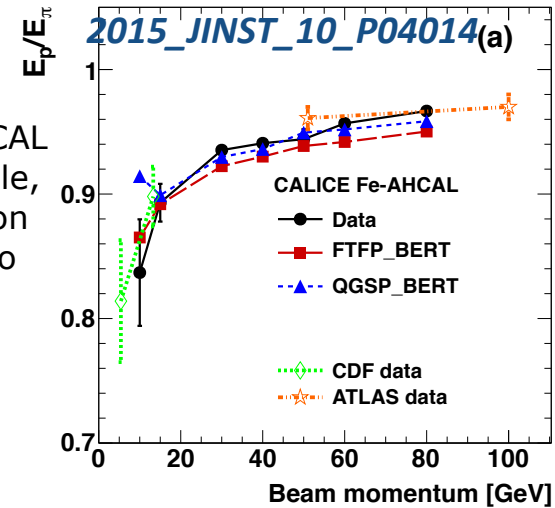
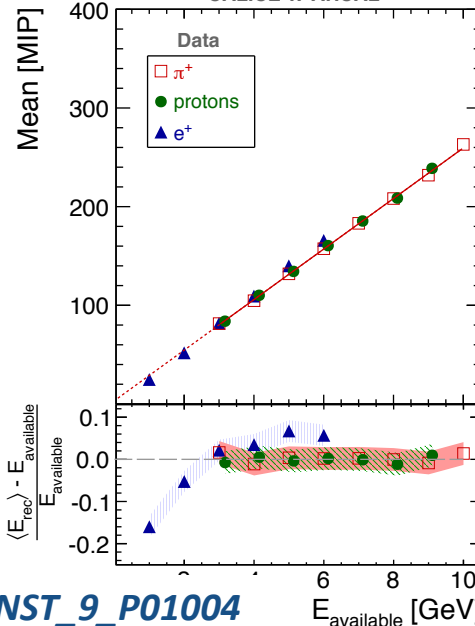


SiW ECAL  
longit. profile

2013\_JINST\_8\_P07005



CALICE W-AHCAL

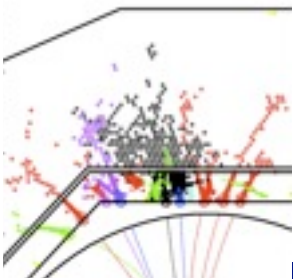


2014\_JINST\_9\_07022

W Scint HCALresponse, timing

- just a few examples
- altogether at 5% or better

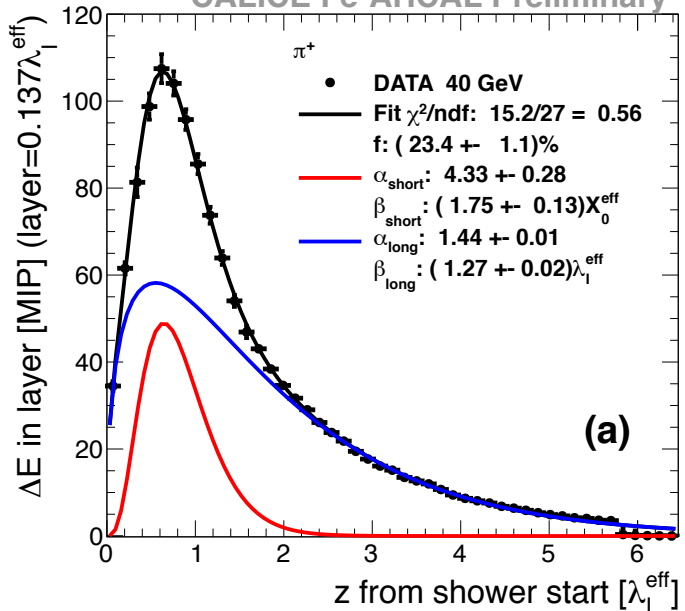




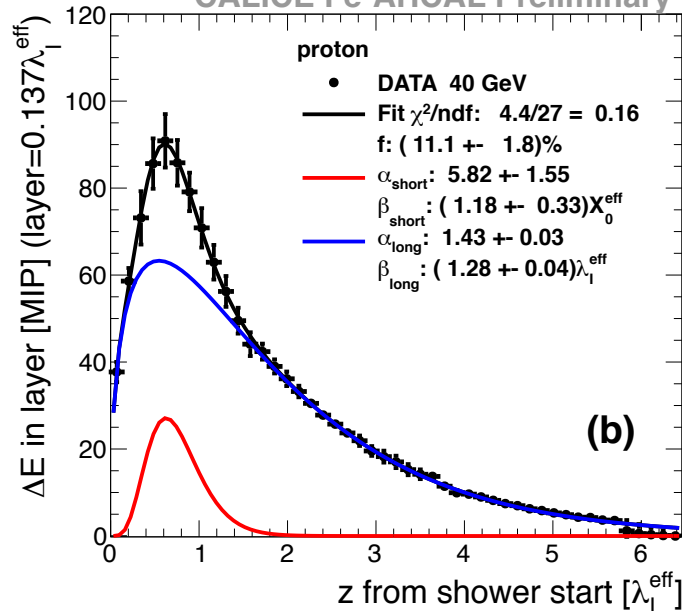
# Longitudinal shower profiles

- Measure hadronic shower profiles from the reconstructed point of the first hard interaction
- Parameterise in terms of
  - a short component related to electromagn. component
  - a long component related to the hadronic part
  - similar decomposition works for radial profiles

CALICE Fe-AHCAL Preliminary



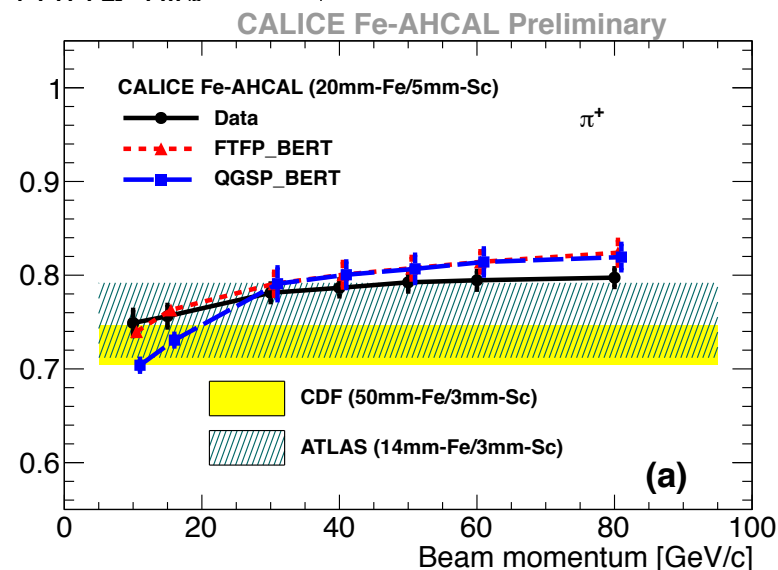
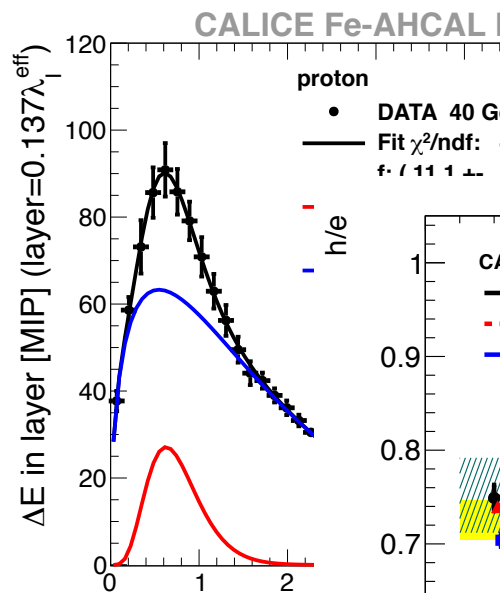
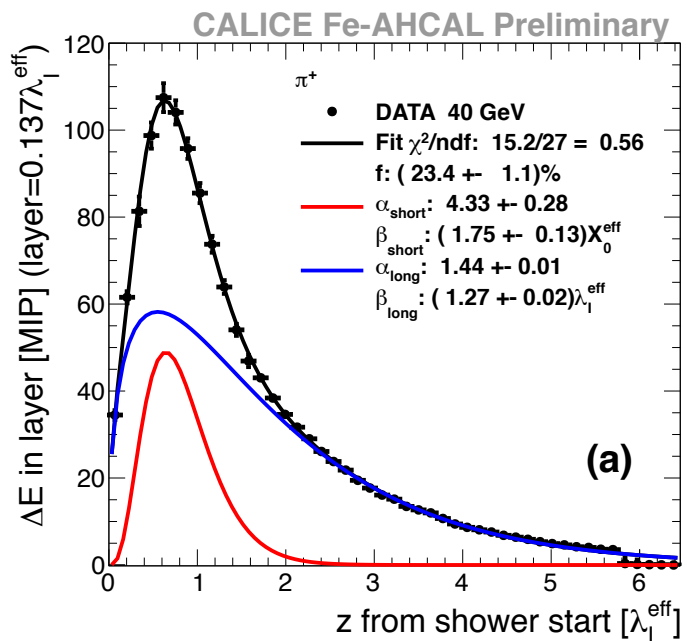
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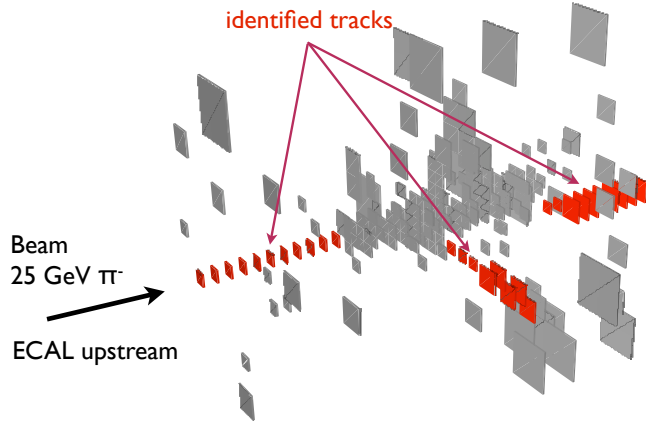
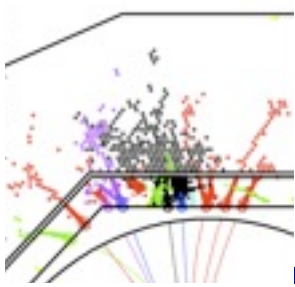
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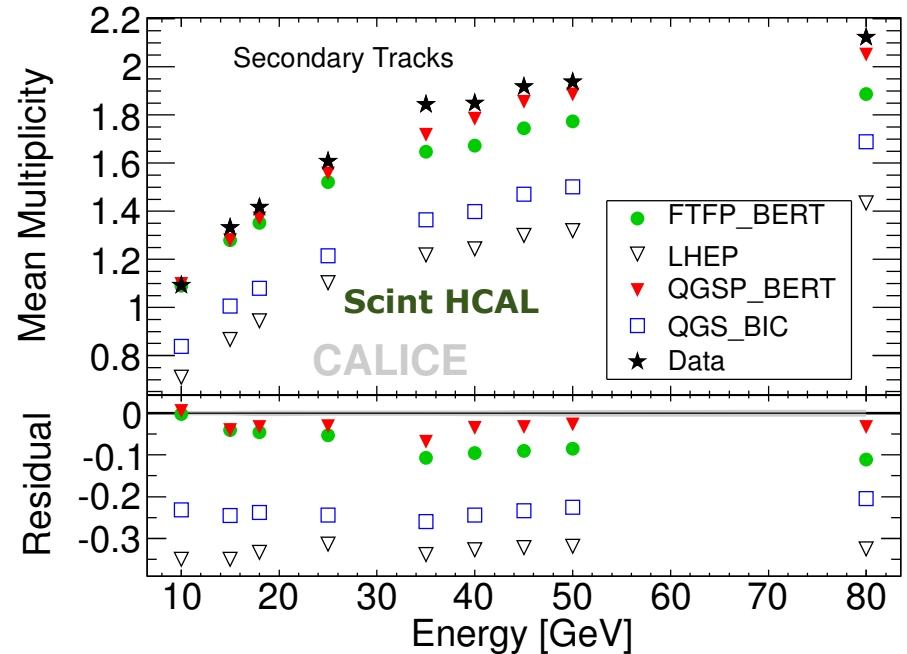
• Determine  $h / e$  ratio without assumption on energy dependence



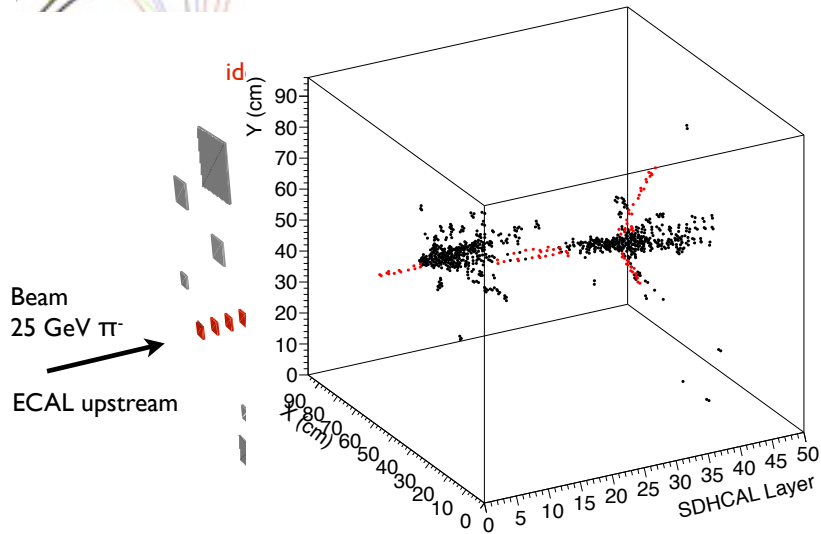
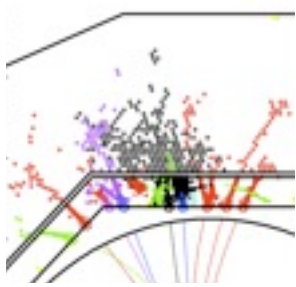
# Shower fine structure



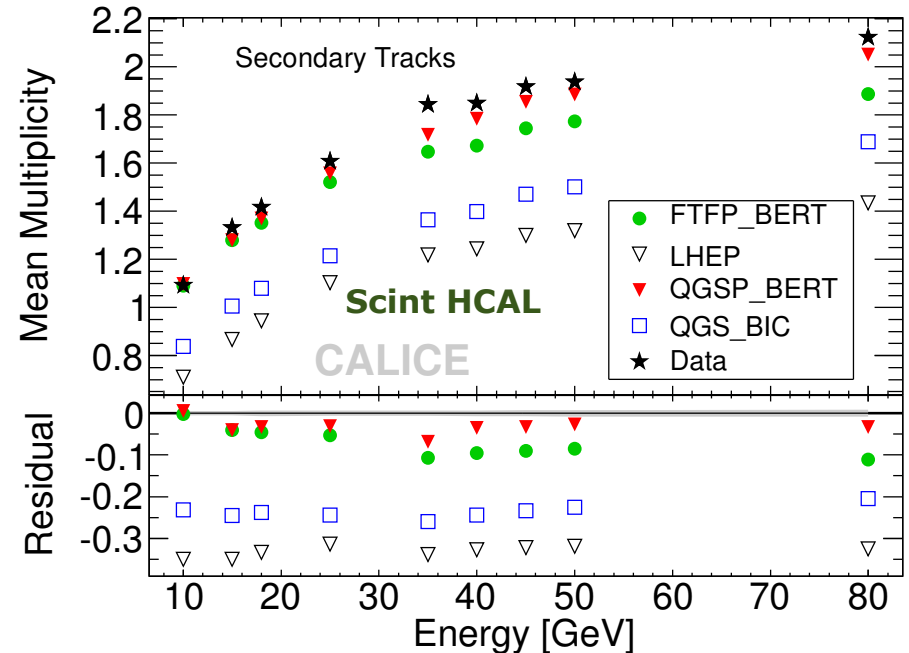
- Could have had the same global parameters with "clouds" or "trees"
- Powerful tool to check models
- Surprisingly good agreement already - for more recent models



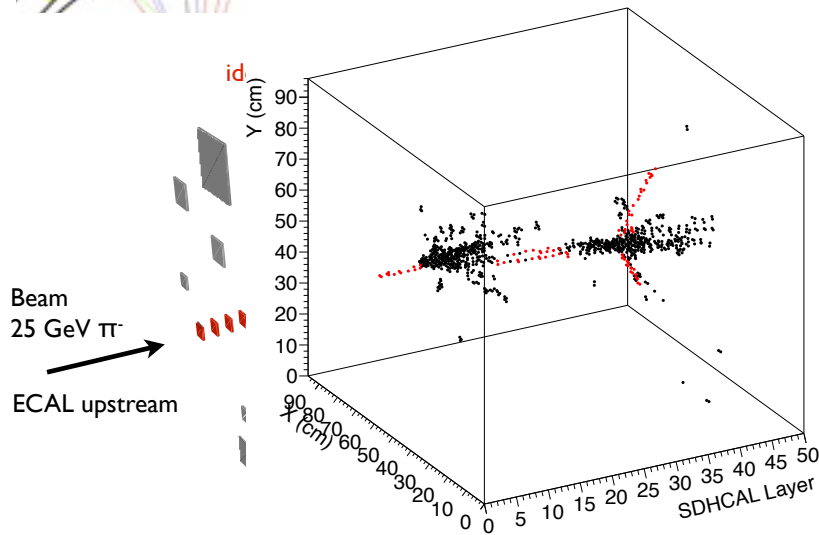
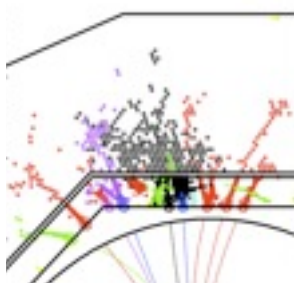
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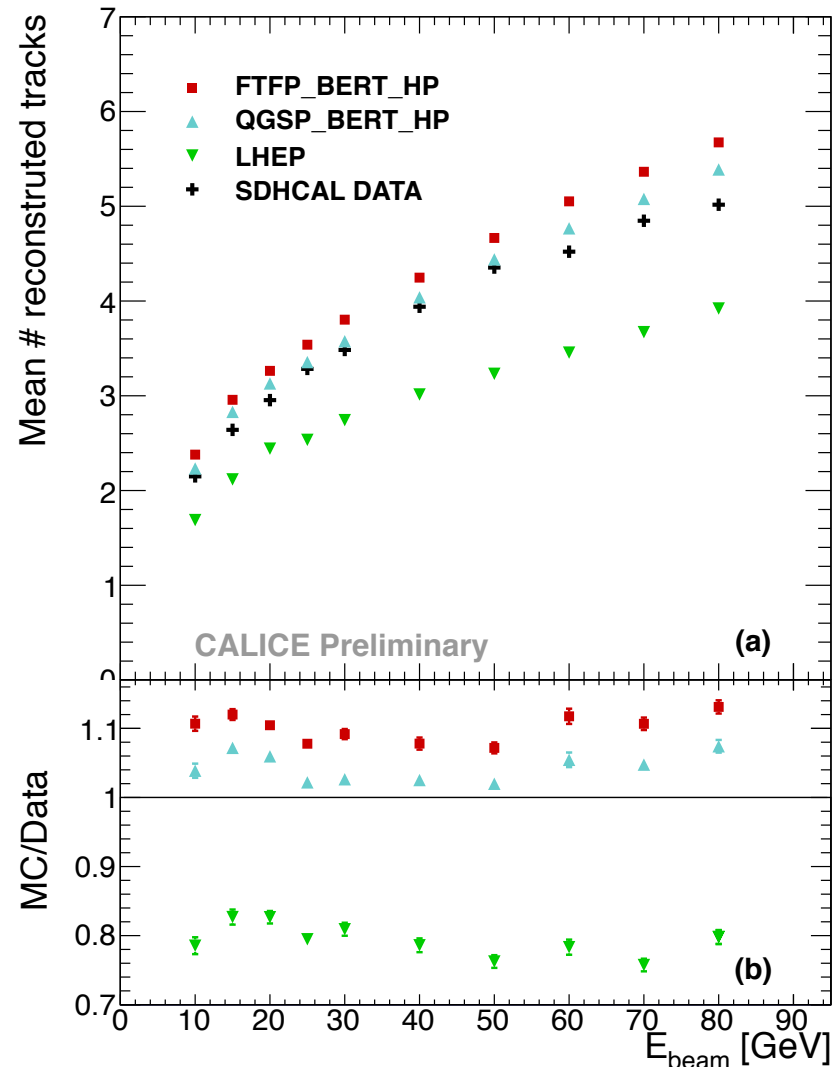
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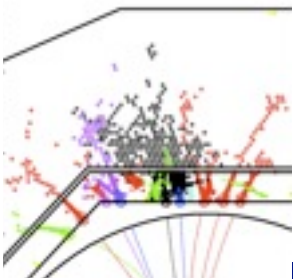


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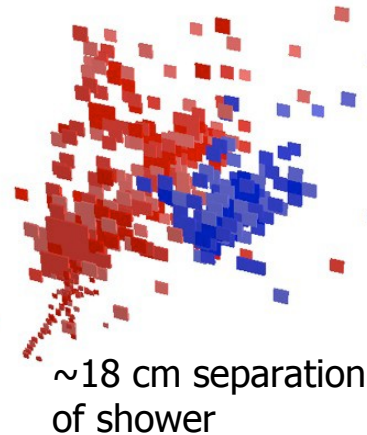
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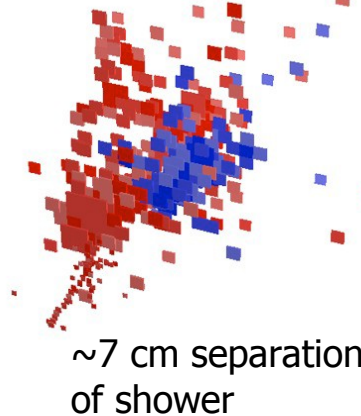


# PFLOW with test beam data

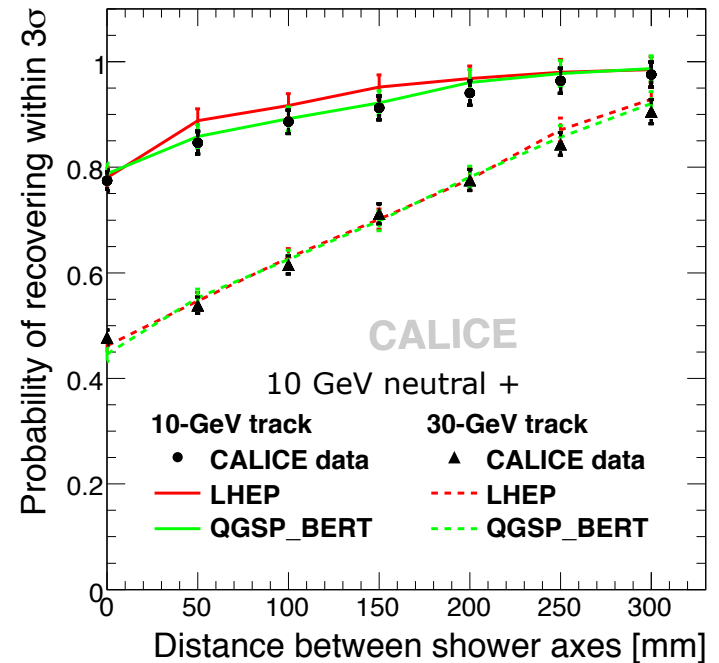
## Si W ECAL & Scint HCAL



30 GeV charged hadron



10 GeV 'neutral' hadron



- The “double-track resolution” of an imaging calorimeter
- Small occupancy: use of event mixing technique possible
- Study degradation if second particle comes closer
- Important: agreement data - simulation

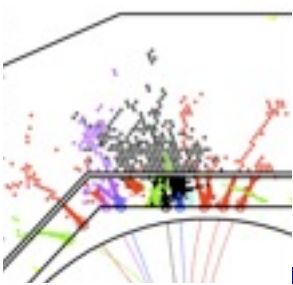
**JINST 6 (2011) P07005**



# What we learnt

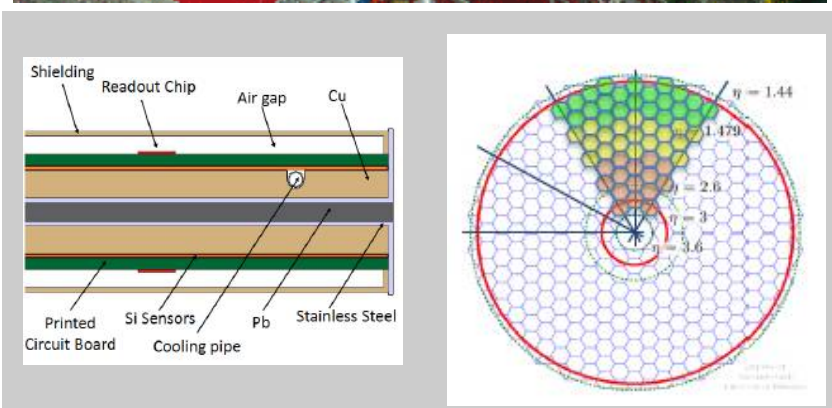
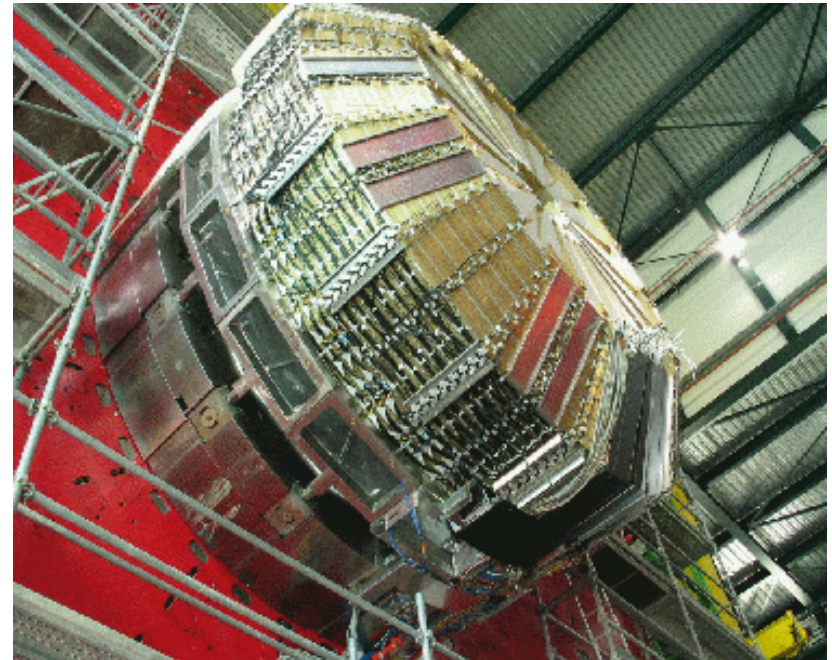
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- The novel ECAL and HCAL technologies work as expected
  - Si W ECAL and Sci Fe AHCAL analysis nearly complete
  - Analysis of the more recent tests has just begun - still a huge potential
- The detector simulations are verified with electromagnetic data.
- The hadronic performance is as expected, including software compensation.
- The Geant 4 shower models reproduce the data with few % accuracy.
- Shower substructure can be resolved and is also reproduced by shower simulations.
- Particle flow algorithms are validated with test beam data.



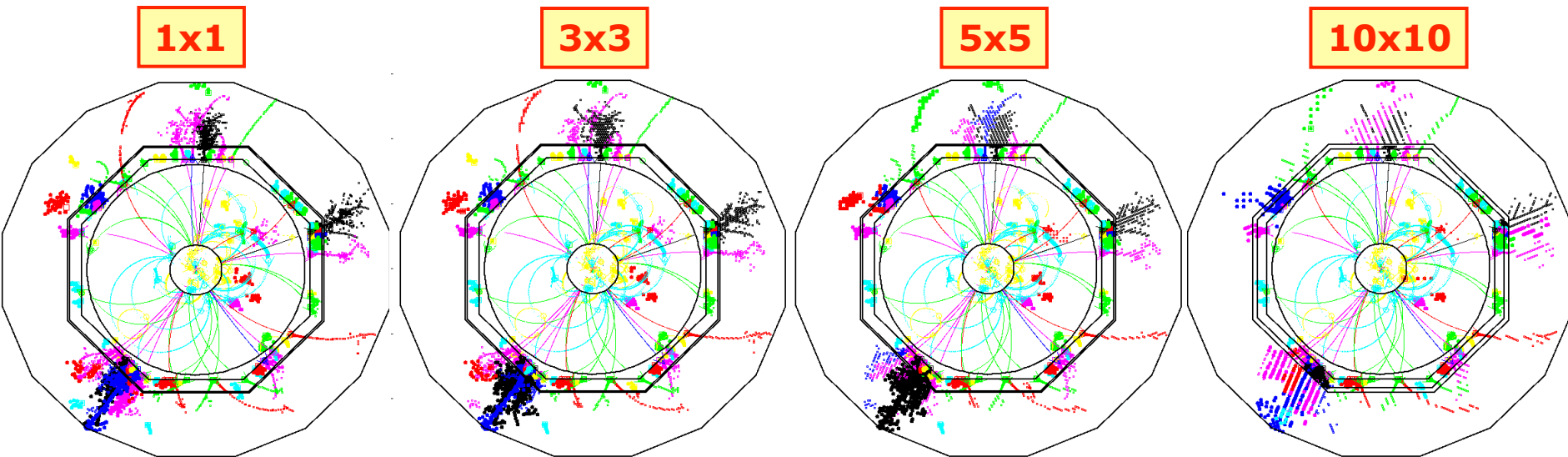
# Hadron collider frontier

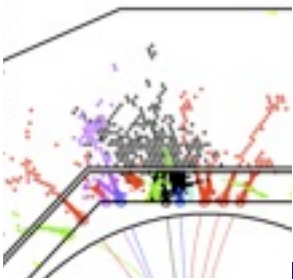
- CMS decided for a high granularity option of their endcap calorimeter upgrade
  - EM: Si Pb/Cu
    - 35 layers, 25 X0
  - HAD: Si brass
    - 12 layers, 5  $\lambda$
  - 600 m<sup>2</sup> of Si, 0.5 - 1 cm<sup>2</sup>
  - Backing: 5  $\lambda$  brass, scint or gas
- particle ID, pile-up subtraction, ..., particle flow
- Much more challenging than e+e-
  - radiation hardness
  - cooling of sensors
  - rate capability of electronics
  - no power pulsing





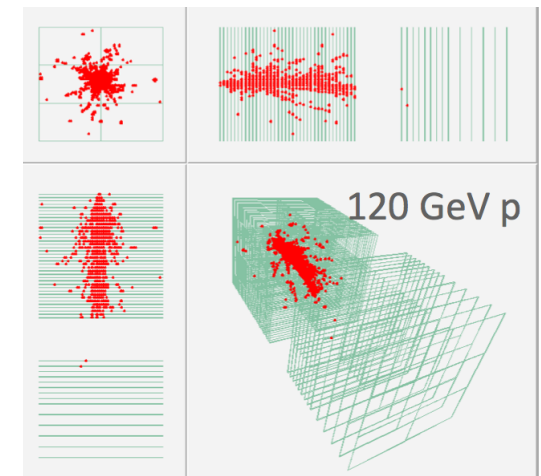
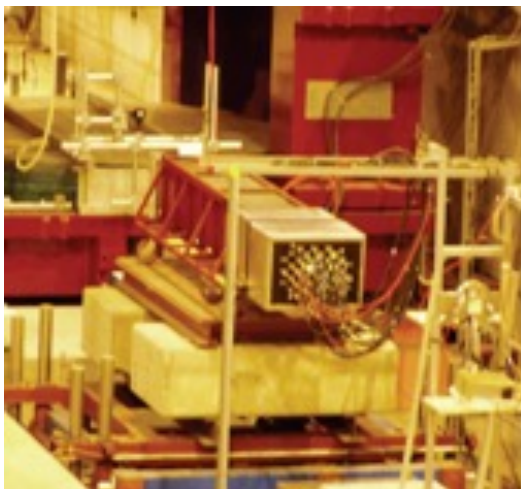
# Energy resolution and Granularity





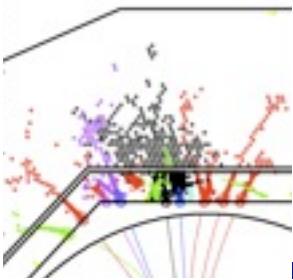
# Energy and Granularity

- A central theme in jet calorimetry since the times of the conception of the HERA experiments H1 and ZEUS



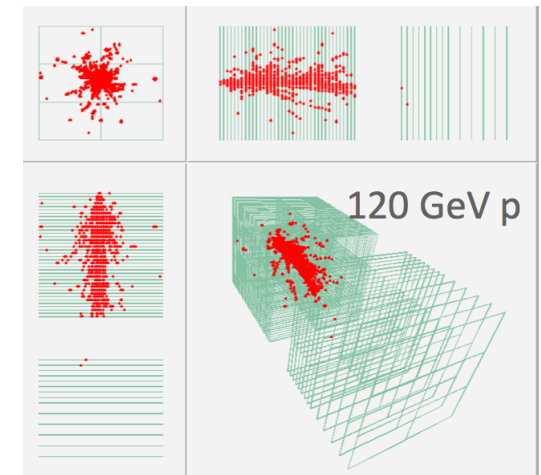
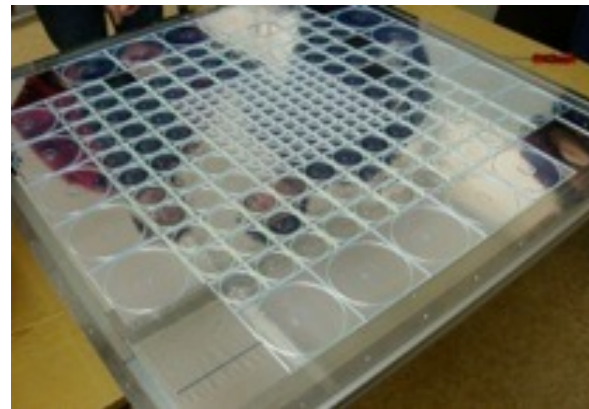
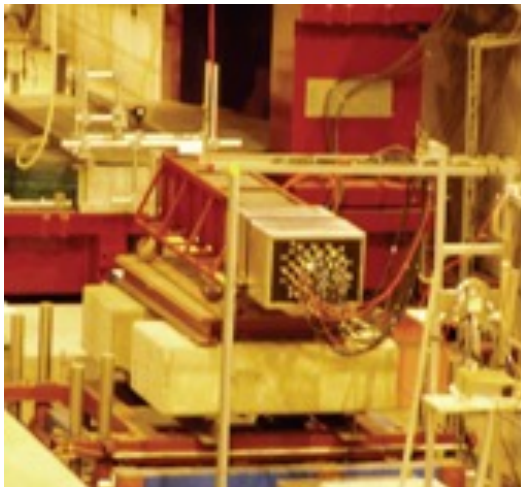
“Energy resolution  
is everything!”

“Granularity  
is everything!”



# Energy and Granularity

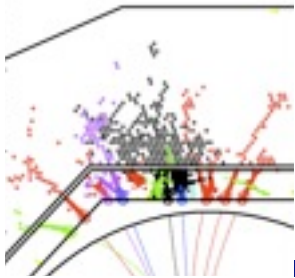
- A central theme in jet calorimetry since the times of the conception of the HERA experiments H1 and ZEUS



“Energy resolution  
is everything!”

“We need  
enough of both!”

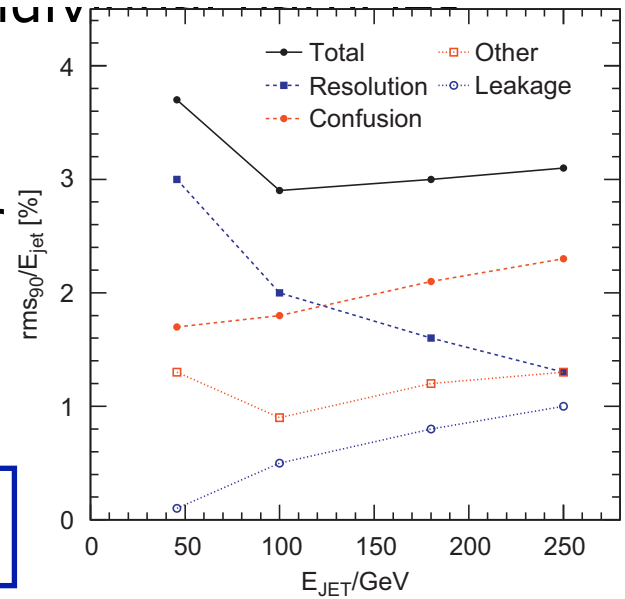
“Granularity  
is everything!”



# Particle flow performance

M.Thomson, Nucl.Instrum.Meth. A611 (2009) 25-40

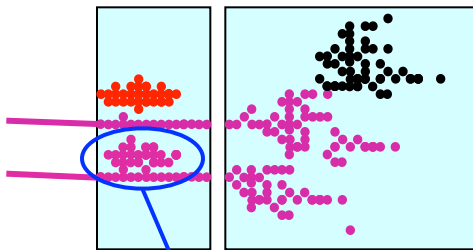
- Separating the energy depositions of many particles requires high granularity
- Calorimeter resolution still does matter
  - dominates for jets up to  $\sim 100$  GeV
  - contributes to resolve confusion



## Types of confusion:

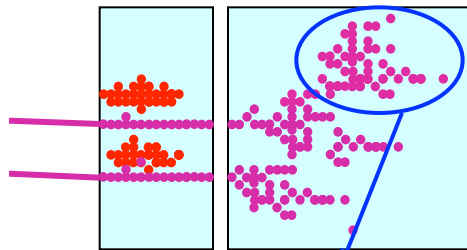
Pattern recognition based on topology and energy

### i) Photons



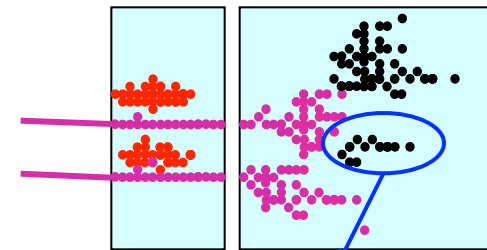
Failure to resolve photon

### ii) Neutral Hadrons



Failure to resolve neutral hadron

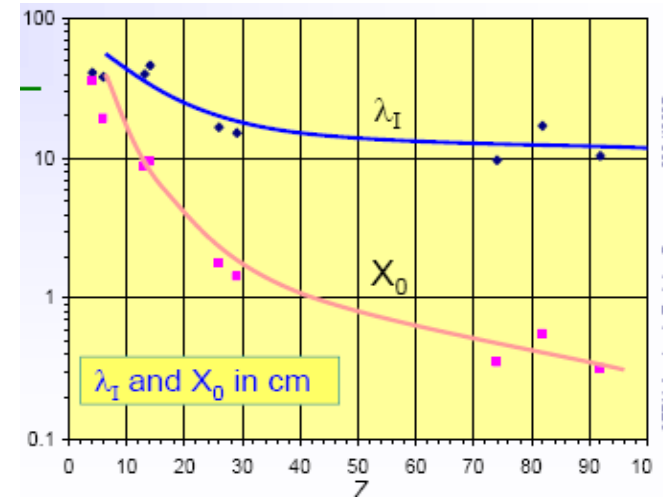
### iii) Fragments



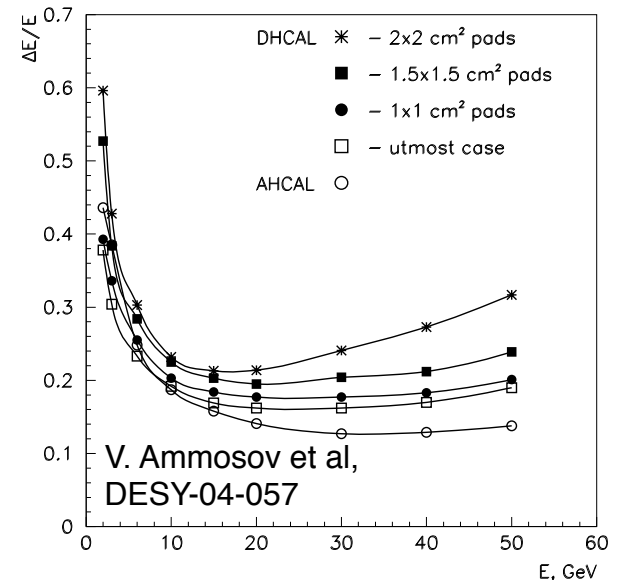
Reconstruct fragment as separate neutral hadron

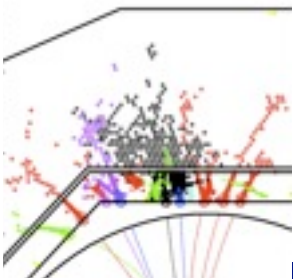
# Initial choices

- Analogue:
  - 3cm x 3cm at  $\sim 3$ cm sampling pitch
  - corresponds to Molière radius and  $X_0$ ; hadron shower sub-structure scale
  - small effect on plain energy response and resolution, only via threshold
  - more direct effects when software compensation methods are applied
- Digital:
  - 1cm x 1cm at  $\sim 3$ cm sampling pitch
  - to limit saturation effects
  - affects single particle linearity and resolution directly



CERN Academic Training Programme 2004/2005



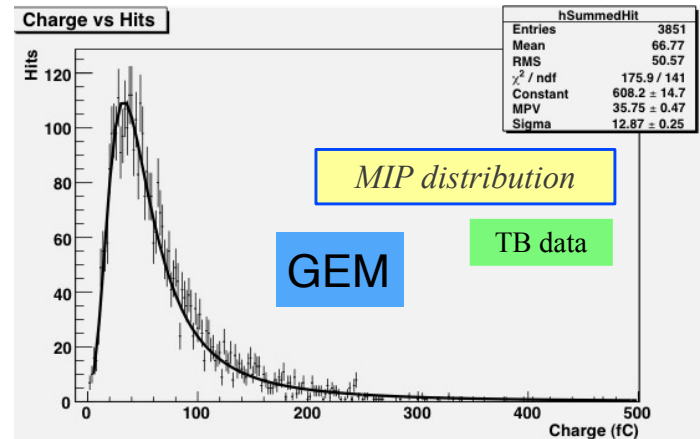
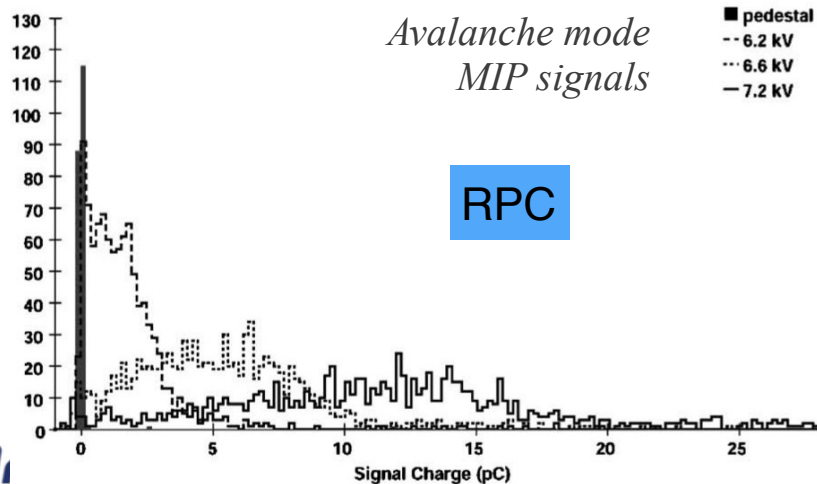
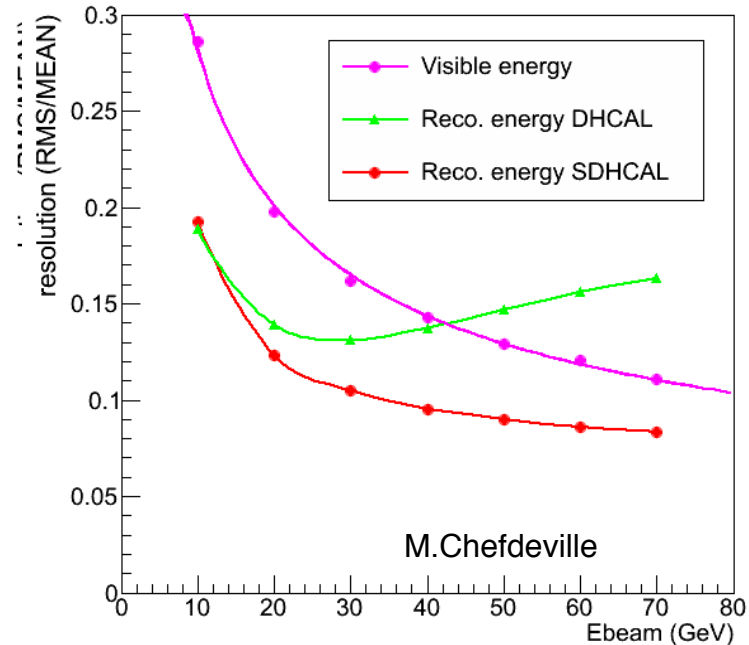


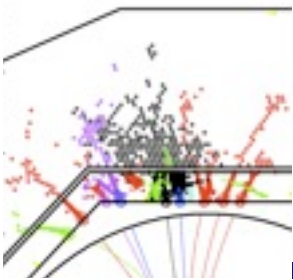
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  - assumes signal prop. to E deposition

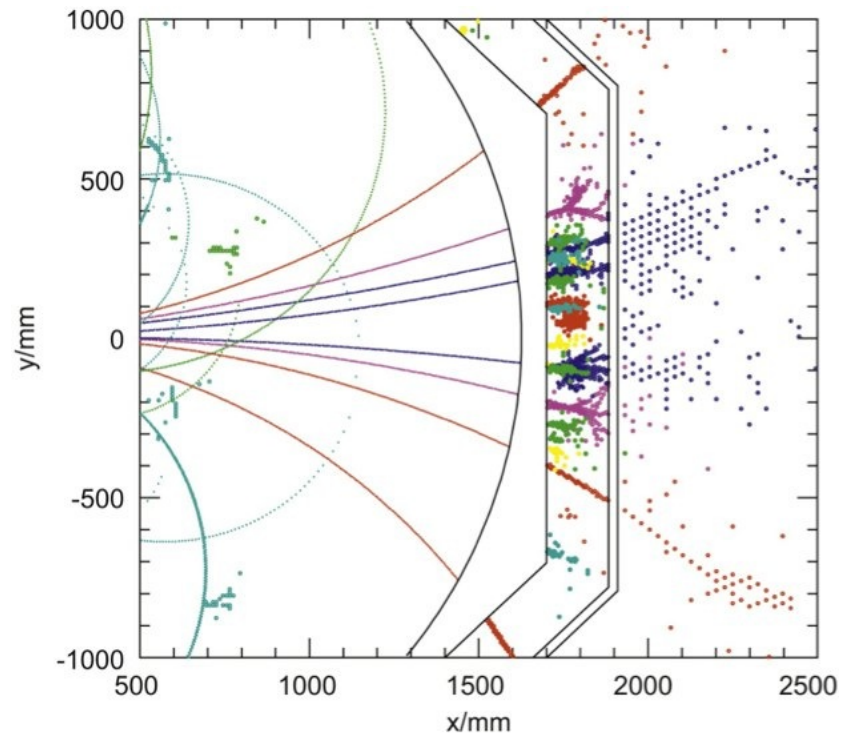
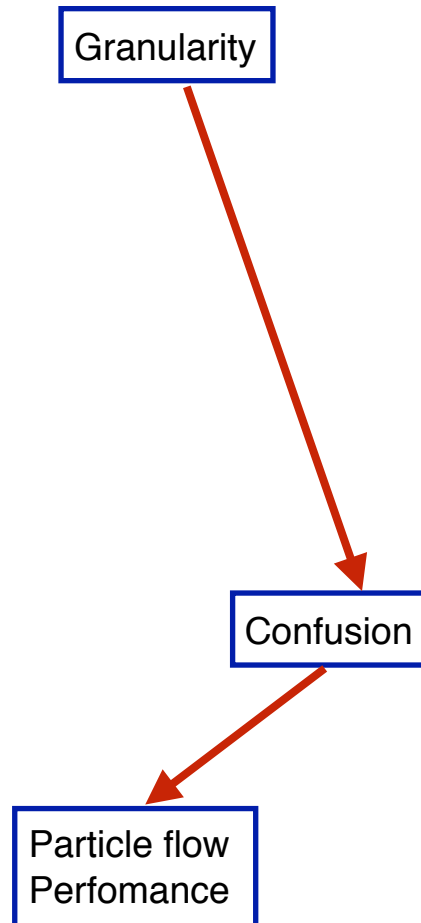
# Gaseous calorimeters

- Gaseous HCAL with **analogue** readout would have poor resolution
  - small sampling, large Landau fluctuations
- **Digital** calorimeter idea: count particles, ignore fluctuations
  - 1cm<sup>2</sup> cells: saturate above 30 GeV
- **Semi-digital** idea: mitigate saturation using several thresholds and weights
  - assumes signal prop. to E deposition

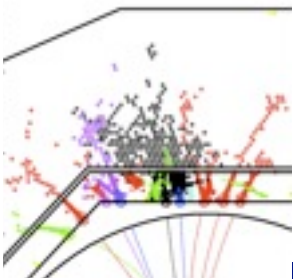




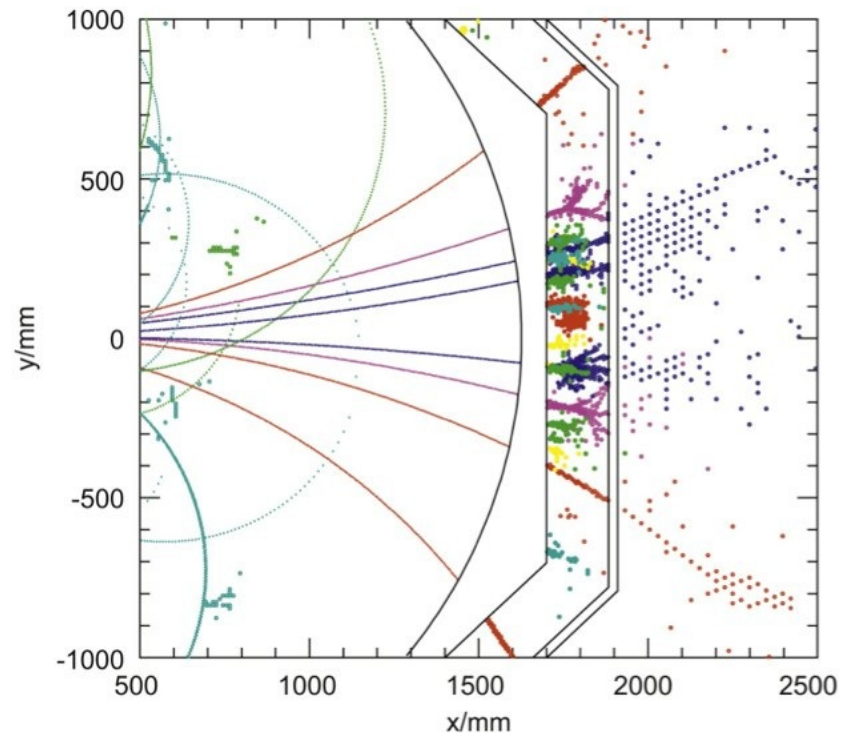
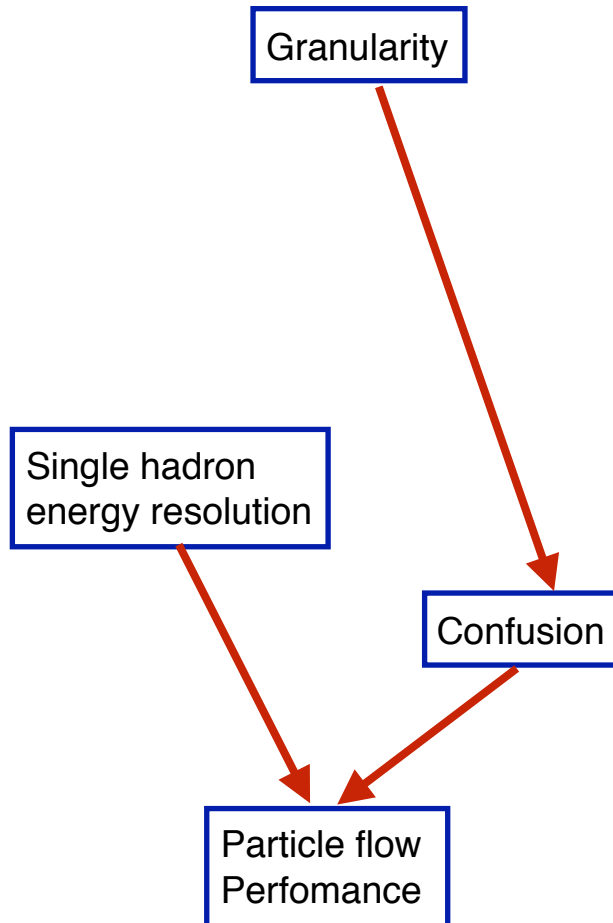
# Effects of high granularity

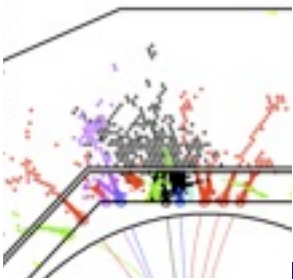




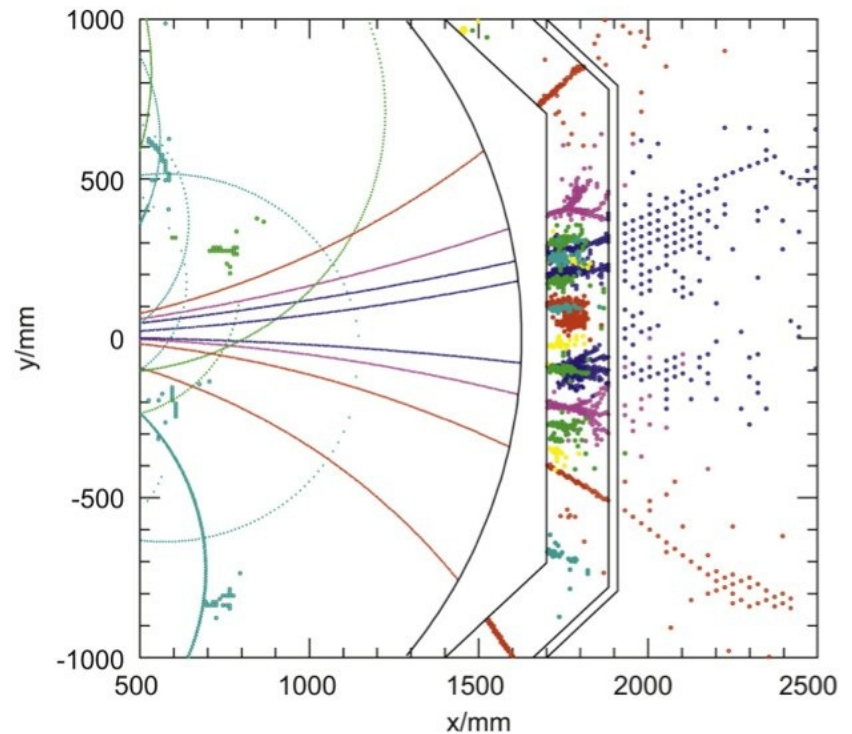
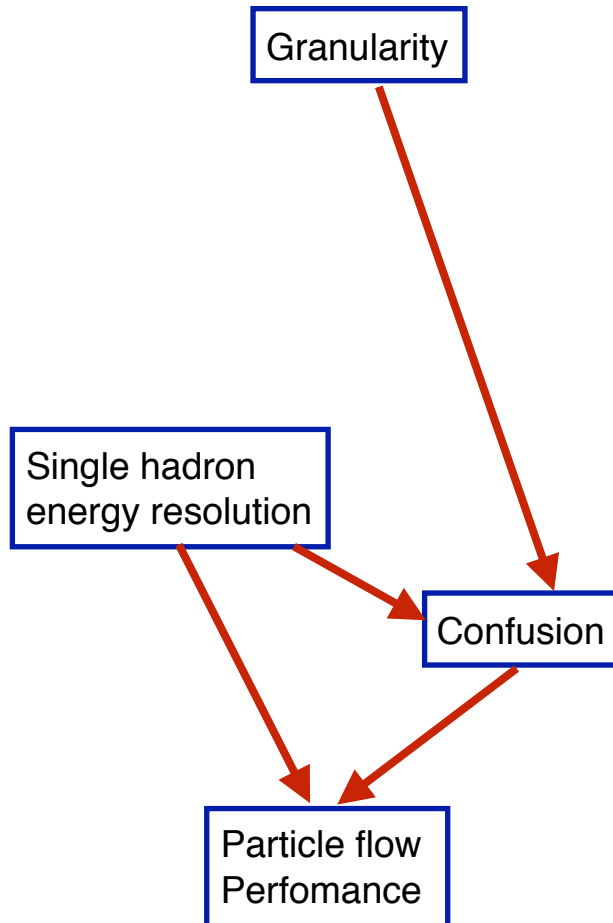


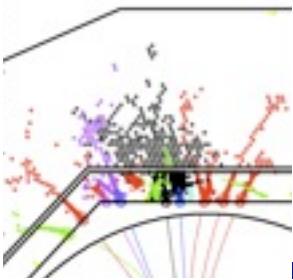
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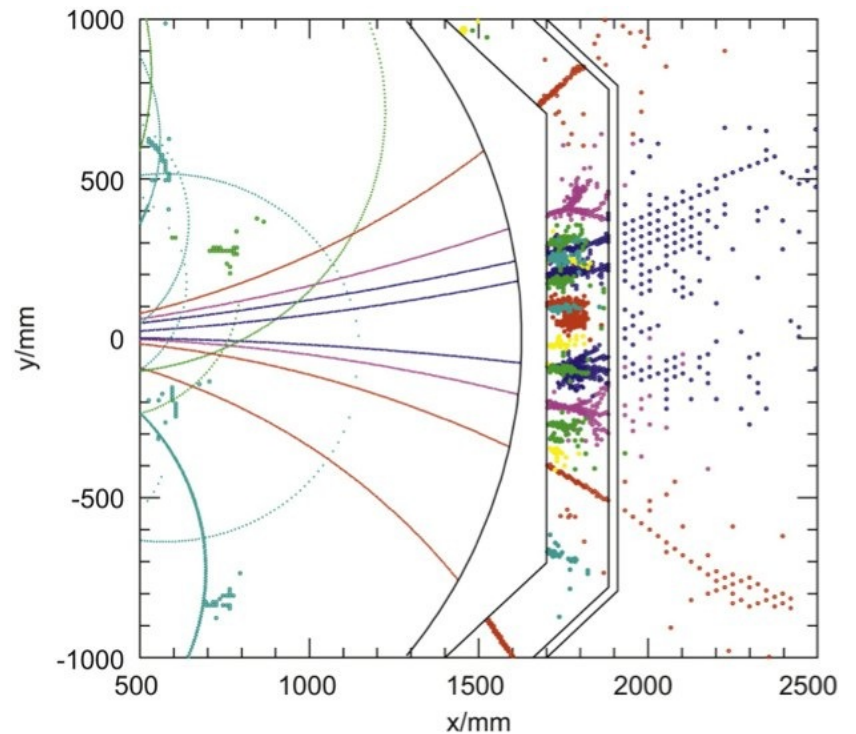
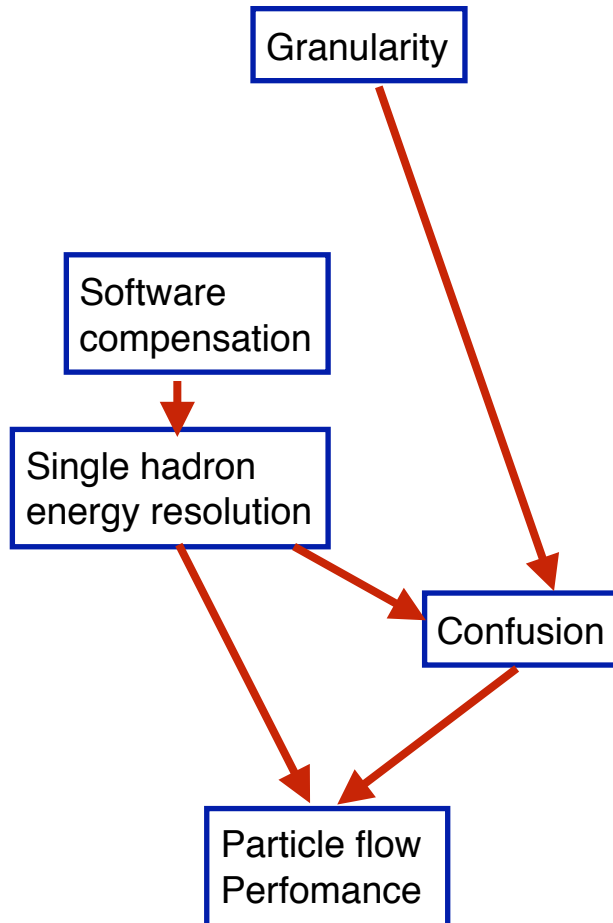


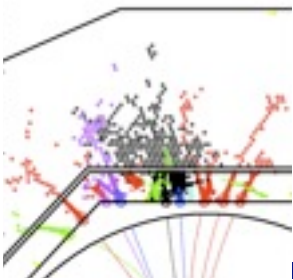
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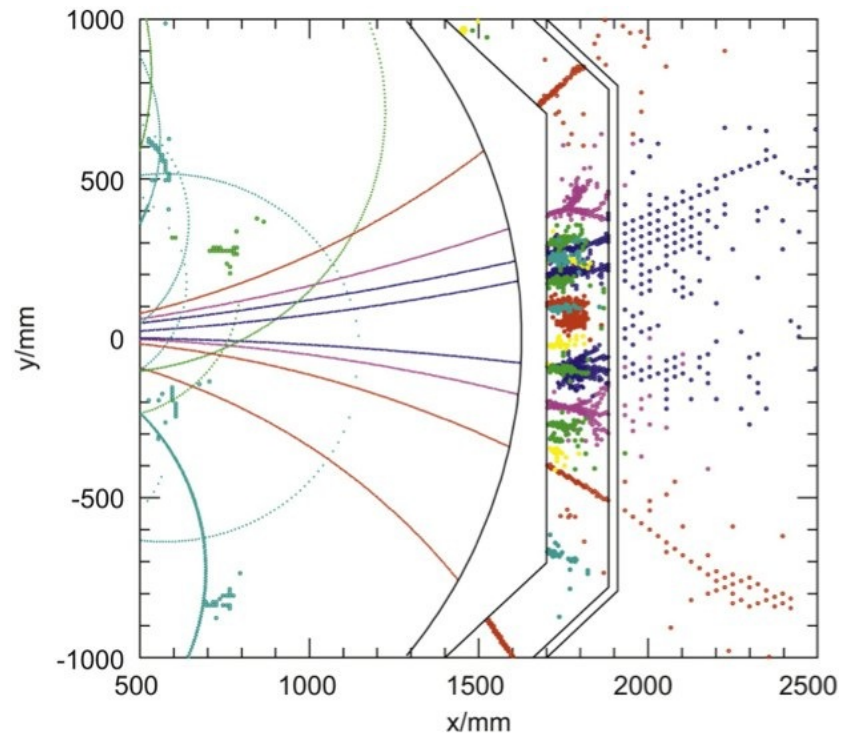
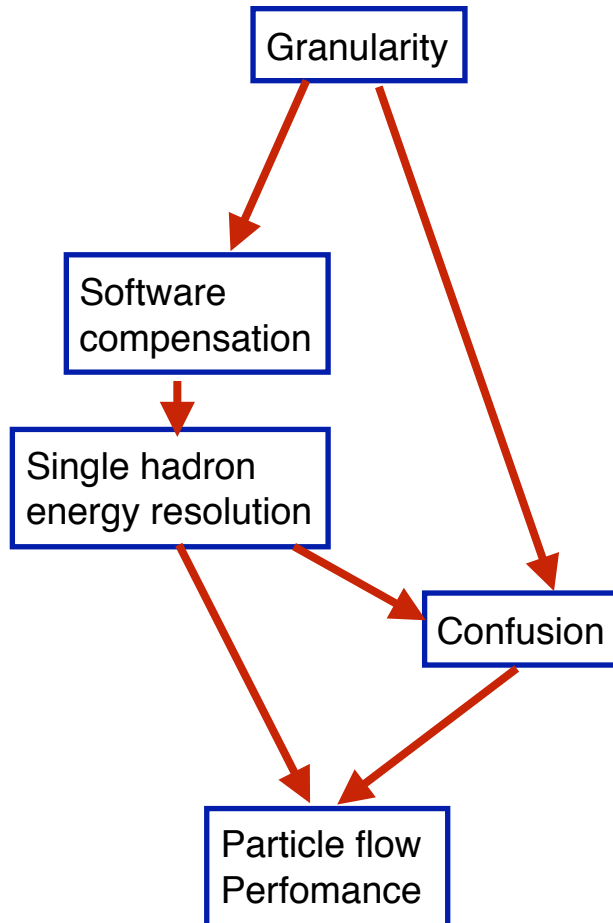


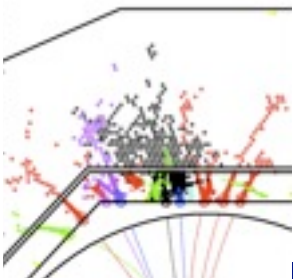
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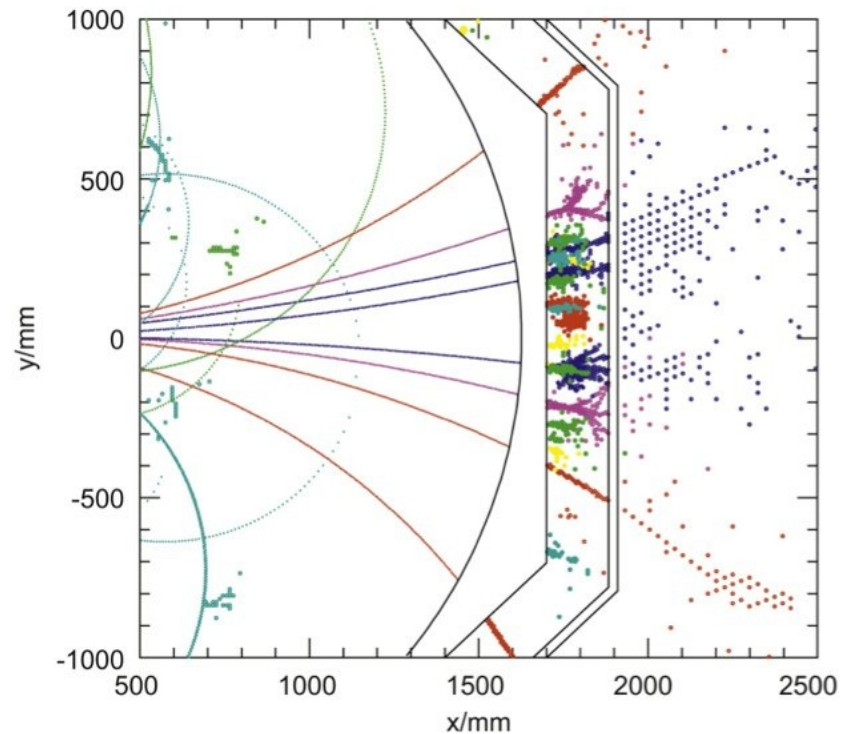
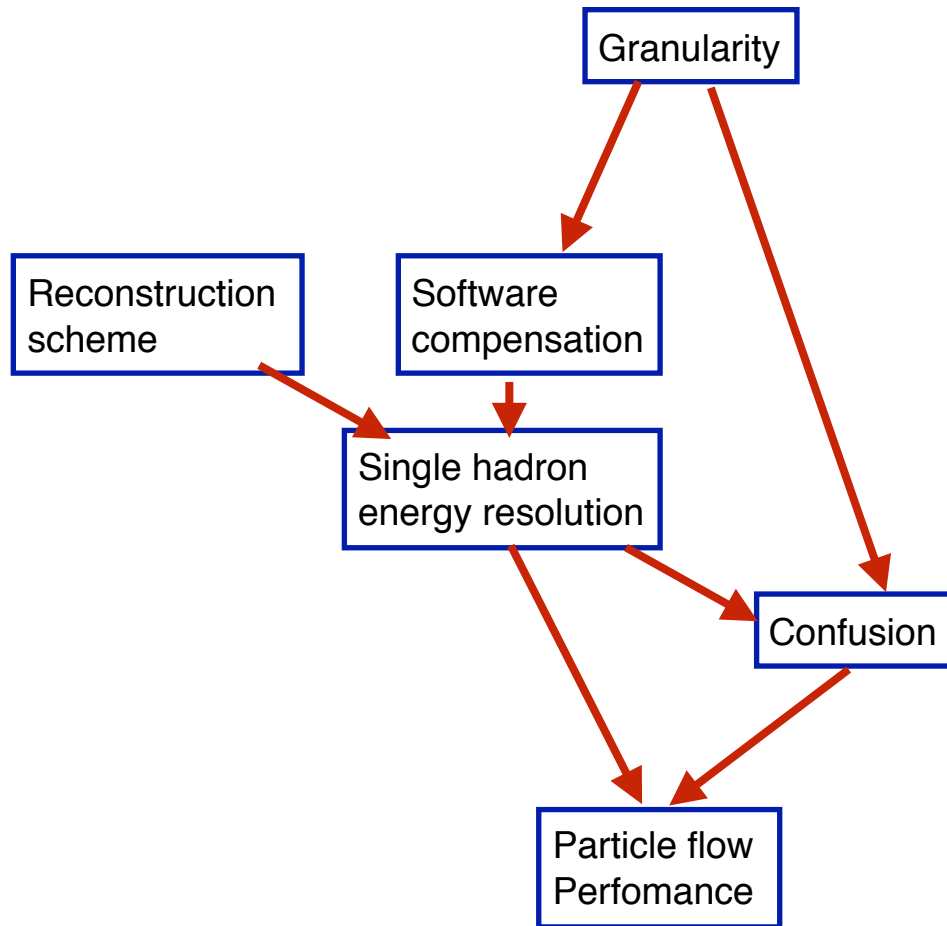


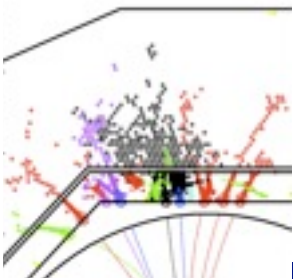
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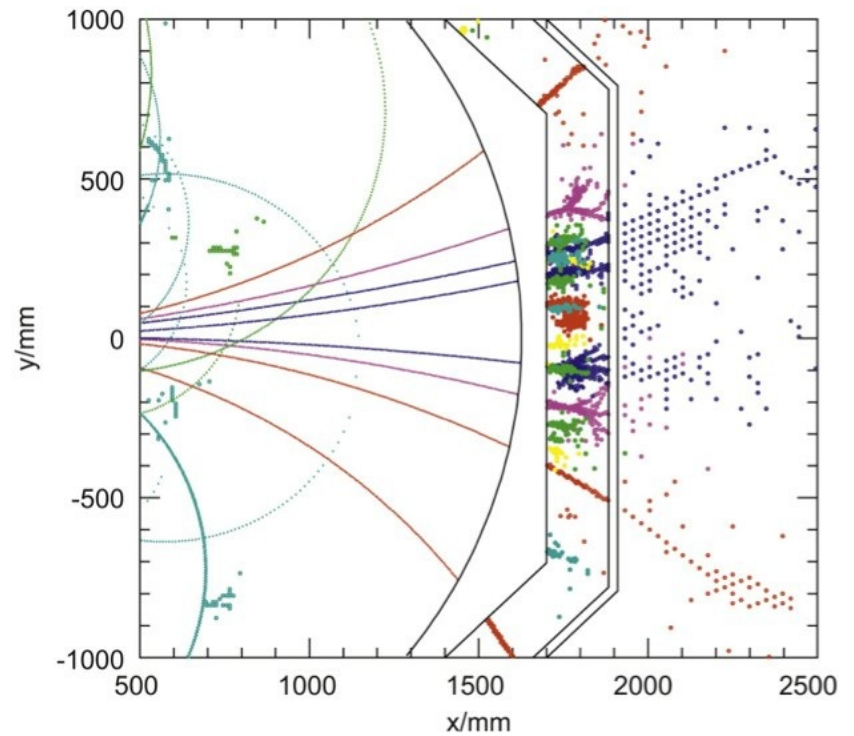
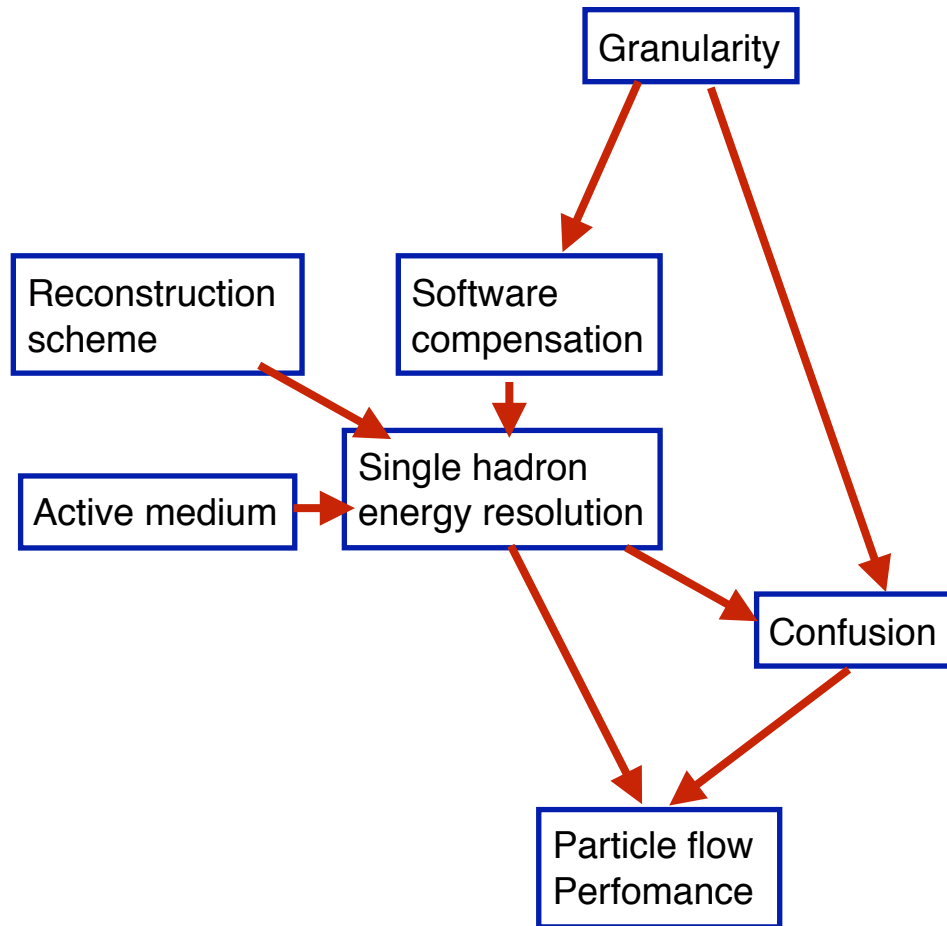


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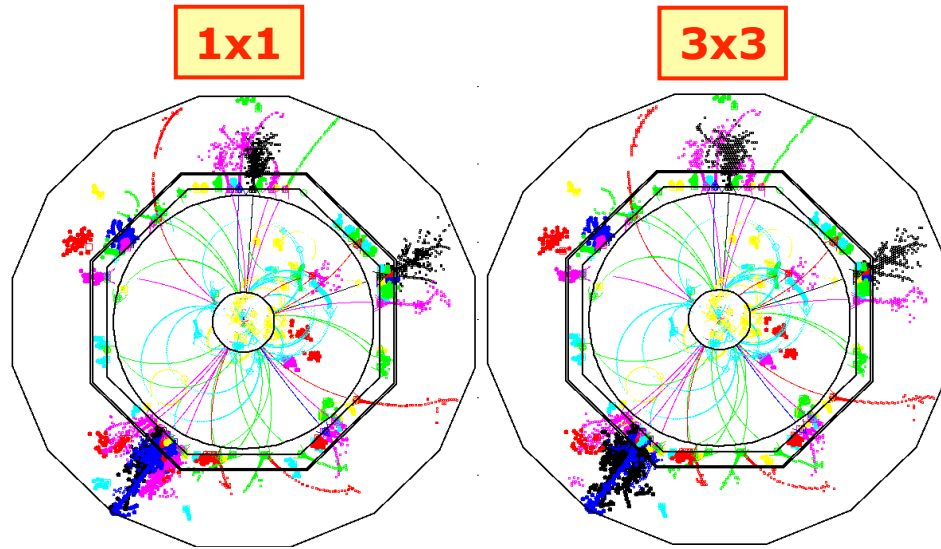


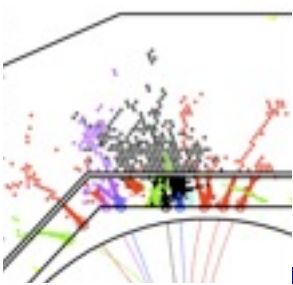


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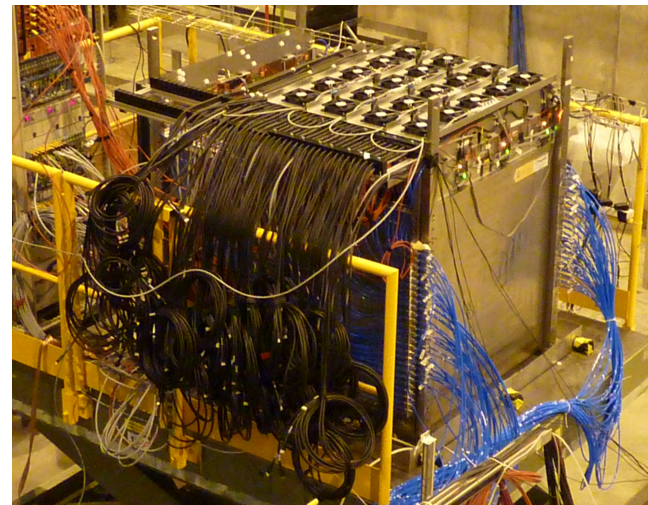
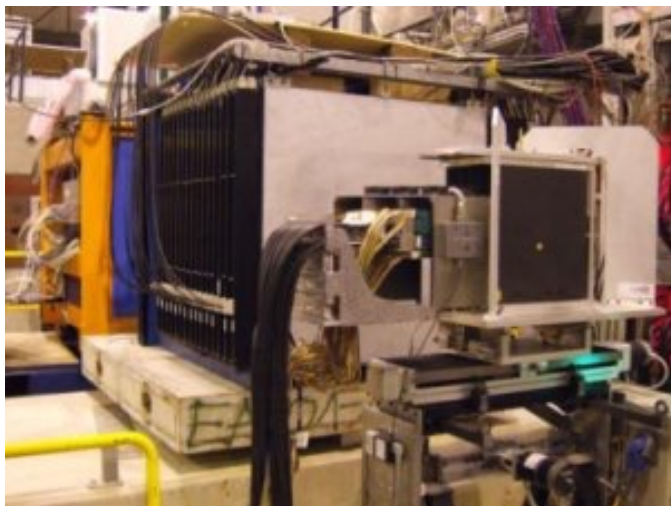
# Analogue and (semi-) digital reconstruction of single hadrons



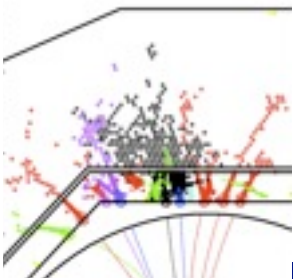


# AHCAL and SDHCAL

- Scint and gas prototypes differ in medium, cell size and read-out scheme
- All of them affect single hadron and jet energy resolution
- Disentangle with validated simulations, and optimise, incl. s/w comp

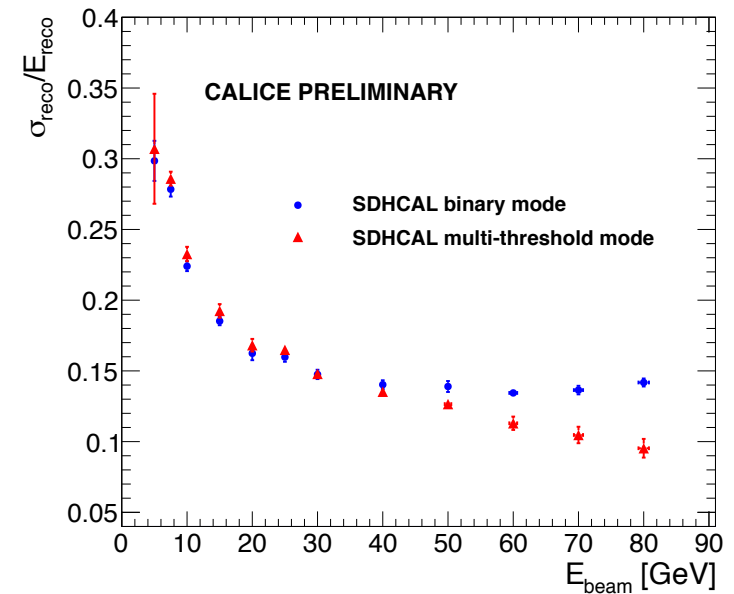
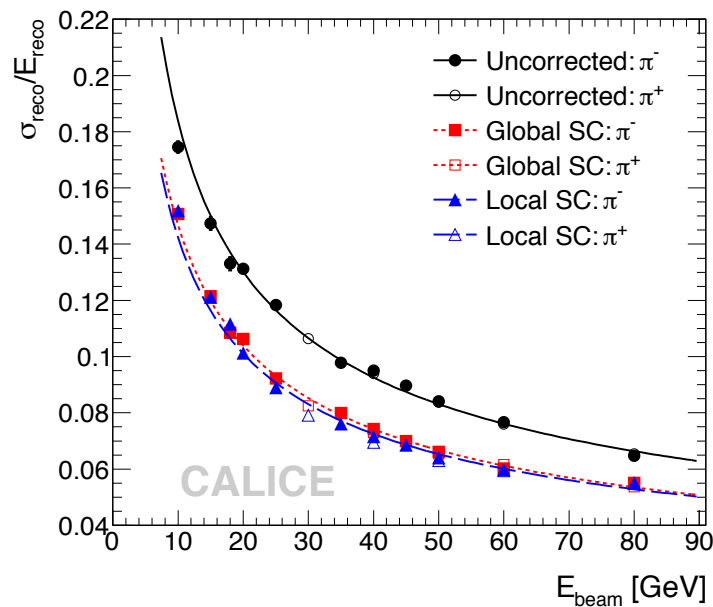


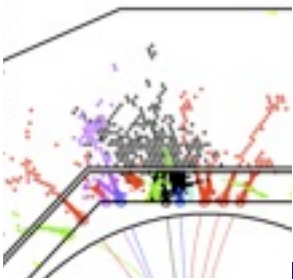




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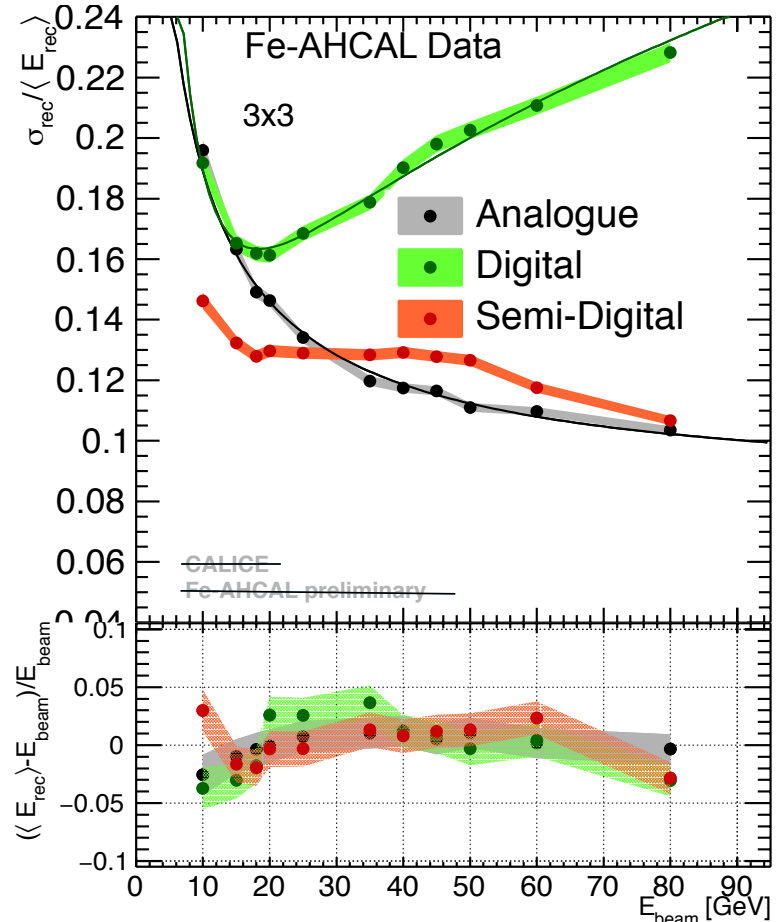
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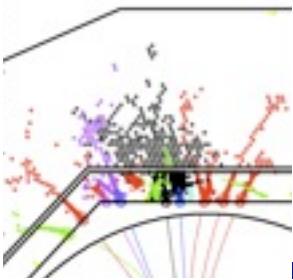




# (Semi-) digital reconstruction of AHCAL

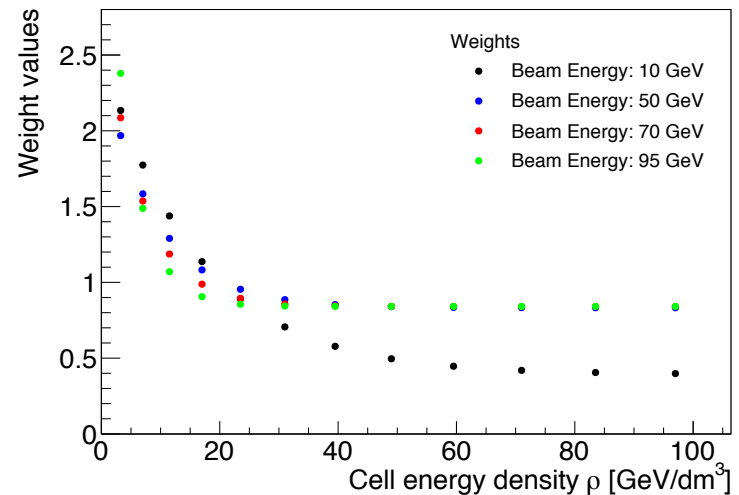
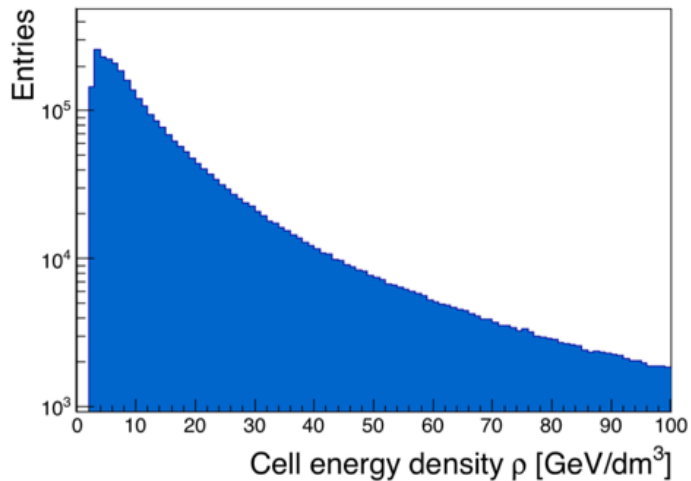
- Digital reconstruction:
  - 3x3 is too coarse
- Semi-digital
  - close to analogue
  - at low E even better
  - with less information?
- Count hits: suppression of Landau fluctuations
- Semi-digital reconstruction uses energy-dependent weights



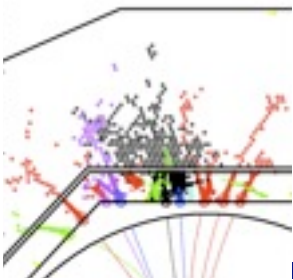


# Software compensation

- Electromagnetic showers: higher density, larger response
- Software compensation: weight has according to cell energy

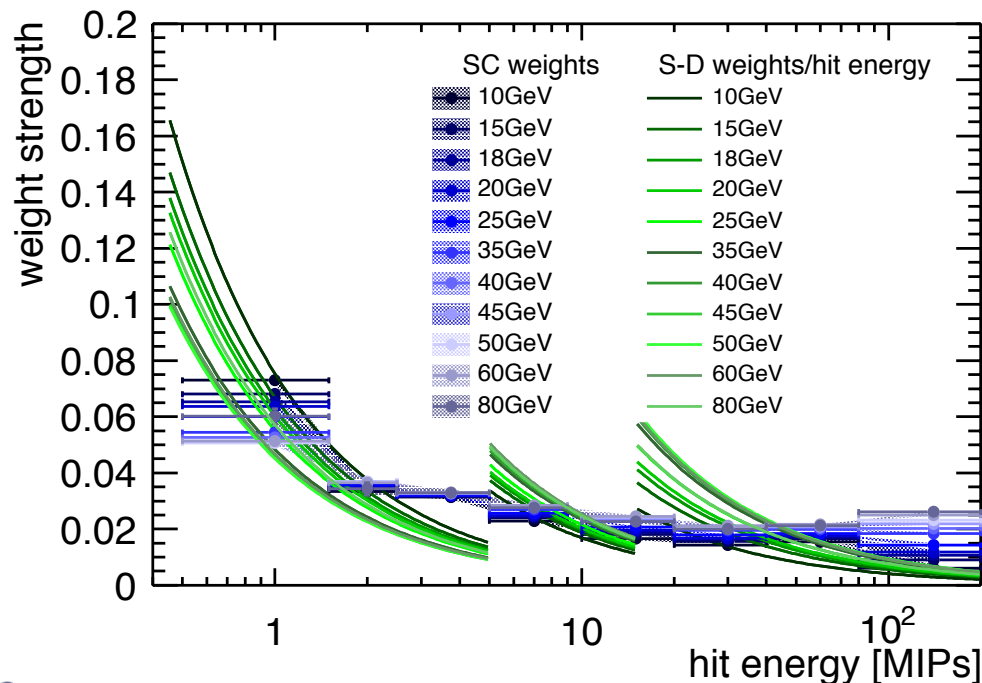


- Optimal weights depend on hit energy (density) and total energy
  - use un-weighted energy as first estimator

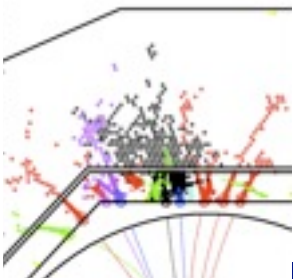


# Analogue and digital weighting

- Analogue:  $E_{rec,SC} = \sum_i \omega_{SC,i} \cdot E_i$      $\omega = \omega(E_i, E_{tot})$
- Semi-digital:  $E_{rec,semi-digital} = \alpha \cdot N_1 + \beta \cdot N_2 + \gamma \cdot N_3$      $\alpha = \alpha(N_{tot}), N_{tot} \sim E_{tot}^a$
- Counting is equivalent to weighting with  $1/E_{hit}$ :  $\omega = \alpha/E_{hit}$
- Use common formalism and learn from each other

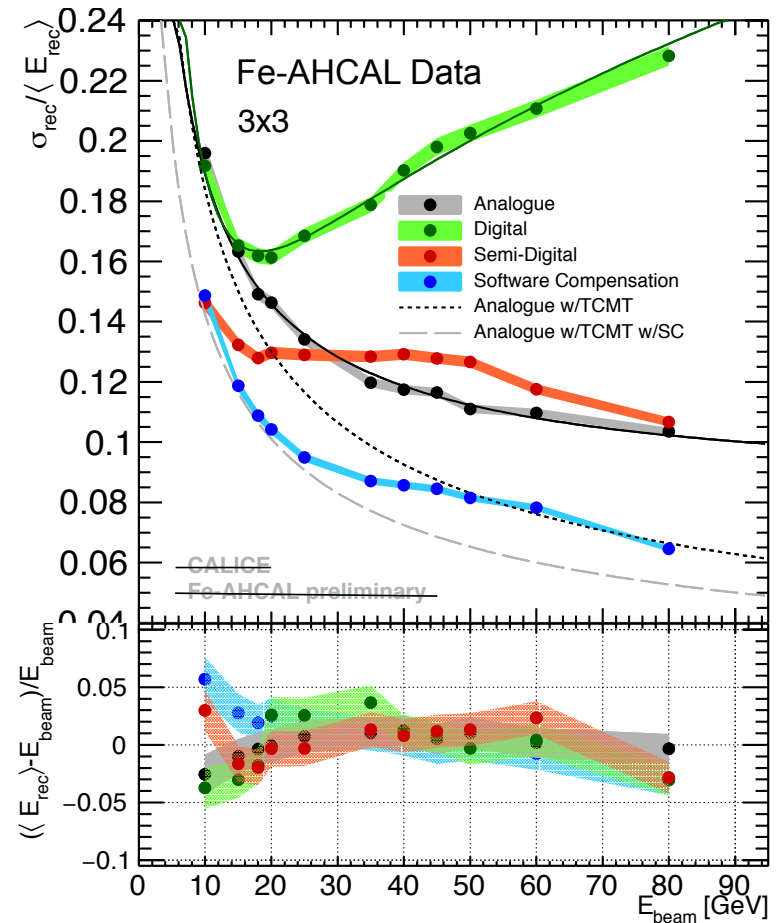


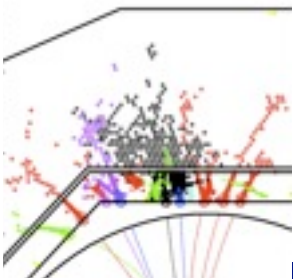
in principle the same differences in detail



# (Semi-) digital reconstruction of AHCAL

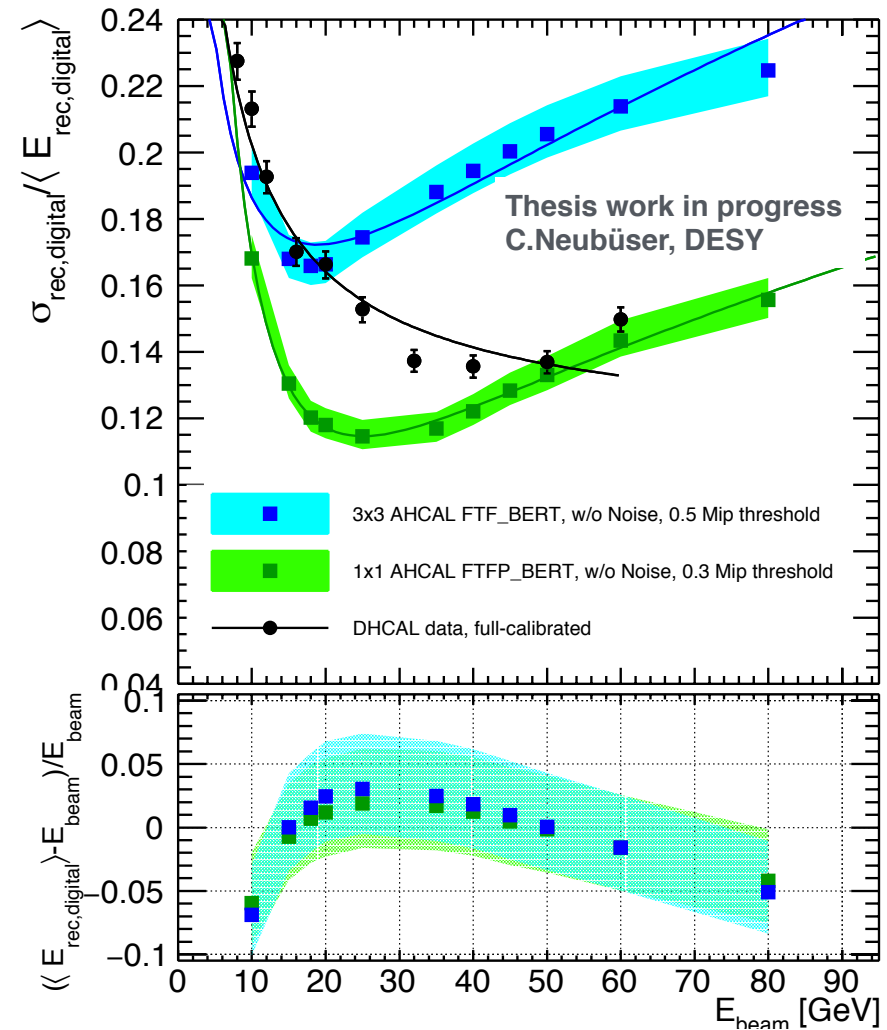
- Digital reconstruction:
  - 3x3 is too coarse
- Semi-digital
  - close to analogue
  - at low E even better
  - with less information?
- Make full use of analogue information:
- Software compensation: best

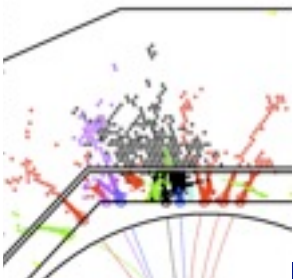




# Simulate smaller granularities

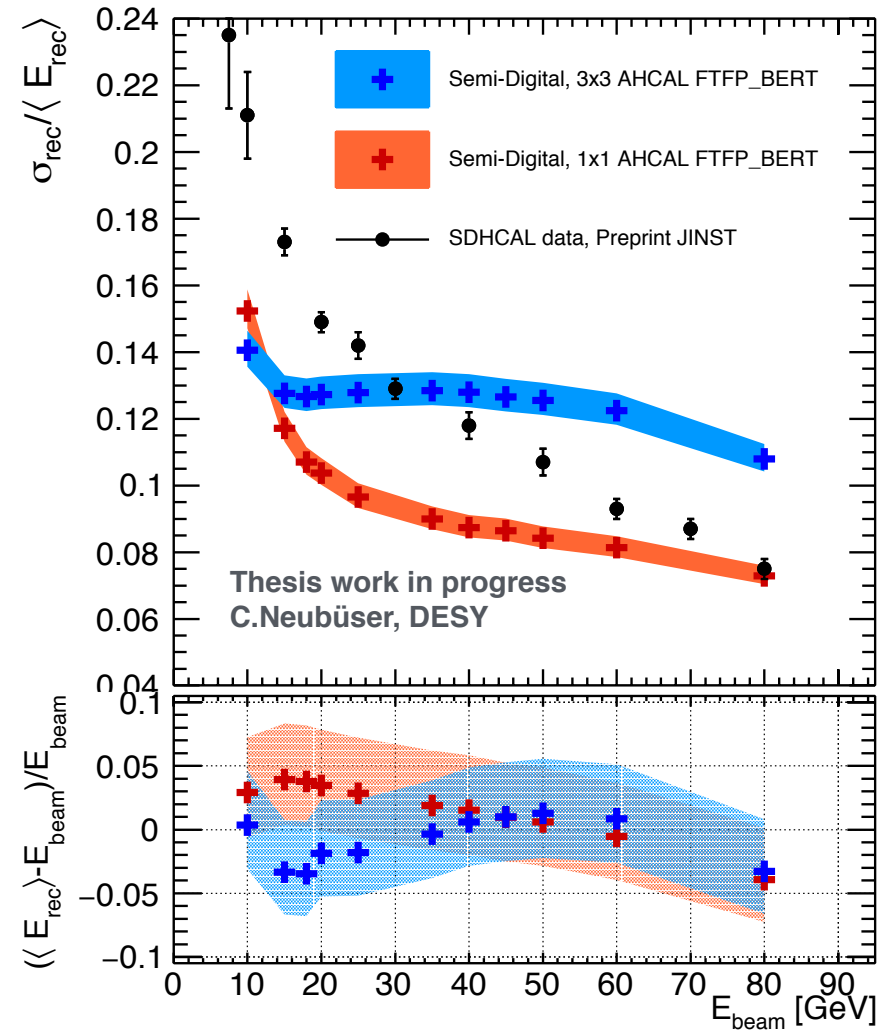
- Simulate with same degree of realism as in AHCAL test beam
  - except noise (not an issue with present SiMs)
  - and adjust threshold in order to obtain similar linearity
- Apply digital and (re-optimised) semi-digital reconstruction
- Differences between gas and scintillator to be understood
  - validated simulations on their way

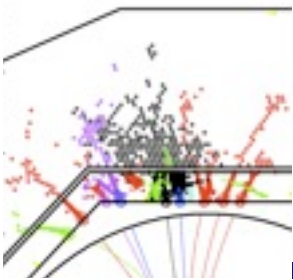




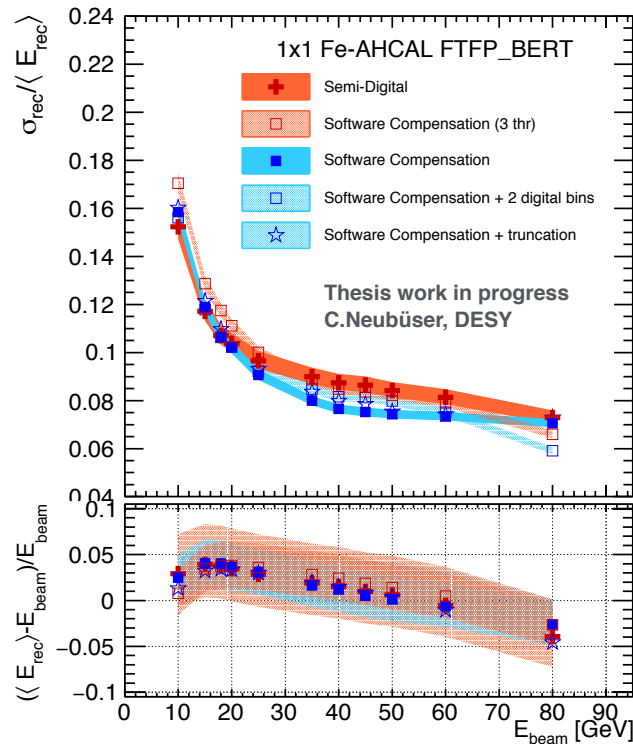
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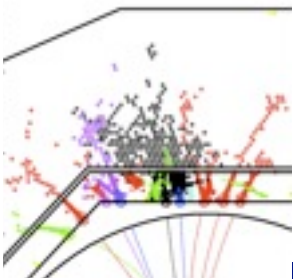


# Read-out scheme and resolution

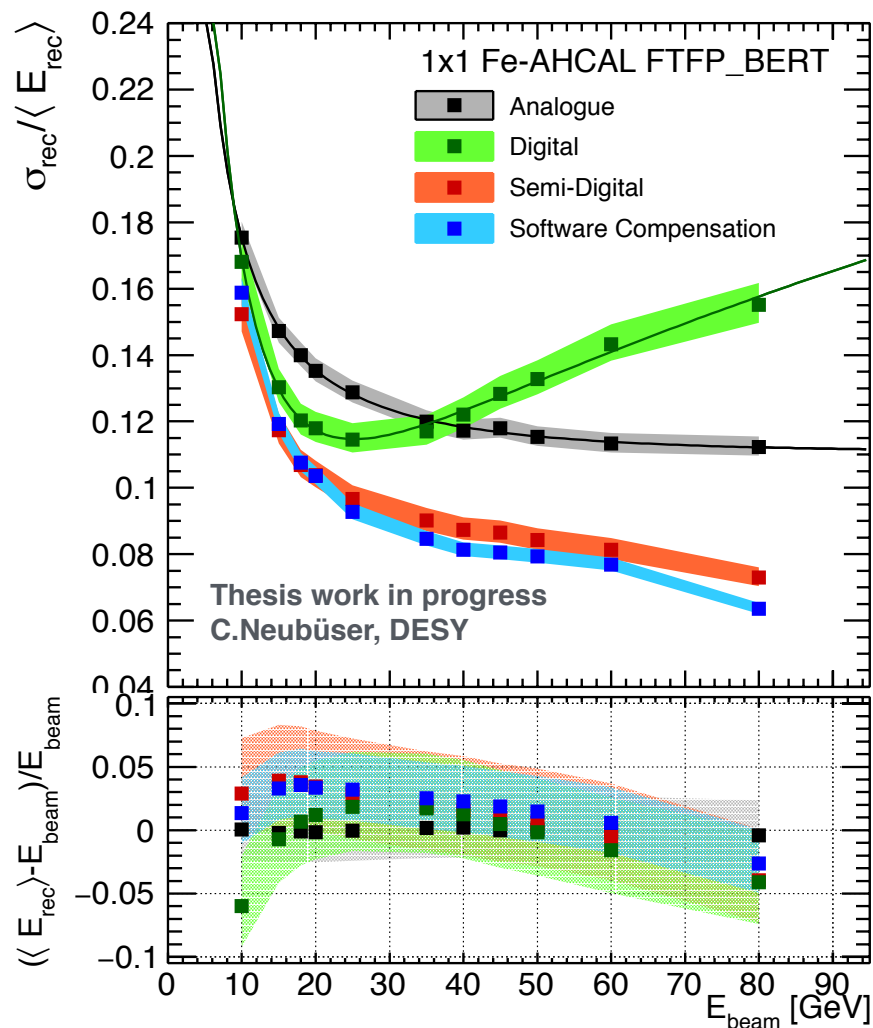
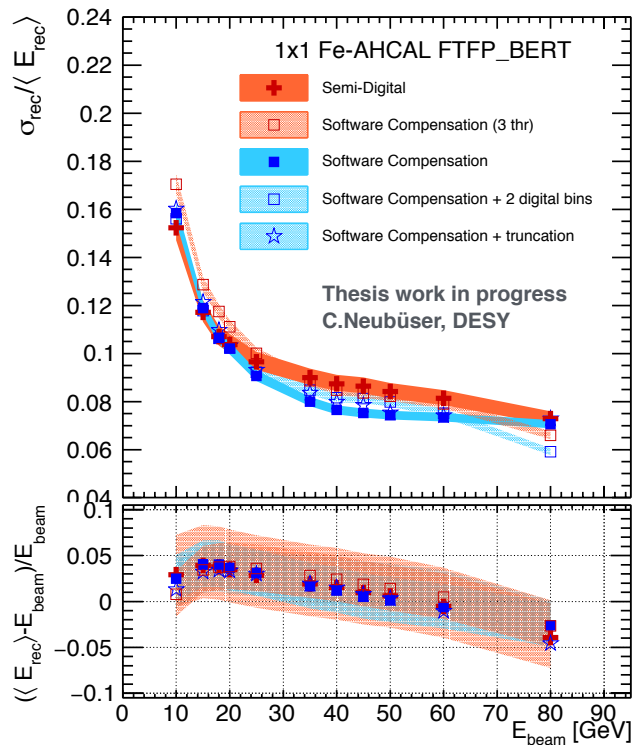


- vary number of bins and energy dependence within bins
- small differences once some weighting is applied

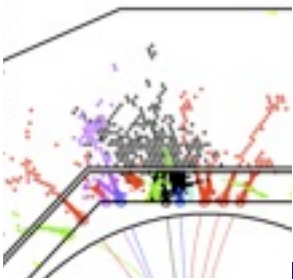




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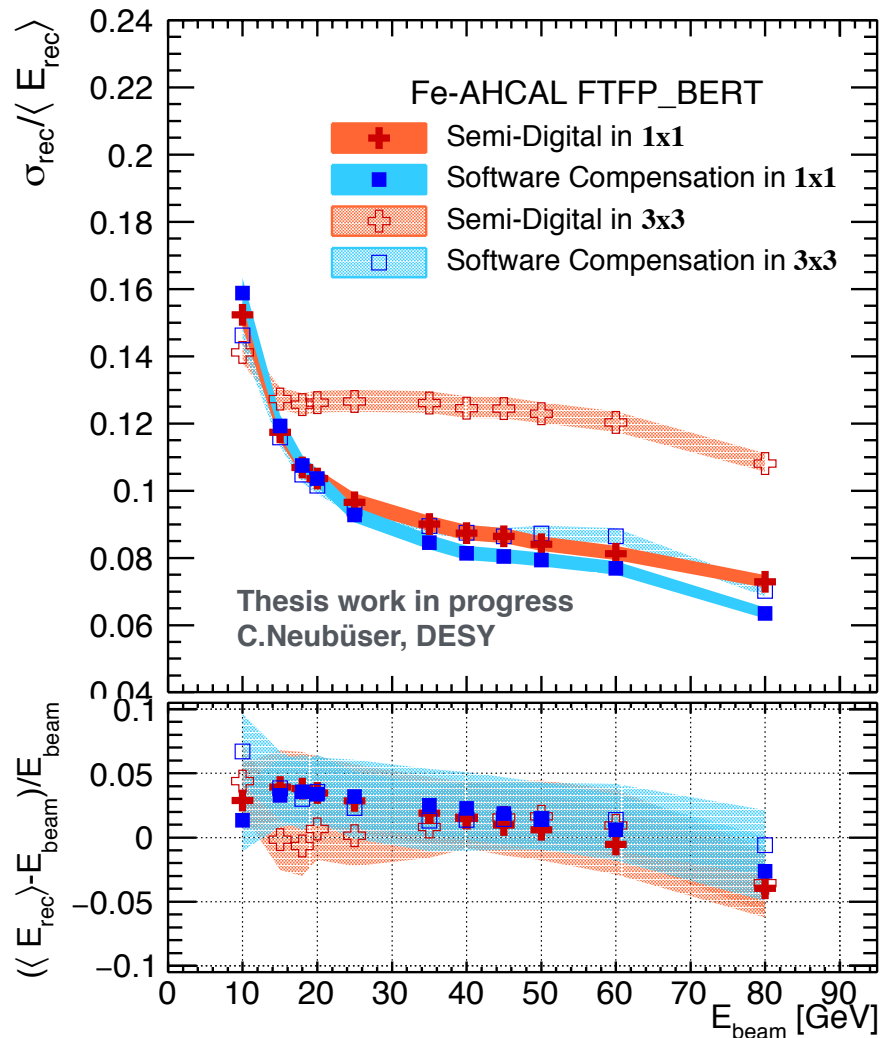


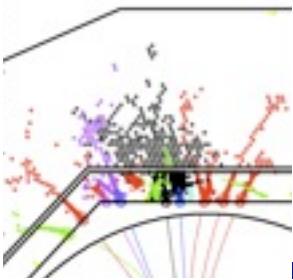
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# Granularity and resolution 1

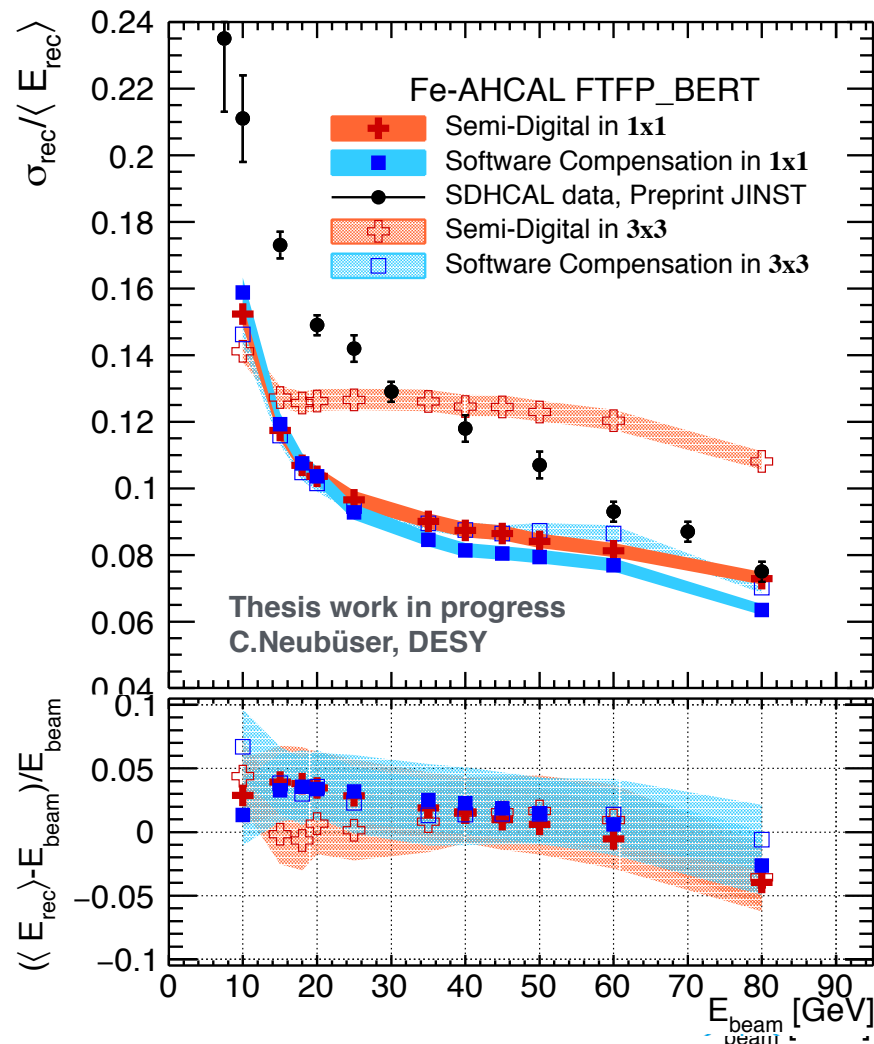
- 1x1: semi-digital as good as analogue with s/w comp
  - 2 bits are enough
- 3x3: analogue with s/w comp better than SD, as good as 1x1
  - for analogue read-out 3x3 is enough
- Performance limitations of gaseous HCAL to be understood

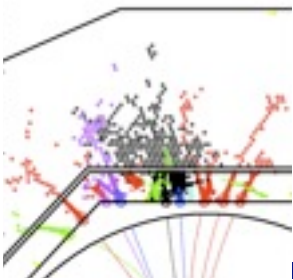




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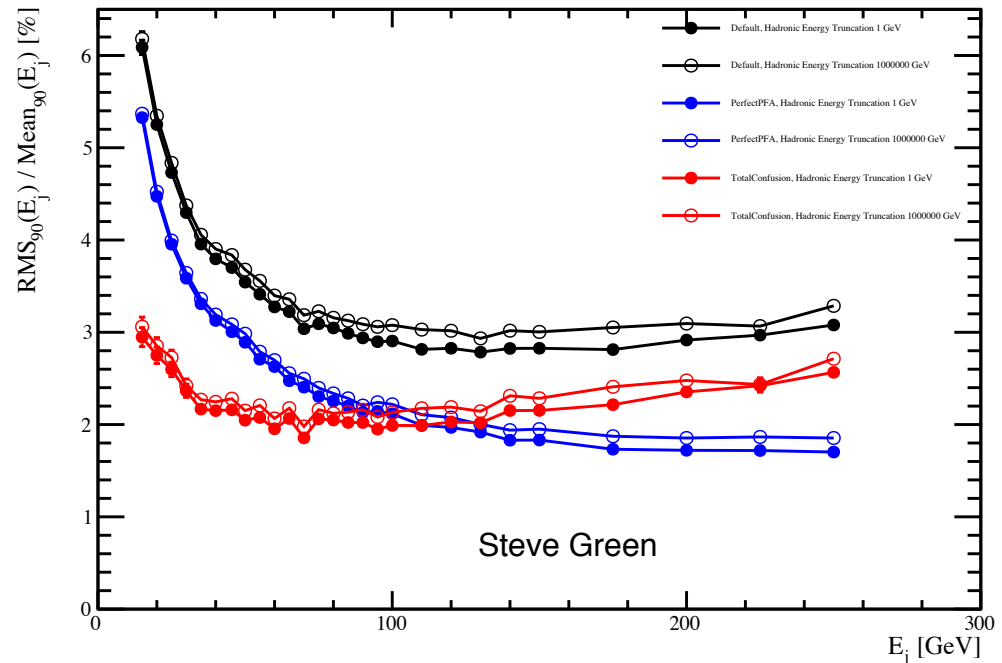


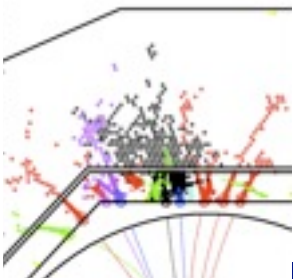


# s/w compensation and PFLOW

- Jet energy resolution is the goal
- In principle can benefit in two-fold way:
  - improve resolution for neutral objects - done
  - improve cluster energy estimators for track-cluster association - on its way

studies with Pandora PFA

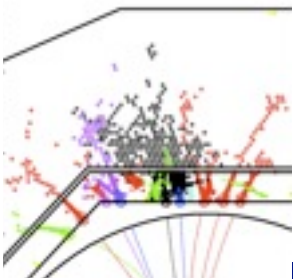




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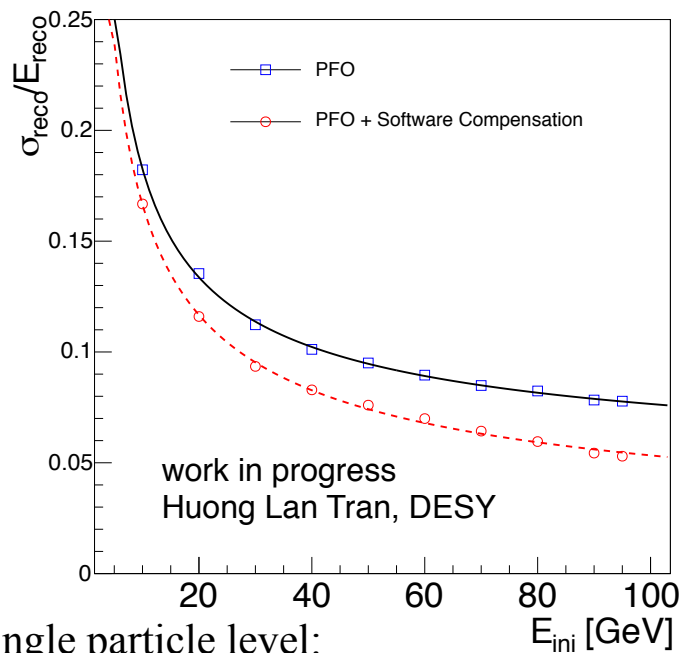
studies with Pandora PFA



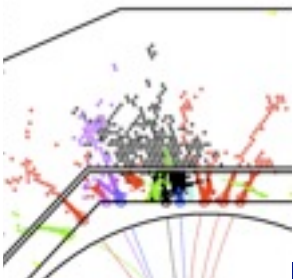
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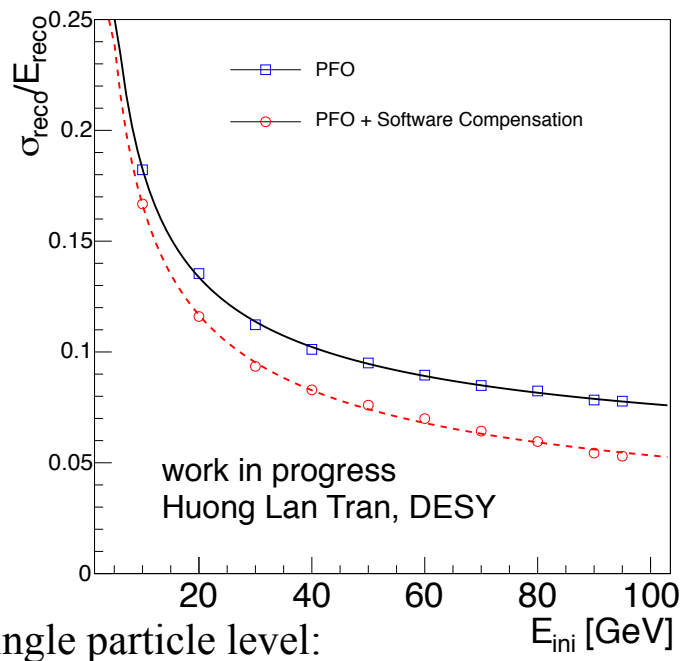


Single particle level:

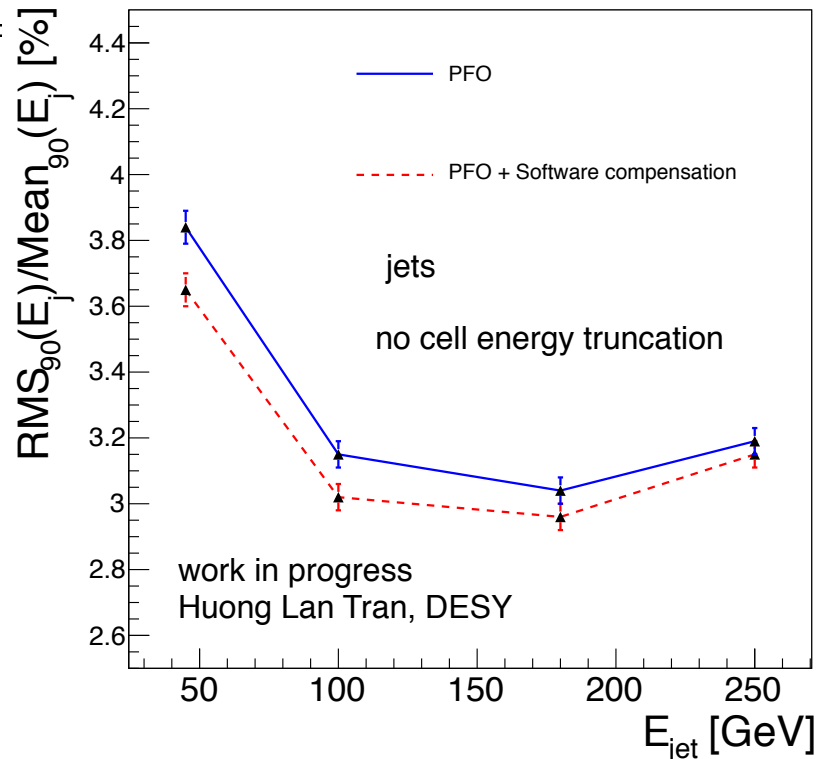


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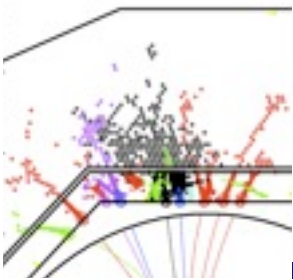
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studies with F

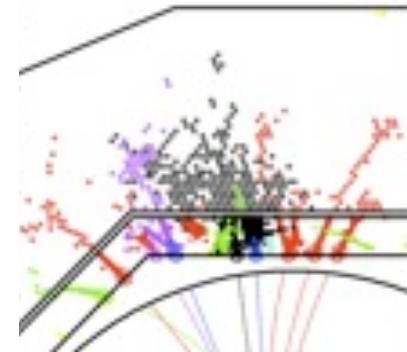


Single particle level:

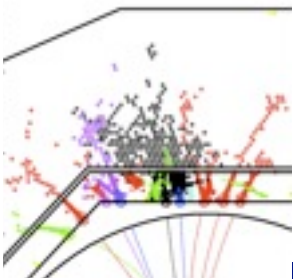


# s/w compensation and clustering

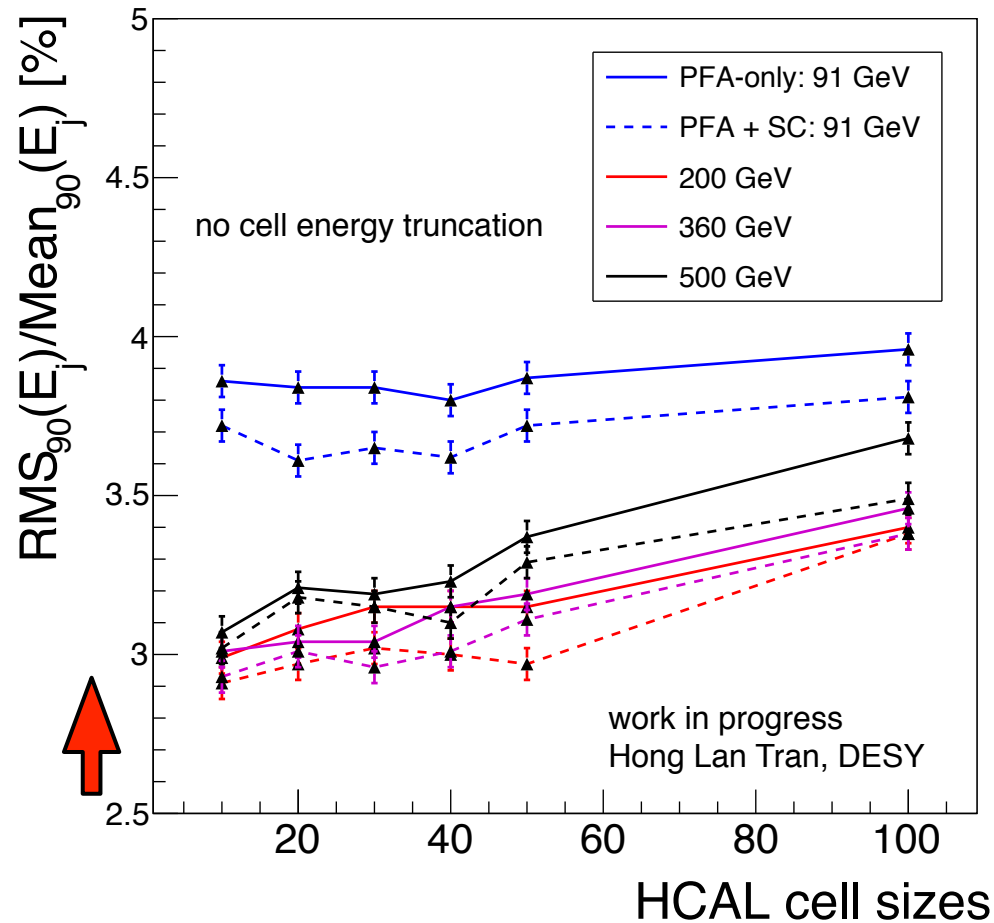
- Hypothesis testing at re-clustering stage
  - use track energy
  - benefits demonstrated earlier (fractal dim.)
- However: Weighting the energy before or during the clustering stage of particle flow reconstruction is not straightforward
  - In general  $\omega = \omega(E_i, E_{\text{tot}})$
- General issue for all weighting schemes, inevitable for digital and semi-digital reconstruction
- Non-linear response: cannot revert to plain E flow in dense environments
  - $\omega E_1 + \omega E_2 \neq \omega (E_1 + E_2)$

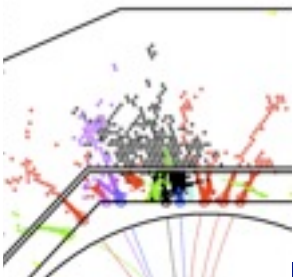




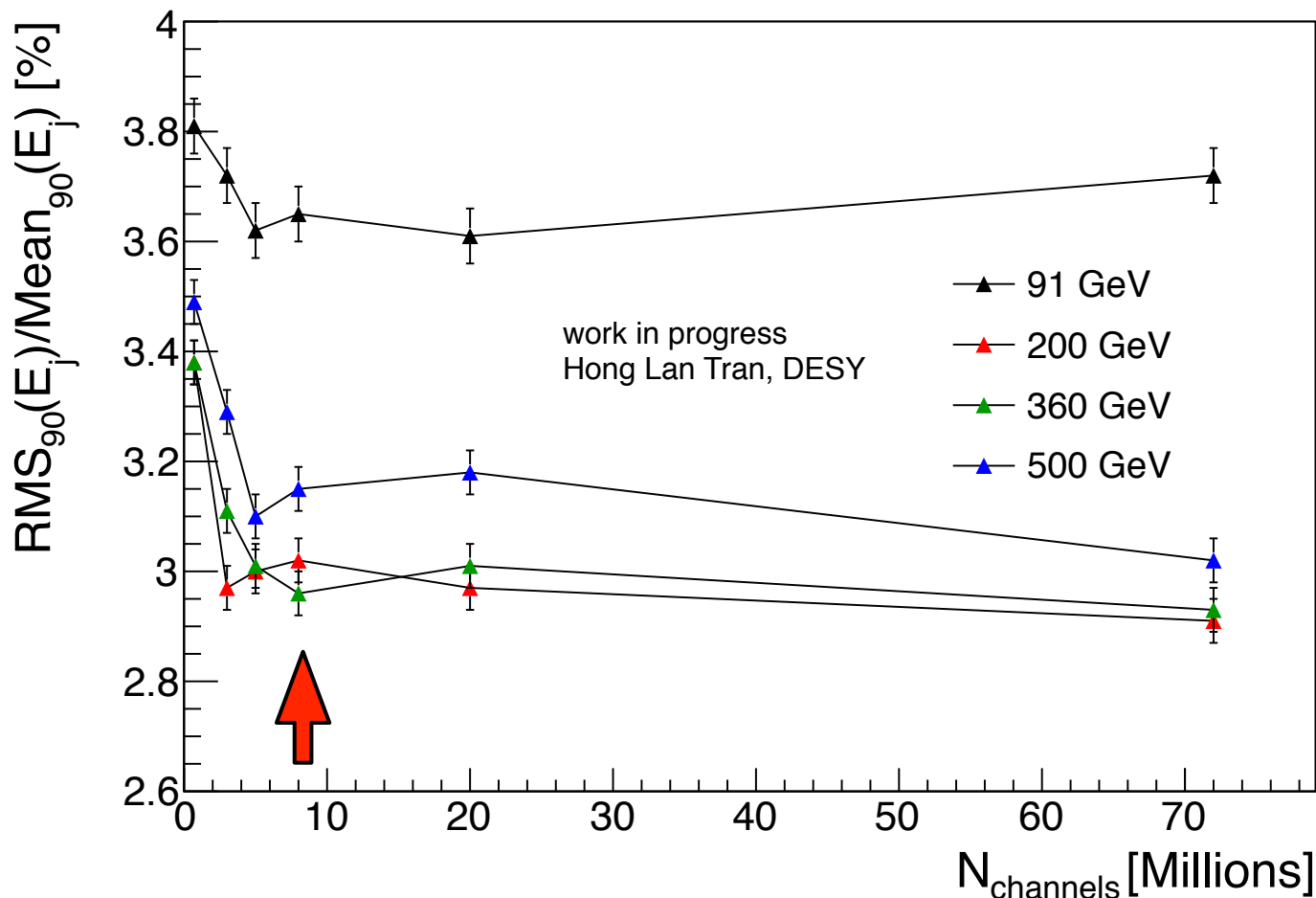


# Granularity and resolution 2

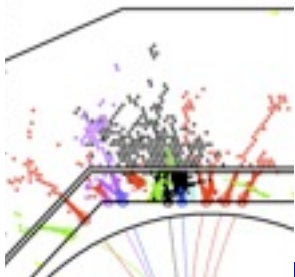




# Granularity and resolution 2



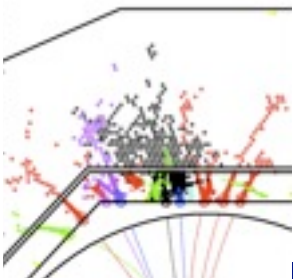
- 3 cm still a very reasonable choice



# Conclusion

- Calorimetry has changed - particle flow concept established experimentally
- Bearing fruit beyond LC community
- Still test beam results coming in and deepening our understanding
- Now fully in second phase: make it realistic
  - German groups (DESY, Hamburg, Heidelberg, Mainz, Munich MPI, Wuppertal) build a scalable prototype with fully integrated electronics
- There are many open issues = room for new ideas

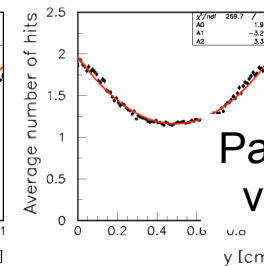
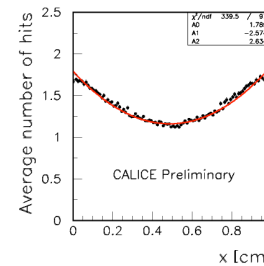
# Back-up slides



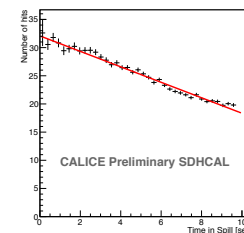
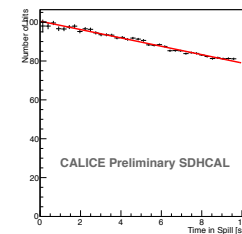
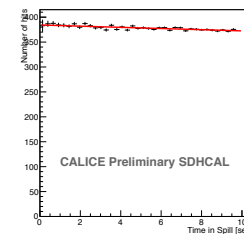
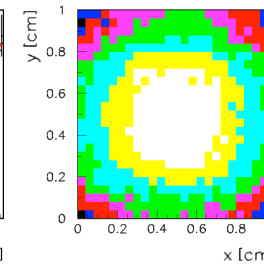
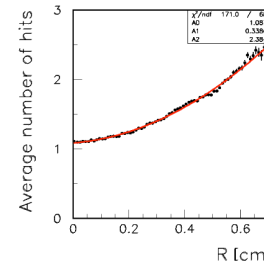
# Calibration and simulation

- Main difficulty is that the DHCAL is not digital
- Response in number of hits depends on gas gain and thus on many factors
  - $T$ ,  $p$ , thickness, purity, rate, local occupancy
  - calibration & monitoring not simple
- May be mitigated for other technologies with  $\langle m \rangle \sim 1.0$ 
  - $\mu\text{M}$ , GEM, 1-glass RPC - to be seen
- Semi-digital readout helps
  - but environmental dependence aggravated for higher thresholds
- For the use of analog information the (semi-) digital read-out lacks redundancy for calibration & monitoring
  - concepts to be developed
- Simulation non-trivial either
  - dense environments, shielding effects,...

Data



Pad multiplicity vs  $\mu$  position

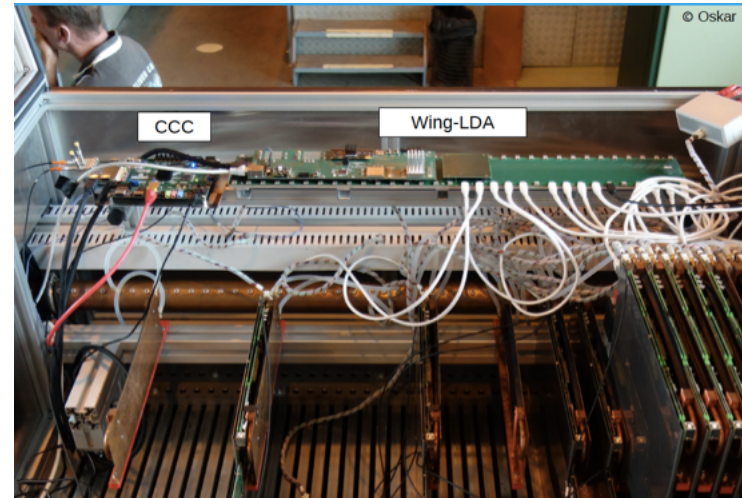


SDHCAL response for 3 thresholds vs time in spill (space charge reduces gain)

# Frontiers

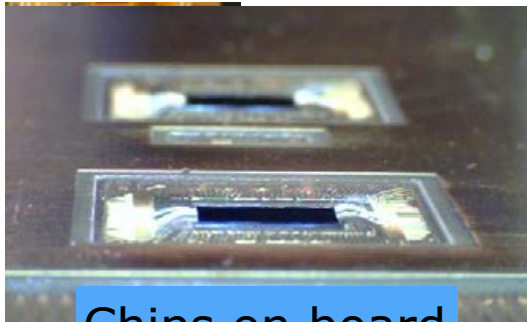
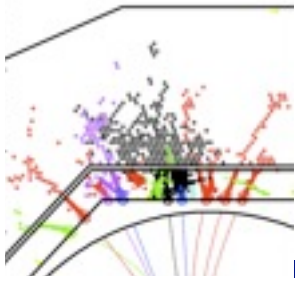
- Technology frontier
  - 10 years progress in SiMs
  - 1 glass RPCs, THGEMs, resistive  $\mu$ Ms
- Integration frontier
  - electronics integration, low power
  - scalable solutions for DAQ and services
- Industrialisation frontier
  - design simplifications
  - mass production and QA schemes
- Calibration frontier
  - monitoring and correction procedures
- Simulation frontier
  - model  $\mu$ , e,  $\pi$  showers in gaseous HCAL: low and high density
- Reconstruction frontier
  - threshold weights, software compensation
- Algorithm frontier
  - understand relative importance of active medium, granularity and r/o scheme
  - develop second, independent algorithm

• Hadron collider frontier

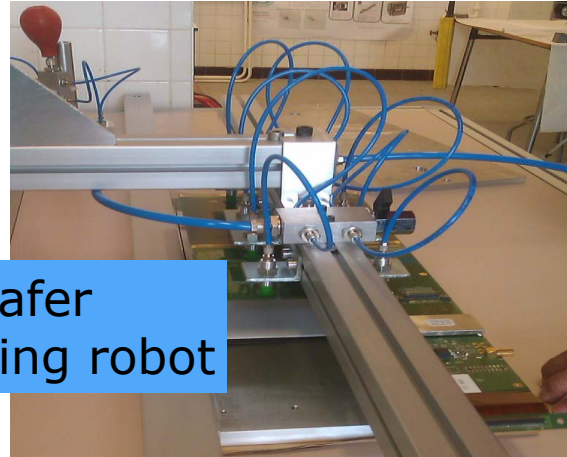


will read 2 segments. 96 layers, 250k channels

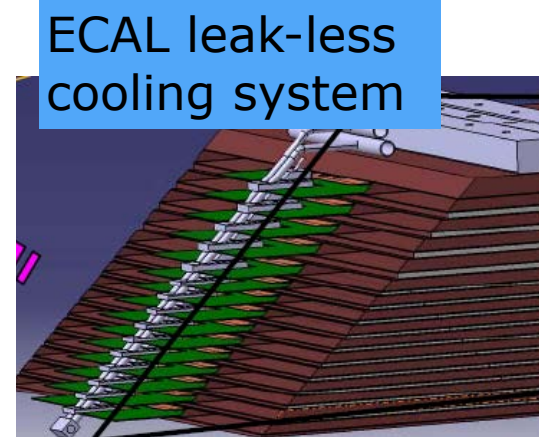
# System integration & Tooling



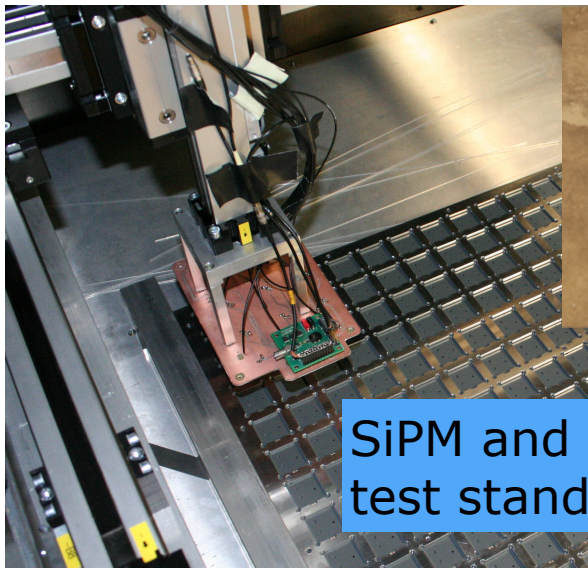
Chips on board



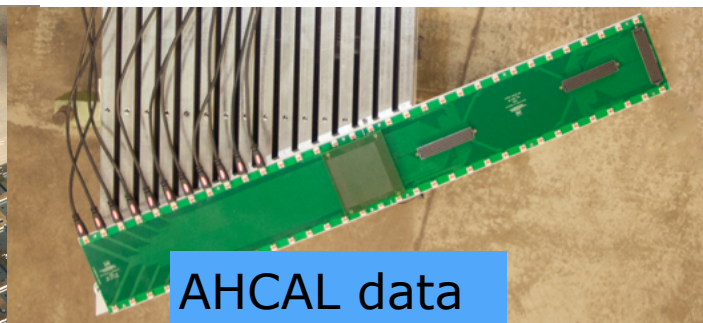
Si wafer glueing robot



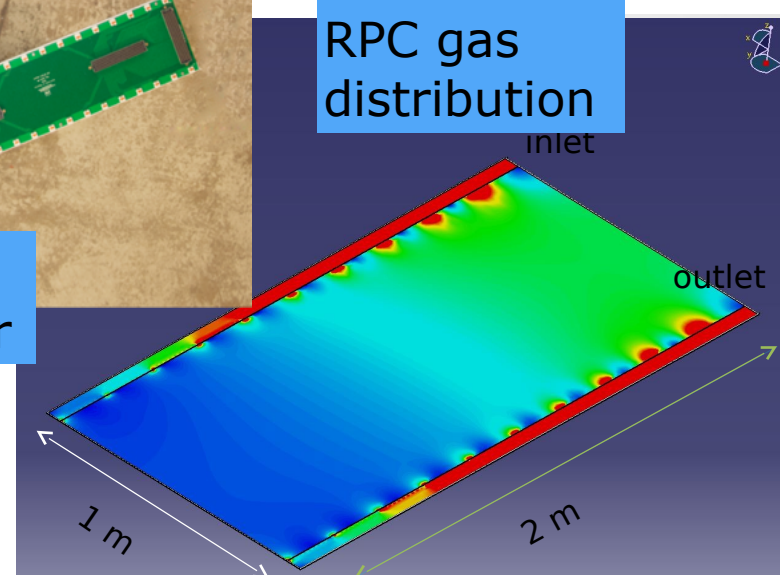
ECAL leak-less cooling system

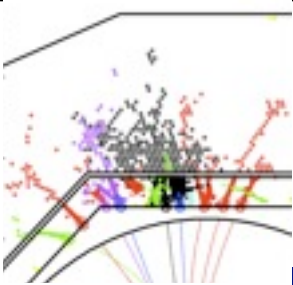


SiPM and tile test stand



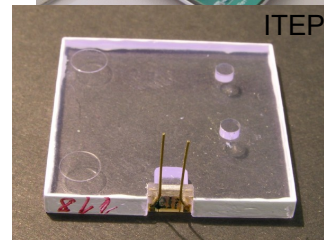
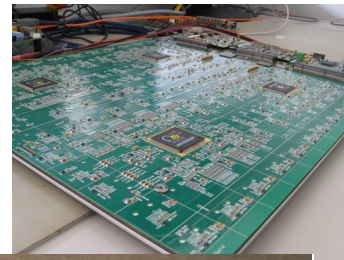
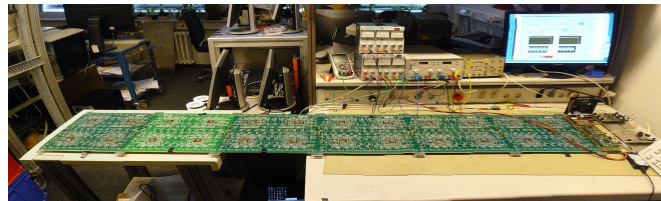
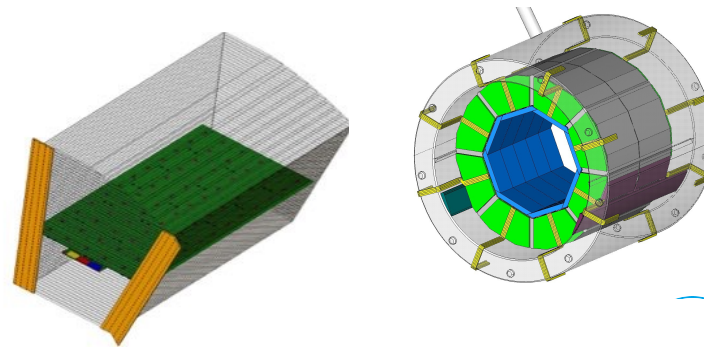
AHCAL data concentrator





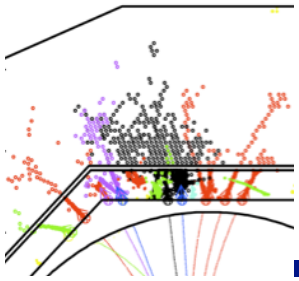
# Industrialisation: Numbers!

- The AHCAL
- 60 sub-modules
- 3000 layers
- 10,000 slabs
- 60,000 HBUs
- 200'000 ASICs
- 8,000,000 tiles and SiPMs



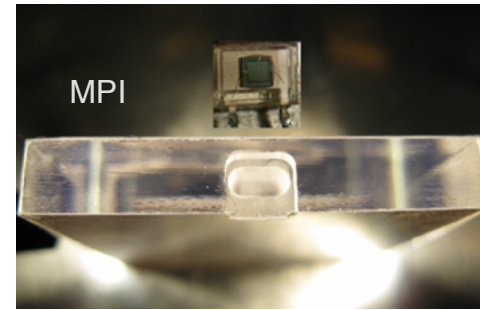
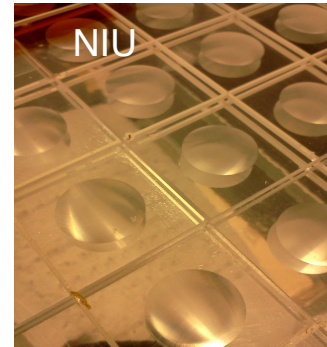
- One year
- 46 weeks
- 230 days
- 2000 hours
- 100,000 minutes
- 7,000,000 seconds



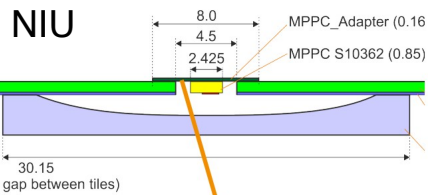
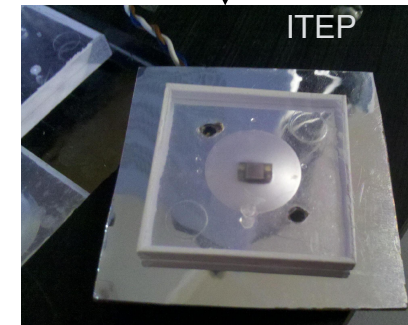
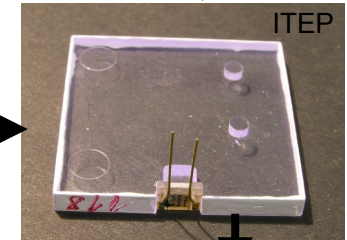
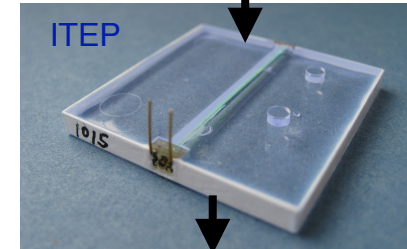
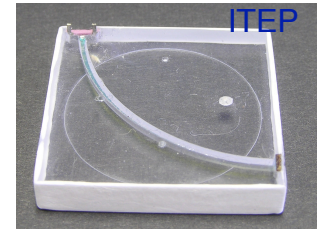


# Directions in tile and SiPM R&D

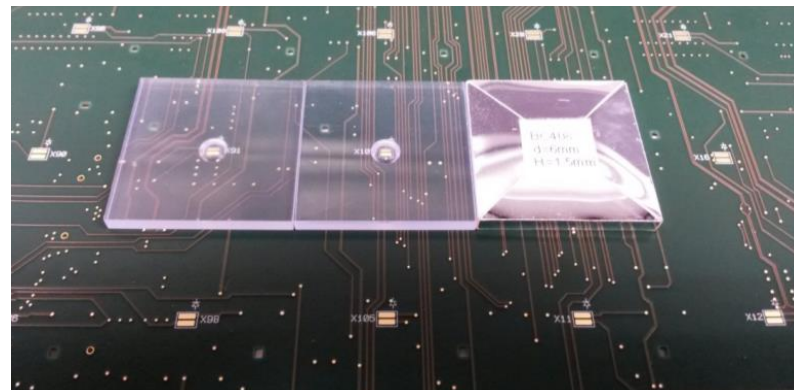
- Revise tile design in view of automatic pick & place procedures
- Consider SMD approach, originally proposed by NIU
- Light yield becomes an issue again
  - build on advances in SiPMs
- Very different assembly, QC and characterisation chain

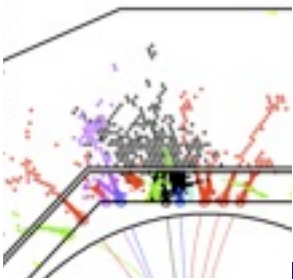


7608 ch physics prototype



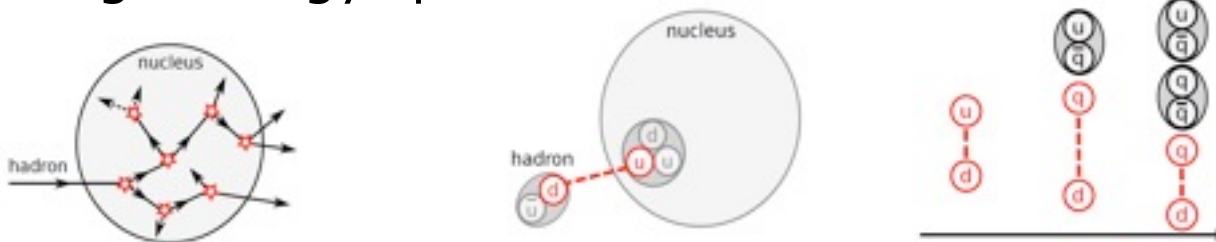
Mainz



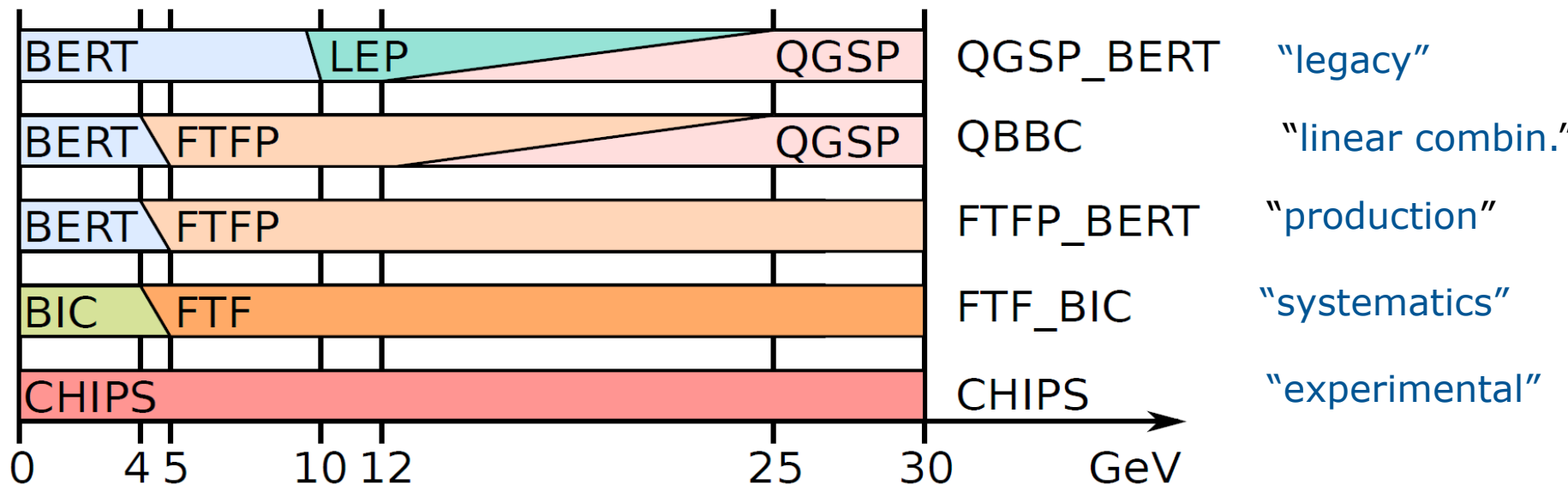


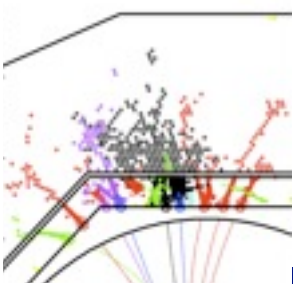
# Shower simulation in Geant 4

- Low energy: cascade models
- High energy: partonic models



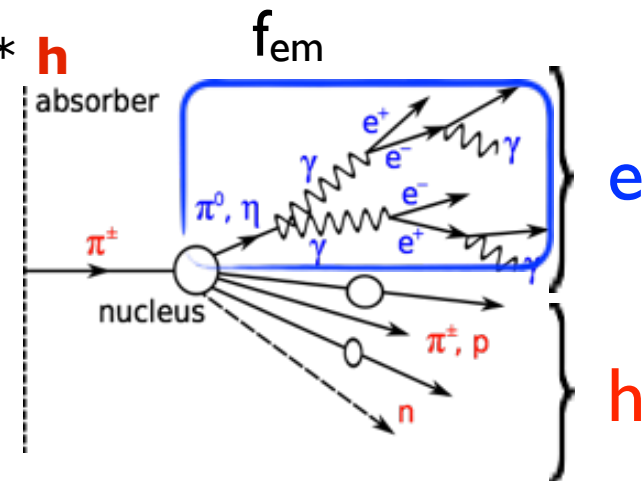
minimize use of phenomenological parameterization



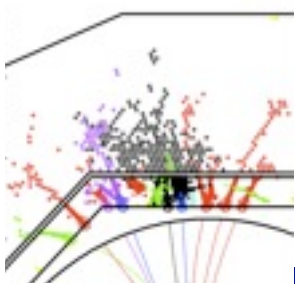


# Electromagnetic fraction

- $\pi^0$  production irreversible; "one way street"
  - $\pi^0 \rightarrow \gamma\gamma$  produce em shower, no further hadronic interaction
  - Remaining hadrons undergo further interactions, more  $\pi^0$ 
    - Em fraction increases with energy,  $f = 1 - E^{m-1}$
- Response non-linear: signal  $\sim f * e + (1-f) * h$
- Numerical example for copper
  - 10 GeV:  $f = 0.38$ ; 9 charged h, 3  $\pi^0$
  - 100 GeV:  $f = 0.59$ ; 58 charged h, 19  $\pi^0$ 
    - Cf em shower: 100's  $e^+$ , 1000's  $e^-$ , millions  $\gamma$
- Large fluctuations
  - E.g. charge exchange  $\pi^- p \rightarrow \pi^0 n$  (prb 1%) gives  $f_{em} = 100\%$



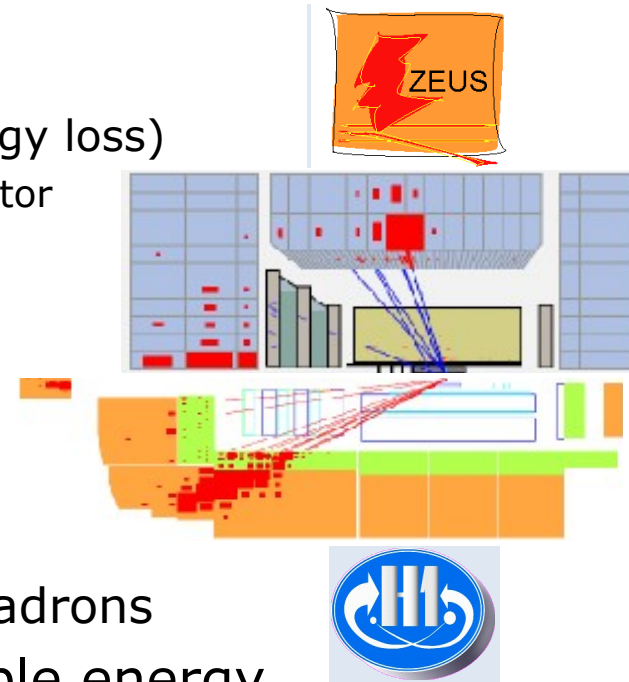
# Compensation



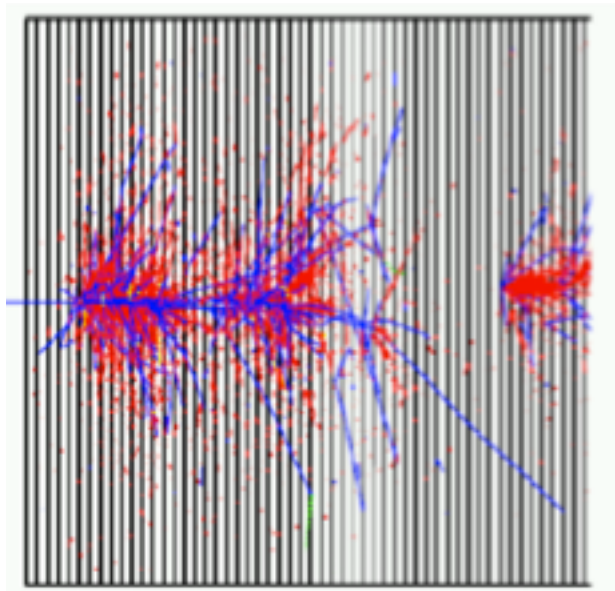
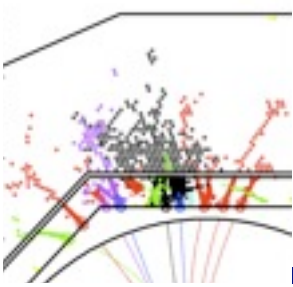
Different strategies, which can also be combined

- Hardware compensation
  - Reduce em response
    - High Z, soft photons
  - Increase had response
    - Neutron part (correlated with binding energy loss)
      - Tunable via thickness of hydrogenous detector
  - Example ZEUS: uranium scintillator,
  - 35%  $/\sqrt{E}$  for hadrons, 45%  $/\sqrt{E}$  for jets
- Software compensation
  - Identify em hot spots and down-weight
    - Requires high 3D segmentation
  - Example H1, Pb/Fe LAr,  $\sim 50\%$   $/\sqrt{E}$  for hadrons

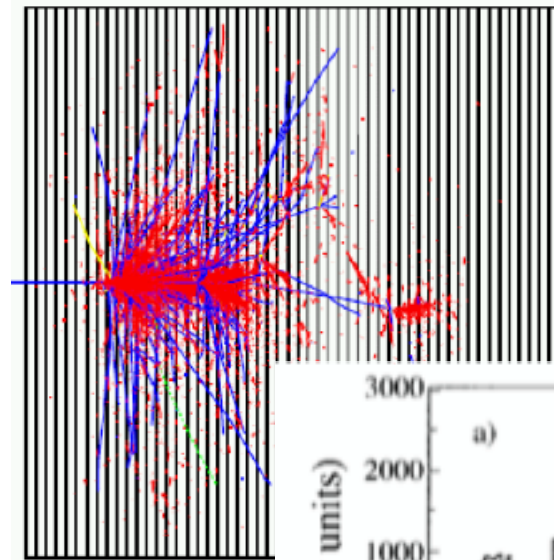
NB: Does not remove fluctuations in invisible energy



# More fluctuations: leakage



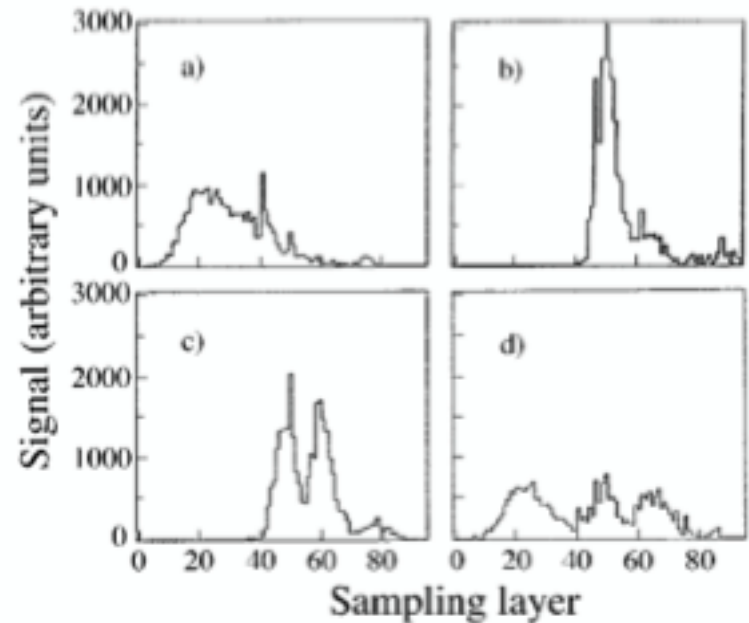
blue = hadronic component

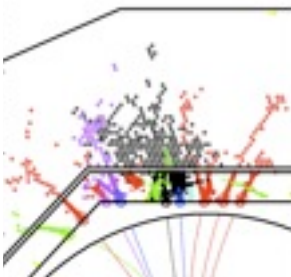


red = electroma

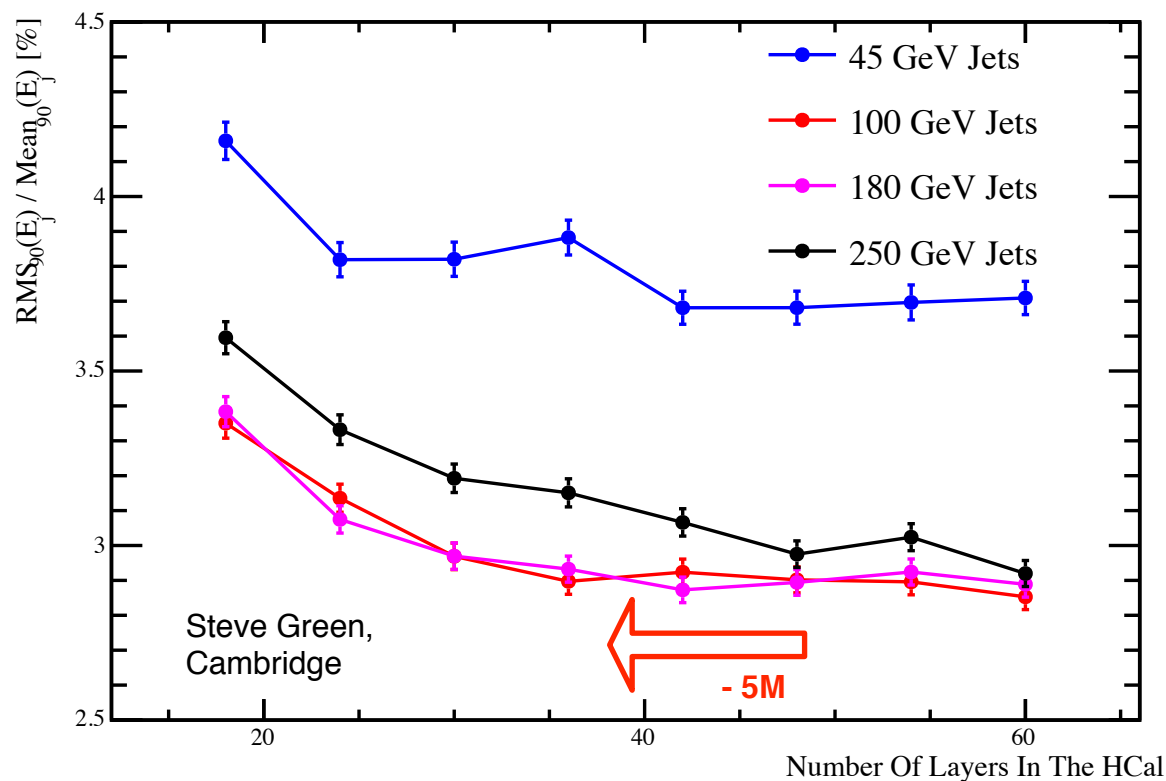
Leakage: in principle no problem  
But: leakage fluctuations are!  
(rule of thumb:  $\sigma_{\text{leak}} \sim 4 f_{\text{leak}}$ )

sampling fluctuations

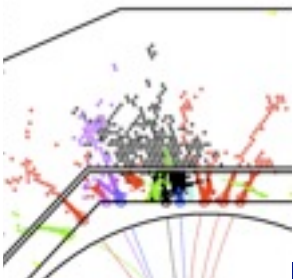




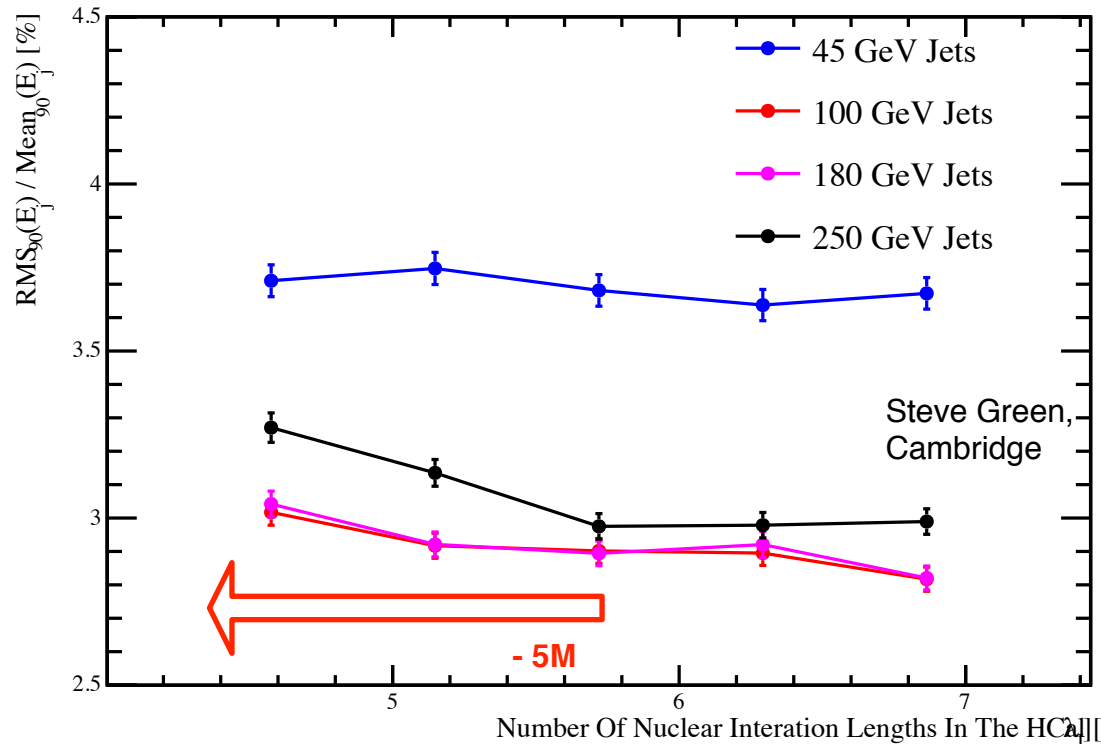
# Cost optimisation: long. sampling



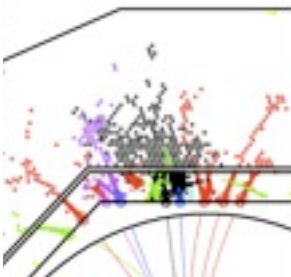
- fewer layers: not for free, but at least no knee
- not necessarily the same for SDHCAL



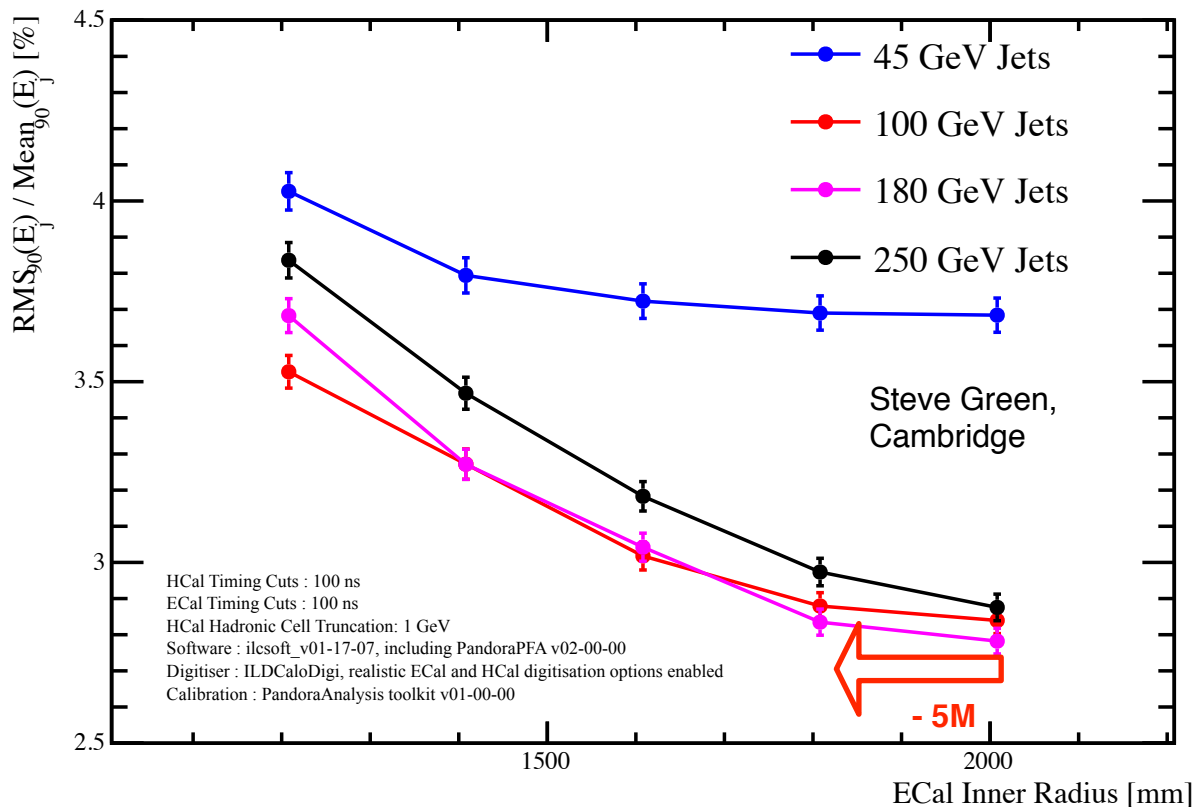
# Cost optimisation: depth



- this plot  $n(\text{layers}) = \text{const}$ ; should have constant pitch also
- additional savings from coil and yoke - or smaller reduction
- but should be studied with missing energy performance



# Cost optimisation: inner radius



- shown: cost variation is for 18 cm smaller HCAL inner radius
- additional savings from coil and yoke - or smaller reduction